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**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

February 10, 2000

RECEIVED
FEB 10 2000
Environmental Cleanup Office

Monica Tonel, Site Assessment Manager
U. S. Environmental Protection Agency
Region 10
1200 Sixth Avenue
Seattle, WA 98101

RE: Lake Roosevelt Preliminary Assessment Grant Application

Dear Ms. Tonel:

Enclosed is the Grant Request for the Lake Roosevelt Preliminary Assessment from the Confederated Tribes of the Colville Indian Reservation.

Please forward to the appropriate Grants program.

Sincerely,

A handwritten signature in cursive script, appearing to read "Patti Stone".

Patti Stone, Water Quality Coordinator
Colville Confederated Tribes

USEPA SF



1426359

KEY CONTACTS

AUTHORIZED REPRESENTATIVE - This is the individual who has the authority to sign the application for Federal Assistance (SF-424) and execute the Agreement on behalf of the applicant.

Circle One (Mr. Mrs. Ms.) Colleen Cawston
NAME: _____
TITLE: Chairperson, Colville Business Council
TELEPHONE: 509-634-2215 FAX: 509-634-4116
E-MAIL ADDRESS: _____

PROGRAM/PROJECT MANAGER - This is the individual who is responsible for the management of the Project for the applicant.

Circle One (Mr. Mrs. Ms.)
NAME: Gary Passmore
TITLE: Director, Office of Environmental Trust
TELEPHONE: 509-634-2426 FAX: 509-634-2487
E-MAIL ADDRESS: Enviroa@televar.com

FINANCIAL REPRESENTATIVE - This is the individual who has been assigned responsibility for the maintenance of the accounting and financial management system for the applicant.

Circle One (Mr. Mrs. Ms.) Cindy Hoke
NAME: _____
TITLE: Financial Manager
TELEPHONE: 509-634-2481 FAX: 509-634-4116
E-MAIL ADDRESS: _____

PAYEE ADDRESS - If different than recipient address:

NAME: _____
ADDRESS: _____
CITY, STATE, ZIP: _____

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-00

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED 12/20/99	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION	
Legal Name: <u>Confederated Tribes of the Colville Reservation</u> Address (give city, county, State, and zip code): <u>Nespelem, WA 99155</u> <u>Okanogan and Ferry Counties</u>	Organizational Unit: <u>Office of Environmental Trust</u> Name and telephone number of person to be contacted on matters involving this application (give area code): <u>509-634-2426</u>
6. EMPLOYER IDENTIFICATION NUMBER (EIN): <div style="border: 1px solid black; width: 100px; height: 20px; margin: 5px 0;"></div>	7. TYPE OF APPLICANT: (enter appropriate letter in box)
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <div style="border: 1px solid black; width: 30px; height: 20px; display: inline-block; margin: 2px 5px;"></div> <div style="border: 1px solid black; width: 30px; height: 20px; display: inline-block; margin: 2px 5px;"></div> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other(specify): _____	A. State H. Independent School Dist. <input checked="" type="checkbox"/> B. County I. State Controlled Institution of Higher Learning C. Municipal J. Private University D. Township K. Indian Tribe E. Interstate L. Individual F. Intermunicipal M. Profit Organization G. Special District N. Other (Specify) _____
9. NAME OF FEDERAL AGENCY: <u>Environmental Protection Agency</u>	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: <div style="border: 1px solid black; width: 100px; height: 20px; margin: 5px 0; text-align: center;">66-802</div> TITLE: <u>Site assessment / PA</u>	
11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: <u>Lake Roosevelt Preliminary Assessment</u>	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): <u>Upper Columbia River, Washington State</u>	
13. PROPOSED PROJECT <u>Technical Assistance</u>	14. CONGRESSIONAL DISTRICTS OF: <u>4th and 5th</u>
Start Date Ending Date <u>1/2000</u> <u>9/2000</u>	a. Applicant b. Project
15. ESTIMATED FUNDING:	
a. Federal	\$ <u>41,560</u> ⁰⁰
b. Applicant	\$ ⁰⁰
c. State	\$ ⁰⁰
d. Local	\$ ⁰⁰
e. Other	\$ ⁰⁰
f. Program Income	\$ ⁰⁰
g. TOTAL	\$ <u>41,560</u> ⁰⁰
16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____	
b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?	
<input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No	
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.	
a. Type Name of Authorized Representative <u>Colleen Cawston</u>	b. Title <u>Chairperson</u>
c. Telephone Number <u>509-634-2215</u>	d. Signature of Authorized Representative
e. Date Signed	

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. Totals		\$	\$	\$	\$	\$

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) Lake Roosevelt	(2)	(3)	(4)	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual					
g. Construction					
h. Other					
i. Total Direct Charges (sum of 6a-6h)					
j. Indirect Charges					
k. TOTALS (sum of 6i and 6j)	\$ 41,560	\$	\$	\$	\$ 41,560
7. Program Income	\$ 0	\$	\$	\$	\$ 0

Previous Edition Usable

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Standard Form 424A (Rev. 7-97)
Prescribed by OMB Circular A-102

**CONFEDERATED TRIBES OF THE COLVILLE RESERVATION
ENVIRONMENTAL TRUST DEPARTMENT, DIRECTOR: GARY W. PASSMORE
December 6, 1999**

FY 2000 Grant Request
Site Assessment/PA, Lake Roosevelt

BACKGROUND

Columbia River

BACKGROUND: 1872-1941

The Colville Indian Reservation was established in 1872, during this time metal smelting began in the Trail BC area, about eight miles north of the Canada/USA border. In 1908 the King of England and US government adopted a treaty which dealt with boundary waters commonly known as the "Boundary Waters Treaty", the document states in Article IV, "it is further agreed that the waters herein defined as boundary waters and waters flowing across the boundary shall not be polluted on either side to the injury of health or property on the other." This treaty has been violated for most of its history by the discharge of millions of tons of smelter waste consisting of slag and metallic compounds from the largest smelter complex in the world. In addition to Canadian smelter waste, mining waste and industrial waste primarily from the Kellogg, Idaho-Lake Coeur d'Alene-Spokane River watershed also pollutes the watershed Columbia River below its confluence with Spokane River.

In the late 1930's the Grand Coulee Dam was constructed, culminating with the filling of Lake Roosevelt in 1941. This effectively trapped all heavy metal-laden sediments behind the dam. The Colville Indian Reservation boundary is roughly in the middle of this reservoir, following the thaweg of the original Columbia River channel.

1941-1988

Point source pollution continued on the US side until implementation of the NPDES program and other environmental controls in the 1970's and 80's. In 1961 the Celgar bleached Kraft Paper Mill was constructed near Castlegar BC, about 38 miles north of the international border. This mill had virtually no pollution controls. Canadian and Washington State health authorities issued fish consumption advisories.

1989-1996

The Tribes along with local governments and citizens groups became increasingly concerned with lack of water pollution controls, particularly on Canadian sources; and with the enormous build-up of enduring contaminants in the Lake Roosevelt. The Lake Roosevelt Water Quality Council (LRWQC) was formed and comprised of representatives from the Colville and Spokane Tribes, two from county governments, two from State government two from federal government, and two from citizens groups. BC Environment and Canadian industry embarked on a \$900,000 clean-up campaign. The pulp mill was redesigned to eliminate dioxin compounds and reduce other wastes. Controls were placed on various point source discharges from the smelter complex, including non-point source discharges from the smelter complex, including non-point source controls. Slag dumping into the Columbia River was reduced in 1995 from over 400 metric tons per day to fewer than 5. A

new lead smelting process was designed to reduce air and water pollution. Mercury and phosphorus discharges from the smelter complex were greatly diminished.

LRWQC members lobbied congress to obtain funding to study the fate of toxic pollutants in the lake and their effect on the environment and human health. \$1,000,000 was spent over three years to fund a USGS investigation of contaminated bottom sediments, fish tissue analyses in fish, as well as some public participation funding. Throughout this period EPA participated on the LRWQC. The State Water Research Center performed limnological work and drafted a general water quality management plan. In 1994 The Colville Tribes hired a coordinator to organize the LRWQC and coordinate information flow among those involved. Issues surrounding lake water quality were prioritized for funding. Some 106 funds were used for these activities in 1995. There was a lag in funding after 1995, until federal congressional funds became available in 1997 to start-up the Council again. Progress has been made on several of these issues but much remains to be accomplished.

CURRENT STATE OF AFFAIRS AND PROGRAM NEED

Through the Tribes efforts, the LRWQC was reestablished, which functions as a technical group (includes multi-agency and public representatives) to make and implement management recommendations to protect water quality and aquatic resources. As a part of our LRWQC Action Plan a fish tissue study was completed in 1998 in partnership with the USGS and will publish a public information fact sheet near the end of 1999. A previously completed management plan has no lead agency for full implementation, although entities are implementing portions of the plan. Plans continue for multiple drawdowns of Lake Roosevelt without adequate environmental assessment. Summer drawdowns are inevitable with the ESA listings. We question if this will exacerbate the re-entrainment of sediments into the food chain in the lake. We continue to lose valuable monitoring data in response to changing discharges in Canada, because of our inability to fund studies appropriately. Lake Roosevelt segments are listed as 303(d) impaired waterbodies¹. Because the lack of effort and focus on Lake Roosevelt by other agencies, the Tribes feel the need to take the lead in the development of strategies to further evaluate, clean up, and monitor Lake Roosevelt. These issues are difficult to assess due to 1) the size and complexity of the water body 2) multiple jurisdictions and uses, 3) fluctuation in water depth and water retention time and 4) this is international water.

In 1999 the Tribes petitioned the EPA for Preliminary Assessment of Lake Roosevelt under CERCLA. EPA has recently begun this process and contracted with Ecology and Environment, Inc. to conduct this work. A desktop review and evaluation of relevant studies, publications and other technical materials will be conducted over the next year. This process will lead to a ranking of river mile sites, which may result in further Site Assessment work. It is essential that the Tribes have

¹ 303 (d) listed waterbodies for total dissolved gas, sediment bioassay, temperature, Mercury. Washington's Water Quality Management Plan, Appendix A, Washington Department of Ecology, August 1999. Publication #99-26

adequate technical expertise to guide the Tribes and work closely with the contractors and EPA through these processes.

TRIBAL/EPA AGREEMENT

The Tribes has an approved agreement with EPA, which was adopted in 1997. This proposal supports objectives set forth in, but not limited to sections II and III of the agreement, and provides Tier 2 environmental assessment information.

PUBLIC BENEFIT

There are approximately 8500 Tribal members, 4,700 reside on the Reservation. Several thousand non-Indians reside on or adjacent to the reservation. Over 1.5 million visitor days are spent on Lake Roosevelt each year (300 miles of shoreline), with doubling population expected in next few years. The following communities are on and/or adjacent to Lake Roosevelt: Coulee Dam, Grand Coulee Dam, Keller, Seven Bays, Hunters, Fruitland, Cedonia, Kewa, Gifford, Inchelium, Kettle Falls and Marcus and Northport.

LAKE ROOSEVELT PRELIMINARY ASSESSMENT ASSISTANCE PROPOSAL STATEMENT OF WORK - Lake Roosevelt Project: TASKS/TIMELINE/OUTPUTS

Objective 1

During the performance of the Preliminary Assessment (PA) process, the Tribes will with adequate technical assistance consult and interact with EPA and their consultants to see that Tribal resource interests are represented and assist with the interpretation of the information and materials gathered.

1) Provide technical assistance to the Tribes in the interpretation of scientifically based information on Lake Roosevelt.

Time line: Throughout duration of project

Outputs: Provide written and oral communication to EPA and their contractors regarding the interpretation of scientific materials on Lake Roosevelt in the areas of: sediment quality, human health, toxicology and bio-assessment and ecological risk assessment. Consultant will work with Tribes to explain and discuss scientific materials.

Personnel Involved: Consultant to be hired, through duration of the project. Lake Roosevelt Water Quality Coordinator, Environmental Trust Program Manager

1a) Consultant report summarizing EPA's PA report on the river segments and the Colville Tribes comments and recommendations.

Personnel Involved: Consultant, Coordinator, Environmental Trust Manager

Objective 2 Technical coordination between EPA and Colville Tribes

Time line: Throughout duration of project

Outputs: Consultant will meet in person with Tribes at least twice during PA and per telephone weekly for the purpose of coordination on progress, technical assistance and discussions concerning the desktop review materials and information. Consultant will also work in coordination with Tribes Water Quality Coordinator who interacts with

other entities such as the Lake Roosevelt Water Quality Council and the Lake Roosevelt Forum.

Personnel Involved: Consultant, Coordinator

Objective 3 Tribal membership information "in-reach" Newsletter

Timeline: Produced by the end of June 2000

Outputs: Tribal Newsletter will be produced by the Tribes' Environmental Trust Department Staff and mailed to the Colville Tribal membership for the purpose of informing the membership of the progress and process of the PA including background information. This will be a two-page document.

Personnel Involved: Coordinator, other department staff.

Objective 4 Mining Research

Timelines: Research to be completed by the end of May 2000.

Outputs: Professional report identifying mines, mills and smelters located along the Columbia River, between the U.S.-Canadian Boarder (RM 745) and the Grand Coulee Dam (RM 597); approximately 100 to 200 yards from the bank of the Columbia River. Report shall also include the identification of mines, mills and smelters located along major tributaries to the Columbia River. Deliverables will include a report with a table presentation of findings by watershed and a map identifying sites. Tables shall include information on mineral names and production, locations in latitude/longitude, county and ownership information, if available.

Personnel involved: Coordinator will work with Tribal consultant to conduct research and will confer with EPA and/or its authorized contractor, if necessary.

TIMELINE

	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct
<u>Task</u>													
1) Technical Assistance			***	***	***	***	***	***	***	***	***	***	***
1a) PA Report												***	***
2) Technical Coordination			***	***	***	***	***	***	***	***	***	***	***
3) Newsletter									***				
4) Mining Research								***					

BUDGET NARRATIVE

FY 2000, YEAR 1
January through October 2000

Salaries	0
Fringe	0
Travel	0
Equipment	0
Supplies	████████
Contractual	████████
Construction	0
Other	0
Direct Charges	████████
Indirect Charges	0

Grand Total \$41,560

Narrative Description:

Objective 1,1a and 2: Technical Consultant

A consulting firm or University will be hired to provide technical assistance to the Colville Tribes. The consultant will be hired by the Tribes under the Tribes approved hiring policies. A request for proposal will be developed based on this funding request and approved EPA funding amounts. In order to complete tasks in work plan, consultant must possess or have access to professional expertise in the following fields: ecological risk assessment, sediment quality, human health, toxicology and bio-assessment.

Senior Scientist/Associate Level Professional Fees*

4 hr/wk x 12 weeks (Jan-Mar 2000) = 48 hrs.

2.5 hr/wk x 16 weeks (Apr-Jul 2000) = 40 hrs.

4 hr/wk x 12 weeks (Aug-Oct 2000) = 48 hrs.

136 hrs. x ██████/hr. = ██████

Travel: Two trips to Colville Indian Reservation/Lake Roosevelt for the purpose of meeting with Tribal Environmental Trust Staff. The first meeting to review existing known information and EPA process/tribal needs in relation to the Preliminary Assessment. Second meeting will be to coordinate with the Tribes on EPA's PA report for the river segments.

Two trips @ 1000 miles x ██████ = ██████
Senior Scientist 32 hours x ██████/hr = ██████
Sub-total = ██████

Senior Scientist/Associate level professionals are required due to the complexity of the environmental pollution, and difficult nature of existing scientific materials.

Senior Scientist Sub-total: ██████

Principal Level Professional Fees*

2 hours/week x 40 weeks (Jan-Oct 2000) = 80 hrs @ [REDACTED]/hr = [REDACTED]

Travel: One trip to Colville Indian Reservation/Lake Roosevelt with Sr. Scientist to review existing known information and EPA process/tribal needs in relation to the Preliminary Assessment and provide direction and guidance to the Tribes.

16 hours Principal Professional @ [REDACTED] = [REDACTED]

Principal hours will be limited basically to oversee quality of project and initial discussions...

Principal Sub-total: [REDACTED]

Office Support*

1 hour/week x 40 weeks = 40 hrs @ [REDACTED]/hr = [REDACTED]

Needed for any reporting, memos or correspondence generated by consultant.

Preliminary Assessment Report

Estimate of 10 pages/hr @ [REDACTED]/hr of a 100 page report (includes editing and binding) = [REDACTED].

* These are estimated average ranges for environmental professionals

Office Support/Report Sub-total: [REDACTED]

Total for Technical Assistance: [REDACTED]

Objective 3, Tribal Newsletter:

Postage costs: 3,000 (adult tribal member family distribution list) @ [REDACTED] = [REDACTED]

Printing, folding costs: Two pg. (printed each side = 4 pg.) x [REDACTED]/ea page = [REDACTED]/pgs.

[REDACTED] x 3,000 = [REDACTED]

Newsletter sub-total = [REDACTED]

Objective 4, Historical Mining Research:

Estimate for consultant (to be hired by the Tribe) to conduct research via Internet, bibliographic resources, local libraries and other local resource means

Sub-total for Mining research: [REDACTED]

GRAND TOTAL: \$ 41,560



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

ENVIRONMENTAL TRUST DEPARTMENT

Feb. 1, 2000

EPA Grants and Acquisitions Unit
ATTN: Art Aurdahl
1200 Sixth Ave., OMP-145
Seattle WA 98010

RE: EPA Annual Grant Certification Forms

Dear Sir:

Please accept the enclosed packet of information regarding "Annual Certification Process of EPA Assistance Applications" from the Confederated Tribes of the Colville Reservation.

Any Questions may be directed to Gary Passmore, Director, Environmental Trust, 509-634-2426.

Sincerely yours,

Gary Passmore, Director
Environmental Trust

Enclosures

CHECKLIST OF APPLICATION ITEMS TO BE SUBMITTED

(Send Original and One Copy of Each of the Following)

- ☒ KEY CONTACTS LIST
- ☒ APPLICATION FOR FEDERAL ASSISTANCE (SF-424) WITH ORIGINAL SIGNATURES
- ☒ BUDGET INFORMATION (SF-424A)
- ☒ ASSURANCES (SF-424B) WITH ORIGINAL SIGNATURES
- ☒ BUDGET NARRATIVE*
- ☒ NARRATIVE STATEMENT/WORKPLAN* (including statement on how this project supports your environmental program)
- ☒ N/A RESUMES AND BIOGRAPHICAL INFORMATION (if requested in Request for Proposals)*
- ☒ COPY OF NEGOTIATED INDIRECT COST RATE AGREEMENT - If you are requesting Indirect Costs, you must submit a copy of your current Negotiated Indirect Cost Rate Agreement. If you are a local government, a non-profit organization, or Small Grant applicant* without an approved Indirect Cost Agreement, a copy of your current Cost Allocation Plan must be on file with your agency and available upon request.

CERTIFICATIONS WITH ORIGINAL SIGNATURES:

- ☒ 5700-48 PROCUREMENT SYSTEM CERTIFICATION (required for States and Indian Tribes if applying for Superfund assistance)
- ☒ 5700-49 CERTIFICATION REGARDING DEBARMENT AND SUSPENSION
- ☐ 4700-4 PREAWARD COMPLIANCE REVIEW REPORT
- ☒ QUALITY ASSURANCE REQUIREMENT FORM
- ☒ NA ANTI-LOBBYING CERTIFICATION (if applying for grant over \$100,000)
- ☒ NA SF LLL DISCLOSURE OF LOBBYING ACTIVITIES (if applying for grant over \$100,000 and if applicable)
- ☒ LOBBYING CERTIFICATION FOR NON-PROFIT 501(c)(4) ORGANIZATIONS ONLY
- ☒ SURVEY OF MANAGEMENT SYSTEMS (State applicants are not required to submit this form)

*Refer to pages B1-B2 and C2-C3 for more information on the Small Grants Policy and to determine if your application fits the guidelines in the policy.

KEY CONTACTS

AUTHORIZED REPRESENTATIVE - This is the individual who has the authority to sign the application for Federal Assistance (SF-424) and execute the Agreement on behalf of the applicant.

Circle One (Mr. ~~Mrs.~~ ~~Ms.~~)

NAME: COLLEEN CAWSTON, CHAIRPERSON, COLVILLE BUSINESS COUNCIL

TITLE: Chairperson, COLVILLE BUSINESS COUNCIL

TELEPHONE: (509) 634-2200 FAX: (509) 634-4116

E-MAIL ADDRESS: N/A

PROGRAM/PROJECT MANAGER - This is the individual who is responsible for the management of the Project for the applicant.

Circle One (Mr. ~~Mrs.~~ ~~Ms.~~)

NAME: GARY W. PASSMORE, Manager

TITLE: MANAGER, ENVIRONMENTAL TRUST DEPARTMENT

TELEPHONE: (509) 634-2426 FAX: (509) 634-2427

E-MAIL ADDRESS:

FINANCIAL REPRESENTATIVE - This is the individual who has been assigned responsibility for the maintenance of the accounting and financial management system for the applicant.

Circle One (Mr. ~~Mrs.~~ ~~Ms.~~)

NAME: CINDY HOKE, Acting Comptroller

TITLE: CHIEF FINANCIAL OFFICER

TELEPHONE: (509) 634-2851 FAX: (509) 634-2869

E-MAIL ADDRESS: N/A

PAYEE ADDRESS - If different than recipient address:

NAME: COLVILLE CONFEDERATED TRIBES

ADDRESS: P.O. BOX 150

CITY, STATE, ZIP: NESPELEM, WA 99155

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (Identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE	
<i>William F. Crawford</i>		Chairperson Colville Business Council	
APPLICANT ORGANIZATION		DATE SUBMITTED	
Confederated Tribes of the Colville Indian Reservation		1/26/00	

ATTENTION: BUNDLING LETTER

TO: EPA Grants and Acquisitions Unit
1200 Sixth Ave., OMP-145
Seattle, WA 98101

RE: Annual Certification Process for EPA Assistance Applications

(Applicant's Name) ~~Confederated Tribes of the Colville Reservation~~ is submitting the following certifications in response to EPA's policy which allows recipients who receive multiple grants or cooperative agreements to submit a single set of certifications annually for all EPA applications:

- ☒ Assurances - Non-Construction Programs (SF-424B)
- ☒ Preaward Compliance Review Report for all Applicants Requesting Federal Financial Assistance (EPA Form 4700-4)
- ☒ Procurement System Certification (EPA Form 5700-48)
- ☒ Anti-Lobbying Act of 1990 Application Certification
- ☒ Survey of Recipient's Management Systems (*All new non-State applicants should submit*)

These certifications are to cover the following future anticipated applications:
(i.e., "all continuing environmental programs" or "all programs")


All continuing environmental programs for the Colville Tribes
Environmental Trust Programs (Water, Air, Lead, etc.,)

It is understood that these certifications are valid from January 1, 2000 - through December 31, 2000 - .

We shall provide immediate written notification to EPA if any changes occur during this certification period, or if any of the annual certifications do not apply to a particular assistance application.

The following individual is the point of contact for the enclosed certifications:

Name: Gary W. Passmore
Title: Environmental Programs Director
Address: P.O. Box 150
Nespelem, WA 99155-0150
Phone No. (509) 634-2426


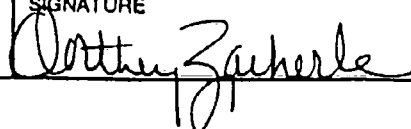

Applicant's Authorized Representative

Colleen Cawston, Chairperson

1-26-00

Date

NOTE: Required if applying for Superfund assistance.

 UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, DC 20460		Form Approved OMB No. 2000-0453
PROCUREMENT SYSTEM CERTIFICATION		
APPLICANT'S NAME Confederated Tribes of the Colville Indian Reservation		ASSISTANCE APPLICATION NUMBER
APPLICANT'S ADDRESS P.O. Box 150 Nespelem, WA 99155		
SECTION I - INSTRUCTIONS		
<p>The applicant must complete and submit a copy of this form with each application for EPA Assistance. If the applicant has certified its procurement system to EPA within the past 2 years and the system has not been substantially revised, complete Part A in Section II, then sign and date the form. If the system has not been certified within the past 2 years, complete Part B, then sign and date the form.</p>		
SECTION II - CERTIFICATION		
A. I affirm that the applicant has within the past 2 years certified to EPA that its procurement system compiles with 40 CFR Part 35 and that the system meets the requirements in 40 CFR Part 35. The date of the applicant's latest certification is:		MONTH/YEAR
B. Based upon my evaluation of the applicant's procurement system, I, as authorized representative of the applicant: <i>(Check one of the following:)</i>		
<input checked="" type="checkbox"/> 1. CERTIFY that the applicant's procurement system will meet all of the requirements of 40 CFR Part 35 before undertaking any procurement action with EPA assistance.		
Please furnish citations to applicable procurement ordinances and regulations		
<input type="checkbox"/> 2. DO NOT CERTIFY THE APPLICANT'S PROCUREMENT SYSTEM. The applicant agrees to follow the requirements of 40 CFR Part 35, including the procedures in Appendix A, and allow EPA preaward review of proposed procurement actions that will use EPA assistance.		
TYPED NAME AND TITLE Dorothy Zacherle Contract Officer	SIGNATURE 	DATE 1/31/2000

EPA Form 5700-48 Previous edition is obsolete

See instructions on reverse side

INSTRUCTIONS

Section II - Certification

Applicant must complete one of the following areas:

A. Give the Month and Year

B. Check Block 1 or 2

If Block 1 is checked, please furnish citations to applicant's applicable procurement ordinances and regulations.

This form must be signed by the applicant's authorized official.

40 CFR Part 35 Subpart O applies to Superfund recipients.

NOTE: This form must be submitted with each application.



EPA Project Control Number

United States Environmental Protection Agency
Washington, DC 20460

Certification Regarding Debarment, Suspension, and Other Responsibility Matters

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of the certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Typed Name & Title of Authorized Representative

Colleen Cawston, Chairperson, Colville Business Council

Signature of Authorized Representative

Colleen F. Cawston

1-26-00
Date

☐ I am unable to certify to the above statements. My explanation is attached.

Instructions

Under Executive Order 12549, an individual or organization debarred or excluded from participation in Federal assistance or benefit programs may not receive any assistance award under a Federal program, or a subagreement thereunder for \$25,000 or more.

Accordingly, each prospective recipient of an EPA grant, loan, or cooperative agreement and any contract or subagreement participant thereunder must complete the attached certification or provide an explanation why they cannot. For further details, see 40 CFR 32.510, Participants' responsibilities, in the regulation.

Where to Submit

The prospective EPA grant, loan, or cooperative agreement recipient must return the signed certification or explanation with its application to the appropriate EPA Headquarters or Regional office, as required in the application instructions.

A prospective prime contractor must submit a completed certification or explanation to the individual or organization awarding the contract.

Each prospective subcontractor must submit a completed certification or explanation to the prime contractor for the project.

How to Obtain Forms:

EPA includes the certification form, instructions, and a copy of its implementing regulation* (40 CFR Part 32) in each application kit. Applicants may reproduce these materials as needed and provide them to their prospective prime contractor, who, in turn, may reproduce and provide them to prospective subcontractors.


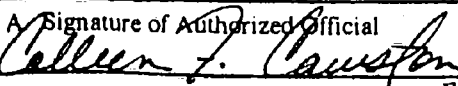
Additional copies/assistance may be requested from:

Office of Grants and Debarment
Suspension and Debarment Division
U.S. Environmental Protection Agency
401 M. Street, SW
Washington, D.C. 20460
(Telephone: (202) 260-8025)

EPA Form 5700-49 (11-88)

* Regulations available @ <http://www.access.gpo.gov/nara/cfr/waisidx/40cfrv1.html>

PLEASE NOTE: Questions concerning completion of this form should be directed to
Cecilia Contreras at (206) 553-2899.

 <div style="display: inline-block; vertical-align: middle; margin-left: 10px;"> United States Environmental Protection Agency Washington, DC 20460 Preaward Compliance Review Report for All Applicants Requesting Federal Financial Assistance </div>		FORM Approved OMB No. 2090-0014 Expires 4-30-99
Note: Read instructions on reverse side before completing form.		
I. A. Applicant (Name, City, State) Colville Confederated Tribes Nespelem, WA 99155	B. Recipient (Name, City, State) Confederated Tribes of the Colville Indian Reservation Nespelem, Wa 99155	C. EPA Project No.
II. Brief description of proposed project, program or activity. Continuing Environmental Programs		
III. Are any civil rights lawsuits or complaints pending against applicant and/or recipient? If yes, list those complaints and the disposition of each complaint. <div style="text-align: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</div>		
IV. Have any civil rights compliance reviews of the applicant and/or recipient been conducted by any Federal agency during the two years prior to this application for activities which would receive EPA assistance? If yes, list those compliance reviews and status of each review. <div style="text-align: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</div>		
V. Is any other Federal financial assistance being applied for or is any other Federal financial assistance being applied to any portion of this project, program or activity? If yes, list the other Federal Agency(s), describe the associated work and the dollar amount of assistance. <div style="text-align: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</div>		
VI. If entire community under the applicant's jurisdiction is not served under the existing facilities/services, or will not be served under the proposed plan, give reasons why. <div style="text-align: center;">N/A</div>		
VII. Population Characteristics		Number of People
1. A. Population of Entire Service Area		
B. Minority Population of Entire Service Area		
2. A. Population Currently Being Served		
B. Minority Population Currently Being Served		
3. A. Population to be Served by Project, Program or Activity		
B. Minority Population to be Served by Project, Program or Activity		
4. A. Population to Remain Without Service		
B. Minority Population to Remain Without Service		
VIII. Will all new facilities or alterations to existing facilities financed by these funds be designed and constructed to be readily accessible to and usable by handicapped persons? If no, explain how a regulatory exception (40 CFR 7.70) applies. <div style="text-align: right;"><input type="checkbox"/> Yes <input type="checkbox"/> No</div> <div style="text-align: center;">N/A</div>		
IX. Give the schedule for future projects, programs or activities (or of future plans), by which services will be provided to all beneficiaries within applicant's jurisdiction. If there is no schedule, explain why. Continue through the life time of the Colville Tribes' Environmental Trust Program		
X. I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law.		
A. Signature of Authorized Official 	B. Title of Authorized Official Chairperson, Colville Business Council	C. Date 1.26.00
For the U.S. Environmental Protection Agency		
<input type="checkbox"/> Approved <input type="checkbox"/> Disapproved	Authorized EPA Official	Date

EPA Form 4700-4 (Rev. 1/90) Previous editions are obsolete.

QUALITY ASSURANCE REQUIREMENT FORM
40 CFR 30.54 AND 31.45

_____ This program/project does NOT involve environmentally related measurement or data generation,

OR

X This program/project DOES include environmentally related measurements or data generation. Environmentally related measurements or data generation deals with the collection of samples and analysis of samples in the field or at a laboratory, or the collection of environmental data in the field, such as the collection and reporting of environmental measurements or environmental observations. A Quality Assurance Project Plan (QAPP) must meet the requirement of 40 CFR 30.54 and 31.45.

_____ has been prepared for this project and IS ATTACHED.

X was previously reviewed and formally approved by the U.S. EPA on 12 NOV '99 and is still current and applicable. (Current is defined as less than three (3) years old.)

_____ will be prepared and forwarded to the U.S. EPA upon completion of the QAPP. Applicant understands that this QA requirement is a condition for award of the grant/cooperative agreement.

1.26.00
Date

Colleen F. Causton
Signature of Authorized Representative

COLLEEN CAUSTON
~~Chairperson Colville Business Council~~
Typed Name & Title of Authorized Representative

Colville Confederated Tribes
Typed Name of Applicant

Agency Use Only

EPA GRANT (PROJECT) MANAGER

EPA QA OFFICER

Signature

Date

Signature

Date

NOTE: Must be completed if applying for assistance over \$100,000.

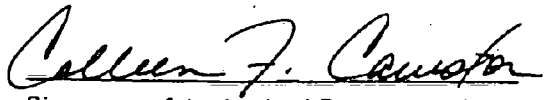
**ANTI-LOBBYING ACT OF 1990
APPLICANT CERTIFICATION**

This Certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this Certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required Certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The undersigned certifies to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award document for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

1-26-00
Date


Signature of Authorized Representative
Colleen Cawston, Chairperson

NOTE: ONLY required for 501C(4) Non-Profit Organizations.

LOBBYING CERTIFICATION FOR
NON-PROFIT 501 (C) (4) ORGANIZATIONS ONLY

On behalf of Colville Confederated Tribes (name of organization), I
certify that this organization does not engage in lobbying activities.

Lobbying activities include using funds that have been or will be paid, by or on behalf of the above specified organization, to any person for influencing or attempting to influence an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal Loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

The organization shall include this certification language in the award document for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify accordingly.

1-26-00
Date

Colleen F. Cawston
Signature of Authorized Representative
Colleen Cawston, Chairperson
Colville Business Council

Survey of Recipient's Management Systems

Applicants for assistance under EPA agreements are required to have an adequate financial management system and audit procedure which provides efficient and effective accountability and control of all property, funds, and assets related to the agreement. This survey indicates the accounting standards that EPA considers basic to adequate Financial Management. Please mark each of these questions, YES, NO, or N/A, to help us and you determine whether your present systems can meet these requirements. The completed form must be signed and returned to:

Environmental Protection Agency
Grants and Acquisitions Unit
1200 Sixth Avenue, OMP-145
Seattle, Washington 98101

Accounting

- YES 1) Have project accounting records been established to record the costs applicable to the EPA agreement and other direct activities?
- yes 2) Are all costs of a project posted to these records? Are the records used as the basis for vouchers and Financial Status Reports submitted to EPA?
- YES 3) Before posting, are costs reviewed for reasonableness, allowability, and allocability to the project?
- YES 4) Are project accounts broken into subaccounts by program element or cost objective?
- YES 5) Is appropriate documentation maintained to support the costs of:
- YES a) personnel?
- YES b) fringe benefits?
- YES c) travel?
- YES d) purchases of material, supplies, and equipment?
- YES e) consultants?
- YES f) other costs?
- YES 6) Are costs posted in these records reflected on and reconciled with control accounts contained in the general ledger?
- YES 7) Are accounting records subjected to an independent audit at least every two years?

Personnel

- YES 8) Do personnel and/or payroll records support the time and attendance, leave, and earnings for all employees?

YES 9) Are time distribution records maintained to show the amount of time spent on each project covered under the agreement, as well as time spent on other projects? (These records are required for all personnel spending less than full time on an individual project).

YES 10) Are there controls to assure that personnel costs are distributed in accordance with the time distribution records?

YES 11) Are there established procedures to govern the charges of personnel time related to partners or principals in closely held corporations?

YES 12) Are there formal procedures regarding bonuses, retirement plans, and/or profit sharing?

Travel

YES 13) Are there established policies to govern reimbursement for travel?

YES 14) Do these policies require travel vouchers to be submitted which:
a) show the time and purpose of the travel?
b) clearly indicate the nature of expenses being claimed?
c) require the submission of supporting documentation?

Procurement

YES 15) Are there established procedures to assure that professional services, equipment, material, and/or supplies requested are really needed?

YES 16) Are existing supplies or inventories reviewed to assure that requested items are not already available?

YES 17) Are there formal procurement procedures to assure that equipment, material, and supplies are obtained on a competitive basis?

YES 18) Are there procedures to assure that the type of contract utilized is appropriate for the procurement being undertaken?

YES 19) Are there controls to assure that types of contracts unacceptable to the Federal Government are not utilized?

YES 20) Are Minority and Women Owned Businesses included in solicitations?

YES 21) Are solicitations obtained from several sources to assure that the most qualified party is selected?

YES 22) Are quotations reviewed to assure that the proposed price is reasonable to the contractor, the recipient, and EPA?

- ~~YES~~ 23) Are internal controls utilized to assure that contracts contain all required clauses:
- ~~YES~~ a) access to records
 - ~~YES~~ b) ownership of data
 - ~~YES~~ c) termination
 - ~~YES~~ d) applicable cost principles
 - ~~YES~~ e) defective pricing

Obligations

- ~~YES~~ 24) Are there procedures to assure that reported obligations are supported by purchase orders, contracts, etc.?
- ~~YES~~ 25) Do these procedures require that obligations are periodically reviewed with regard to their validity?
- ~~YES~~ 26) Do these procedures require the timely liquidations of obligations?

Indirect Costs

If indirect costs are claimed under this agreement:

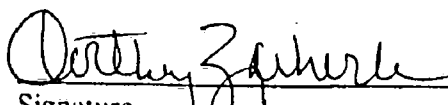
- ~~YES~~ 27) If the indirect cost rate is negotiated with a Federal Agency, a copy of the negotiated agreement must be sent to Grants and Acquisitions Unit.

Current rate proposal has been approved pending

- 28) If the indirect cost rate is not negotiated with a Federal Agency:
- a) Is there a cost allocation plan to indicate which costs are considered direct costs as opposed to indirect costs?
 - b) Are unallowable costs separately identified and/or excluded from the indirect cost proposal?
 - c) Are items of the same nature which are charged as direct costs excluded from the indirect cost proposal?
 - d) Are indirect costs accumulated in more than one pool?

A copy of the cost allocation plan may be requested.

I certify that the answers to these questions accurately reflect the management systems.


Signature
Dorothy Zacherle
Contract Officer

1/31/2000
Date



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

RECEIVED

FEB 22 2000

Environmental Cleanup Office

February 15, 2000

Monica Tonel, Site Assessment Manager
U. S. Environmental Protection Agency
Region 10
1200 Sixth Avenue
Seattle, WA 98101

RE: Lake Roosevelt Preliminary Assessment Grant Application Revision

Dear Ms. Tonel:

Enclosed is the "revised" grant request per our telephone discussions on the Lake Roosevelt Preliminary Assessment. The narrative description and the budget have been reduced per the revised budget amount indicated to \$35,090.

I will also send via fax a new Quality Assurance Requirement Form as soon as possible to reflect this project does not involve environmentally related measurement.

Please contact me if you need further clarification or have any questions.

Sincerely,

A handwritten signature in cursive script, appearing to read "Patti Stone".

Patti Stone, Water Quality Coordinator
Colville Confederated Tribes

**CONFEDERATED TRIBES OF THE COLVILLE RESERVATION
ENVIRONMENTAL TRUST DEPARTMENT, DIRECTOR: GARY W. PASSMORE
December 6, 1999**

FY 2000 Grant Request
Site Assessment/PA, Lake Roosevelt

BACKGROUND

Columbia River

BACKGROUND: 1872-1941

The Colville Indian Reservation was established in 1872, during this time metal smelting began in the Trail BC area, about eight miles north of the Canada/USA border. In 1908 the King of England and US government adopted a treaty which dealt with boundary waters commonly known as the "Boundary Waters Treaty", the document states in Article IV, "it is further agreed that the waters herein defined as boundary waters and waters flowing across the boundary shall not be polluted on either side to the injury of health or property on the other." This treaty has been violated for most of its history by the discharge of millions of tons of smelter waste consisting of slag and metallic compounds from the largest smelter complex in the world. In addition to Canadian smelter waste, mining waste and industrial waste primarily from the Kellogg, Idaho-Lake Coeur d'Alene-Spokane River watershed also pollutes the watershed Columbia River below its confluence with Spokane River.

In the late 1930's the Grand Coulee Dam was constructed, culminating with the filling of Lake Roosevelt in 1941. This effectively trapped all heavy metal-laden sediments behind the dam. The Colville Indian Reservation boundary is roughly in the middle of this reservoir, following the thawing of the original Columbia River channel.

1941-1988

Point source pollution continued on the US side until implementation of the NPDES program and other environmental controls in the 1970's and 80's. In 1961 the Celgar bleached Kraft Paper Mill was constructed near Castlegar BC, about 38 miles north of the international border. This mill had virtually no pollution controls. Canadian and Washington State health authorities issued fish consumption advisories.

1989-1996

The Tribes along with local governments and citizens groups became increasingly concerned with lack of water pollution controls, particularly on Canadian sources; and with the enormous build-up of enduring contaminants in the Lake Roosevelt. The Lake Roosevelt Water Quality Council (LRWQC) was formed and comprised of representatives from the Colville and Spokane Tribes, two from county governments, two from State government two from federal government, and two from citizens groups. BC Environment and Canadian industry embarked on a \$900,000 clean-up campaign. The pulp mill was redesigned to eliminate dioxin compounds and reduce other wastes. Controls were placed on various point source discharges from the smelter complex, including non-point source discharges from the smelter complex, including non-point source controls. Slag dumping into the Columbia River was reduced in 1995 from over 400 metric tons per day to fewer than 5. A new lead smelting process was designed to reduce air and water pollution. Mercury and

phosphorus discharges from the smelter complex were greatly diminished.

LRWQC members lobbied congress to obtain funding to study the fate of toxic pollutants in the lake and their effect on the environment and human health. \$1,000,000 was spent over three years to fund a USGS investigation of contaminated bottom sediments, fish tissue analyses in fish, as well as some public participation funding. Throughout this period EPA participated on the LRWQC. The State Water Research Center performed limnological work and drafted a general water quality management plan. In 1994 The Colville Tribes hired a coordinator to organize the LRWQC and coordinate information flow among those involved. Issues surrounding lake water quality were prioritized for funding. Some 106 funds were used for these activities in 1995. There was a lag in funding after 1995, until federal congressional funds became available in 1997 to start-up the Council again. Progress has been made on several of these issues but much remains to be accomplished.

CURRENT STATE OF AFFAIRS AND PROGRAM NEED

Through the Tribes efforts, the LRWQC was reestablished, which functions as a technical group (includes multi-agency and public representatives) to make and implement management recommendations to protect water quality and aquatic resources. As a part of our LRWQC Action Plan a fish tissue study was completed in 1998 in partnership with the USGS and will publish a public information fact sheet near the end of 1999. A previously completed management plan has no lead agency for full implementation, although entities are implementing portions of the plan. Plans continue for multiple drawdowns of Lake Roosevelt without adequate environmental assessment. Summer drawdowns are inevitable with the ESA listings. We question if this will exacerbate the re-entrainment of sediments into the food chain in the lake. We continue to lose valuable monitoring data in response to changing discharges in Canada, because of our inability to fund studies appropriately. Lake Roosevelt segments are listed as 303(d) impaired waterbodies*1. Because the lack of effort and focus on Lake Roosevelt by other agencies, the Tribes feel the need to take the lead in the development of strategies to further evaluate, clean up, and monitor Lake Roosevelt. These issues are difficult to assess due to 1) the size and complexity of the water body 2) multiple jurisdictions and uses, 3) fluctuation in water depth and water retention time and 4) this is international water.

In 1999 the Tribes petitioned the EPA for Preliminary Assessment of Lake Roosevelt under CERCLA. EPA has recently begun this process and contracted with Ecology and Environment, Inc. to conduct this work. A desktop review and evaluation of relevant studies, publications and other technical materials will be conducted over the next year. This process will lead to a ranking of river mile sites, which may result in further Site Assessment work. It is essential that the Tribes have adequate technical expertise to guide the Tribes and work closely with the contractors and EPA through these processes.

TRIBAL/EPA AGREEMENT

The Tribes has an approved agreement with EPA, which was adopted in 1997. This proposal supports objectives set forth in, but not limited to sections II and III of the agreement, and provides Tier 2 environmental assessment information.

PUBLIC BENEFIT

There are approximately 8500 Tribal members, 4,700 reside on the

Reservation. Several thousand non-Indians reside on or adjacent to the reservation. Over 1.5 million visitor days are spent on Lake Roosevelt each year (300 miles of shoreline), with doubling population expected in next few years. The following communities are on and/or adjacent to Lake Roosevelt: Coulee Dam, Grand Coulee Dam, Keller, Seven Bays, Hunters, Fruitland, Cedonia, Kewa, Gifford, Inchelium, Kettle Falls and Marcus and Northport.

LAKE ROOSEVELT PRELIMINARY ASSESSMENT ASSISTANCE PROPOSAL
STATEMENT OF WORK - Lake Roosevelt Project: TASKS/TIMELINE/OUTPUTS

Objective 1

During the performance of the Preliminary Assessment (PA) process, the Tribes will with adequate technical assistance consult and interact with EPA and their consultants to see that Tribal resource interests are represented and assist with the interpretation of the information and materials gathered.

1) Provide technical assistance to the Tribes in the interpretation of scientifically based information on Lake Roosevelt.

Time line: Throughout duration of project

Outputs: Provide written and oral communication to EPA and their contractors regarding the interpretation of scientific materials on Lake Roosevelt in the areas of: sediment quality, human health, toxicology and bio-assessment and ecological risk assessment. Consultant will work with Tribes to explain and discuss scientific materials.

Personnel Involved: Consultant to be hired, through duration of the project. Lake Roosevelt Water Quality Coordinator, Environmental Trust Program Manager

1a) Consultant report summarizing EPA's PA report on the river segments and the Colville Tribes comments and recommendations.

Personnel Involved: Consultant, Coordinator, Environmental Trust Manager

Objective 2 Technical coordination between EPA and Colville Tribes

Time line: Throughout duration of project

Outputs: Consultant will meet in person with Tribes at least twice during PA and per telephone weekly for the purpose of coordination on progress, technical assistance and discussions concerning the desktop review materials and information. Consultant will also work in coordination with Tribes Water Quality Coordinator who interacts with other entities such as the Lake Roosevelt Water Quality Council and the Lake Roosevelt Forum.

Personnel Involved: Consultant, Coordinator

Objective 3 Mining Research

Timelines: Research to be completed by the end of May 2000.

Outputs: Professional report identifying mines, mills and smelters located along the Columbia River, between the U.S.-Canadian Boarder (RM 745) and the Grand Coulee Dam (RM 597); approximately 100 to 200 yards from the bank of the Columbia River. Report shall also include the identification of mines, mills and smelters located along major tributaries to the Columbia River. Deliverables will include a report with a table presentation of findings by watershed. Tables shall include information on mineral names and production, locations in latitude/longitude, county and ownership information, if available.

Personnel involved: Coordinator will work with Tribal consultant to

conduct research and will confer with EPA and/or its authorized contractor, if necessary.

TIMELINE

	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct
<u>Task</u>													
1) Technical Assistance		
1a) PA Report											
2) Technical Coordination		
3) Mining Research							...						

BUDGET NARRATIVE

FY 2000, YEAR 1
February through October 2000

Salaries	0
Fringe	0
Travel	0
Equipment	0
Supplies	0
Contractual	████████
Construction	0
Other	0
Direct Charges	████████
Indirect Charges	0

Grand Total \$35,090

Narrative Description:

Objective 1.1a and 2: Technical Consultant

A consulting firm or University will be hired to provide technical assistance to the Colville Tribes. The consultant will be hired by the Tribes under the Tribes approved hiring policies. A request for proposal will be developed based on this funding request and approved EPA funding amounts. In order to complete tasks in work plan, consultant must possess or have access to professional expertise in the following fields: ecological risk assessment, sediment quality, human health, toxicology and bio-assessment.

Senior Scientist/Associate Level Professional Fees*

4.5 hr/wk x 8 weeks (Feb-Mar 2000) = 36 hrs.
2.5 hr/wk x 16 weeks (Apr-Jul 2000) = 40 hrs.
4 hr/wk x 12 weeks (Aug-Oct 2000) = 48 hrs.

124 hrs. x [REDACTED] /hr. = [REDACTED]

Travel: Two trips to Colville Indian Reservation/Lake Roosevelt for the purpose of meeting with Tribal Environmental Trust Staff. The first meeting to review existing known information and EPA process/tribal needs in relation to the Preliminary Assessment. Second meeting will be to coordinate with the Tribes on EPA's PA report for the river segments.

Two trips @ 1000 miles x [REDACTED] = [REDACTED]
Senior Scientist 32 hours x [REDACTED] /hr = [REDACTED]
Sub-total = [REDACTED]

Senior Scientist/Associate level professionals are required due to the complexity of the environmental pollution, and difficult nature of existing scientific materials.

Senior Scientist Sub-total: [REDACTED]

Principal Level Professional Fees*

2 hours/week x 36 weeks (Feb-Oct 2000) = 72 hrs @ [REDACTED] /hr = [REDACTED]

Travel: One trip to Colville Indian Reservation/Lake Roosevelt with Sr. Scientist to review existing known information and EPA process/tribal needs in relation to the Preliminary Assessment and provide direction and guidance to the Tribes.

16 hours Principal Professional @ [REDACTED] /hr = [REDACTED]

Principal hours will be limited basically to oversee quality of project and initial discussions..

Principal Sub-total: [REDACTED]

Office Support*

.5 hour/week x 36 weeks = 18 hrs [REDACTED] /hr = [REDACTED]

Needed for any reporting, memos or correspondence generated by consultant.

Preliminary Assessment Report

Estimate of 10 pages/hr @ [REDACTED] /hr of a 100 page report (includes editing and binding) = [REDACTED]

* These are estimated average ranges for environmental professionals

Office Support/Report Sub-total: [REDACTED]

Total for Technical Assistance: [REDACTED]

Objective 3. Historical Mining Research:

Estimate for consultant (to be hired by the Tribe) to conduct research via Internet, bibliographic resources, local libraries and other local resource means.

Sub-total for Mining research: [REDACTED]

GRAND TOTAL: \$ 35,090

303 (d) listed waterbodies for total dissolved gas, sediment bioassay, temperature, Mercury.
Washington's Water Quality Management Plan, Appendix A, Washington Department of Ecology,
August 1999. Publication #99-26

QUALITY ASSURANCE REQUIREMENT FORM
40 CFR 30.54 AND 31.45

 X This program/project does NOT involve environmentally related measurement or data generation,

OR

 This program/project DOES include environmentally related measurements or data generation. Environmentally related measurements or data generation deals with the collection of samples and analysis of samples in the field or at a laboratory, or the collection of environmental data in the field, such as the collection and reporting of environmental measurements or environmental observations. A Quality Assurance Project Plan (QAPP) must meet the requirement of 40 CFR 30.54 and 31.45.

 has been prepared for this project and IS ATTACHED.

 was previously reviewed and formally approved by the U.S. EPA on and is still current and applicable. (Current is defined as less than three (3) years old.)

 will be prepared and forwarded to the U.S. EPA upon completion of the QAPP. Applicant understands that this QA requirement is a condition for award of the grant/cooperative agreement.

2/17/00

Date

Colleen F. Cawston
Signature of Authorized Representative

Colleen F. Cawston, Chairperson

Typed Name & Title of Authorized Representative

Colville Confederated Tribes

Typed Name of Applicant

Agency Use Only

EPA GRANT (PROJECT) MANAGER

EPA QA OFFICER

Signature



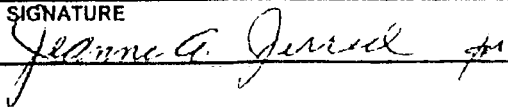
Date

Signature

Date

M1

TOTAL P.03

	U.S. ENVIRONMENTAL PROTECTION AGENCY Cooperative Agreement		ASSISTANCE ID NO.		DATE OF AWARD 3-24-00		
			PRG V	DOC ID 98072501		AMEND# 0	
			TYPE OF ACTION New			MAILING DATE 3-31-00	
			PAYMENT METHOD: ACH			ACH# 1021	
RECIPIENT TYPE: Indian Tribe			Send Payment Request to: Region 10 Finance Unit				
RECIPIENT: Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155 EIN: 91-0557683			PAYEE: Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155				
PROJECT MANAGER Gary Passmore, Director P.O. Box 150 Nespelem, WA 99155 E-Mail: Phone: 509-634-2426		EPA PROJECT OFFICER Monica Tonel 1200 Sixth Avenue, ECL-115 Seattle, WA 98101 E-Mail: Phone: 206-553-0323		EPA GRANT SPECIALIST Elizabeth Pendleton 1200 Sixth Avenue, OMP-145 E-Mail: Pendleton.Elizabeth@epa.gov Phone: 206-553-2919			
PROJECT TITLE AND DESCRIPTION Support Agency Agreement for the Lake Roosevelt Preliminary Assessment To provide for tribal involvement in the preliminary assessment of the upper Columbia River, segments 597 to 745							
BUDGET PERIOD 04/01/2000 - 12/31/2000		PROJECT PERIOD 04/01/2000 - 12/31/2000		TOTAL BUDGET PERIOD COST \$35,090.00	TOTAL PROJECT PERIOD COST \$35,090.00		
NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.							
OFFER AND ACCEPTANCE							
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>Colville Confederated Tribes</u> for <u>100.00</u> % of all approved costs incurred up to and not exceeding <u>\$35,090</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>02/04/2000</u> included herein by reference.							
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)			AWARD APPROVAL OFFICE				
ORGANIZATION / ADDRESS EPA Region 10 Mail Code, OMP-145 1200 Sixth Avenue Seattle, WA 98101			ORGANIZATION / ADDRESS U.S. EPA, Region 10 1200 Sixth Avenue Seattle, WA 98101				
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY							
SIGNATURE OF AWARD OFFICIAL 		TYPED NAME AND TITLE Michael F. Gearheard, Director, Environmental Cleanup Office		DATE 3-24-00			
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.							
BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION							
SIGNATURE 		TYPED NAME AND TITLE Colleen Cawston, Chairperson		DATE 4-17-00			

EPA Funding Information

V - 98072501 - 0 Page 2

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$	\$ 35,090	\$ 35,090
EPA In-Kind Amount	\$	\$	\$ 0
Unexpended Prior Year Balance	\$	\$	\$ 0
Other Federal Funds	\$	\$	\$ 0
Recipient Contribution	\$	\$	\$ 0
State Contribution	\$	\$	\$ 0
Local Contribution	\$	\$	\$ 0
Other Contribution	\$	\$	\$ 0
Allowable Project Cost	\$ 0	\$ 35,090	\$ 35,090

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Site Specific Cooperative Agreements	CERCLA: Sec. 104	40 CFR PTS 31 & 35 SUBPT O

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
	QAG011	2000	T	0AQ0P	50102D	4185	106XMA00	C001	35090

Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$0
2. Fringe Benefits	\$0
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$
10. Indirect Costs: % Base	\$0
11. Total (Share: Recipient % Federal 100.00 %.)	\$35,090
12. Total Approved Assistance Amount	\$35,090
13. Program Income	\$0

Administrative Conditions

1. Method of Payment - ACH

Under the Automated Clearing House (ACH) payment method the grantee shall:

- (a) Initiate cash DRAW-DOWNS only when actually needed for disbursements.
- (b) Report cash disbursements and balances in a timely manner as required by the ACH Users Manual.
- (c) Impose the same standards of timing and reporting on secondary recipients (e.g., contractors).

Failure to comply may cause the unexpended portion of the ACH to be revoked and the financing method changed to a reimbursable method.

2. Cost Principles/Indirect Costs Not Included (All Organizations)

The cost principles of OMB Circular A-21 (Educational Institutions), A-87 (State, Local or Indian Tribal Governments), or A-122 (Non-Profit Organizations) are applicable, as appropriate, to this award. Since there are no indirect costs included in the assistance budget, they are not allowable under this Assistance Agreement.

3. Audit Requirements

The recipient agrees to comply with the requirements of OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

4. Y2K Requirements

Recipient's assistance agreement may produce electronic date-sensitive data or systems that use such data. Any information technology purchased, produced, submitted or exchanged under this agreement must be capable of processing date data according to EPA's Data Standard for representation of calendar dates (EPA Directive 2100, IRM Policy Manual, Chapter 5, Data Standards). This information is located on the EPA website: <http://www.epa.gov/irmpoli8/polman/chaptr05.txt.html#calendar>.

Information technology acquired under this agreement should be consistent with the technical Year 2000 requirements as described in the Federal Acquisition Regulation 39.002. This information is located on the official GSA website: <http://www.arnet.gov/far/97-05/html/39.html>.

5. Hotel and Motel Fire Safety Act

Effective October 1, 1994, the recipient agrees to ensure that all conference, meeting, convention, or training space funded in whole or in part with Federal funds, complies with the Hotel and Motel Fire Safety Act of 1990.

6. Recycled Paper

Pursuant to EPA Order 1000.25, dated January 24, 1990, the recipient agrees to use recycled paper for all reports which are prepared as a part of this agreement and delivered to the Agency. This requirement does not apply to Standard Forms. These forms are printed on recycled paper as available through the General Services Administration.

7. Lobbying

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

8. Small and Disadvantaged Business Utilization Requirements

Requirements for contracting with small and minority firms, women's business enterprise and labor surplus area firms:

The grantee and subgrantees will take all necessary affirmative steps to assure that minority firms, women's business enterprises, and labor surplus area firms are used when possible (in accordance with 40 CFR 31.36(e)). The affirmative steps shall include the following:

- (a) Placing qualified small and minority businesses and women's business enterprises on solicitation lists;
- (b) Assuring that small and minority businesses, and women's business enterprises are solicited whenever they are potential sources;
- (c) Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority businesses, and women's business enterprises;
- (d) Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women's business enterprises;
- (e) Using the services and assistance of the Small Business Administration, and the Minority Business Development Agency of the Department of Commerce; and
- (f) Requiring the prime contractor, if subcontracts are to be let, to take the affirmative steps listed above.

Any questions regarding the above requirements or the implementation of the requirements should be directed to Michael Letourneau, Small and Disadvantaged Business Utilization Officer, at (206) 553-1687.

9. Consultant Services

EPA participation in the salary rate (excluding overhead) paid to individual consultants is limited to the maximum daily rate for a Level IV of the Executive Schedule, which is

currently \$469.19. Contracts with firms for services which are awarded using the procurement requirements in this part are not affected by this limitation. The recipient may refer to 40 CFR 30.27 or 40 CFR 31.36(j), as applicable, for additional information.

10. Revised Work Plan

Work under this agreement should be completed in accordance with the approved work plans submitted with the letter dated February 15, 2000.

Programmatic Conditions

1. Superfund Programmatic Conditions

Financial Reporting

The Financial Status Report (FSR), Standard Form 269, for this award shall be submitted to the Grants and Acquisitions Unit, OMP-145, within 30 days after the end of the calendar quarter. The recipient must submit a Final FSR within 90 days after the end of the budget period.

Quarterly Reports

The recipient must submit progress reports quarterly on the activities delineated in the Statement of Work to the EPA Project Officer within thirty (30) days of the end of each calendar quarter. The quarterly progress reports must contain the following information:

- (1) An explanation of work accomplished during the reporting period, delays, or other problems, if any, and a description of the corrective measures that are planned. For pre-remedial Cooperative Agreements, the report must include a list of the site-specific products completed and the estimated number of technical hours spent to complete each product.
- (2) A comparison of the percentage of the project completed to the project schedule, and an explanation of significant discrepancies.
- (3) A comparison of the estimated funds spent to date to planned expenditures and an explanation of significant discrepancies. For remedial, enforcement, and removal reports, the comparison must be on a per task basis.
- (4) An estimate of the time and funds needed to complete the work required in the Cooperative Agreement, a comparison of that estimate to the time and funds remaining, and a justification for any increase.

END OF ASSISTANCE AGREEMENT NO. V-98072501-0



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 10
1200 Sixth Avenue
Seattle, WA 98101

6.1

June 29, 2000

Reply To
Attn of: ECL-115

Patti Stone
Colville Confederated Tribes
Office of Environmental Trust
P.O. Box 150
Nespelem, Washington 99155

Dear Ms. Stone:

I am pleased to transmit a copy of the signed Memorandum of Agreement between the Confederated Tribes of the Colville Reservation ("CCT") and the U.S. Environmental Protection Agency ("EPA") regarding the preliminary assessment ("PA") of the Columbia River, River Mile 597 to 745 ("the Site").

I have also enclosed for review and comment by the CCT, a copy of the draft PA report for the Site, prepared by Ecology and Environment, Inc., an authorized contractor for the EPA. Comments on the draft PA report can be submitted to my attention and would be greatly appreciated by July 24, 2000.

Should you have any questions, please feel free to contact me at (206) 553-0323.

Sincerely,

A handwritten signature in cursive script that reads "Monica Tonel".

Monica Tonel
Site Assessment Manager

Enclosures

**MEMORANDUM OF AGREEMENT
BETWEEN THE
CONFEDERATED TRIBES OF THE COLVILLE INDIAN RESERVATION
AND
THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGARDING THE
PRELIMINARY ASSESSMENT OF THE
COLUMBIA RIVER, RIVER MILE 597-745**

I. RECITALS

The parties to this Memorandum of Agreement ("MOA") are the Confederated Tribes of the Colville Indian Reservation ("CCT") and the U.S. Environmental Protection Agency ("EPA") (collectively referred to as "the Parties"). The CCT is a sovereign Indian Tribe whose government is recognized by the United States. The CCT is also a natural resource trustee under applicable Federal and Tribal law. EPA is the principal federal agency responsible for implementation of the Comprehensive Environmental Response, Compensation, and Liability Act, as amended, 42 U.S.C. Section 9601-9675 ("CERCLA"), including conducting preliminary assessments ("PA") of the hazards to public health and the environment which are associated with such release or threatened release of a hazardous substance, pollutant, or contaminant.

The purpose of this MOA is to provide a mechanism for coordination and consultation among the Parties during PA activities conducted at the Columbia River, RM 597-745/Lake Roosevelt site ("the Site") pursuant to CERCLA. This MOA is intended to provide a framework for good faith government-to-government coordination for the CERCLA response activities conducted at the Site, and to ensure that EPA fulfills its responsibility to consult with the CCT prior to taking action that may impact their reservation or treaty resources. It is acknowledged that additional agreements, or amendments to this MOA, may be executed between the CCT and EPA to further meet future needs of the Parties at the Site after the PA is completed.

The Parties are engaged in a government-to-government relationship, as elaborated upon in federal Indian policy, Executive Order 12875 (October 26, 1993), and White House Directive dated April 29, 1994, 59 Fed. Reg. 22951 (May 4, 1994). The CCT relationship with EPA was recognized by a Tribal Environmental Agreement ("TEA") between EPA and CCT dated October 1996.

The United States, including EPA, has a trust responsibility to Indian tribes that arises from Indian treaties, statutes, executive orders, and historical relations between the United States and Indian tribes. It is the policy of the United States to consult with tribal governments before taking actions which may affect tribal rights and resources. Therefore, in entering into this MOA and consulting with the CCT on PA activities at the Site, EPA is acting on its own behalf in accordance with its trust responsibility.

The CCT is afforded substantially the same treatment as a state in accordance with section 126 of CERCLA, 42 U.S.C. Section 9626, and 40 C.F.R. Section 300. 515.

EPA views this MOA as creating a consulting relationship between EPA and the CCT for the benefit of the United States and its efforts to fulfill its trust obligations to the Tribe while conducting PA activities at the Site.

II. Factual Background

In an April 29, 1994 memorandum to the heads of federal executive departments and agencies, President Clinton reiterated the "unique legal relationship" the United States has with Tribal governments under the Constitution, treaties, statutes, and court decisions. The memorandum emphasized that the departments and agencies shall consult, to the greatest extent practicable, and to the extent permitted by law, with Tribal governments prior to taking actions that affect federally recognized Tribal governments. These departments and agencies were directed to assess the impacts of federal activities on Tribal trust resources; remove procedural impediments to working directly and effectively with Tribal governments on activities that affect trust property and/or governmental rights of Tribes; and address specific or unique needs of Tribes. 59 FR 22951; *See also* Exec. Order No. 13,084, 63 FR 27,655 (1998).

By letter dated August 5, 1999, CCT submitted to EPA a Petition pursuant to Section 105(d) of CERCLA, 42 U.S.C. § 9605(d). The petition requested that EPA conduct a Preliminary Assessment of the hazards to public health and the environment which are associated with a release or threatened release of a hazardous substance, pollutant, or contaminant on lands which include the Colville Reservation and the Upper Columbia River Basin from the Canadian Border, southward through Lake Roosevelt, to the Grand Coulee dam, encompassing the water, river- and lake-beds, and banks.

On September 22, 1999, EPA met with members of CCT and their counsel and advised that CCT's Petition for a Preliminary Assessment had been accepted, and that EPA would be conducting multiple Preliminary Assessments (PAs) in response to the Petition. EPA further advised that it intends to involve CCT throughout the process and welcomes its input.

III. AUTHORITY

EPA enters into this MOA in furtherance of its responsibilities with regard to implementation of the Comprehensive Environmental Response, Compensation, and Liability Act ("CERCLA"), as amended, 42 U.S.C. Sections 9601 *et. seq.*, including its duties under 42 U.S.C. § 9605(d). The CCT enters into this MOA and other relevant provisions of federal and Tribal law to investigate and conduct preliminary assessments of the hazards to public health and the environment which are associated with such release or threatened release of a hazardous substance, pollutant, or contaminant.

The CCT enters into this MOA in furtherance of fulfilling its direct responsibility to the CCT and its members to protect the health of all people who work or reside within the exterior boundaries of the Colville Indian Reservation ("Reservation Population") to protect the quality of the lands, water, and recourse that comprise the "Reservation Environment" and to protect those

areas outside of the Reservation boundaries in which the CCT has rights and entitlements under federal law. CCT is also a natural resource trustee under applicable Federal and Tribal law, and enters into this MOA in furtherance of its responsibilities to evaluate, and, if appropriate, assert claims for injury to, destruction of, loss of, or loss of use of natural resources, including, but not limited to, the restoration, rehabilitation, replacement, or acquisition of equivalent natural resources and the recovery for lost use and non-use values of injured natural resources. 40 C.F.R. 300.300 *et seq.*

IV. GENERAL TERMS OF AGREEMENT

1. Communication

1.1 Written Communications. Written communications, other than internal communications within CCT or EPA, regarding activities under this MOA shall be sent to the following key contacts:

For CCT: Patti Stone
Coville Confederated Tribes
P.O. Box 150
Nespelem, WA 99155

For EPA: Monica Tonel, Site Assessment Manager
US Environmental Protection Agency
1200 Sixth Avenue
Seattle, WA 98101
Mailstop: ECL-115

The CCT and EPA will inform each other in writing in the event that a different key contact is designated. Copies of correspondence and associated attachments for any additional parties will be worked out by verbal agreement of the key contacts.

EPA will provide, upon the Tribe's request, copies of EPA regulations, policies, laws, and guidance directives that are relevant to Superfund activities at the Site.

The CCT is responsible for providing EPA with copies of all Tribal ordinances, regulations, policies, and guidance manuals that are relevant to Superfund activities at the Site.

1.2 Meetings.

The key contacts will participate in quarterly conference calls on or around the dates of July 15, and October 15 of year 2000. EPA will take the lead in working with the Tribe to set the date and time of each call as well as initiate the call. More frequent calls may be held as needed at the request of any of the parties.

At the request of the Tribe, the parties will meet or hold a conference call to discuss any comments provided to EPA by the Tribe on the draft PA Report. This meeting or conference call will occur prior to EPA issuing the final Report.

Prior to issuing EPA's site recommendation, e.g., further investigation under CERCLA or a "No Further Action" determination, EPA will offer to consult formally with the Tribe. The Region 10 Administrator hereby designates the Associate Director of the Office of Environmental Cleanup as the appropriate EPA official to consult directly with the Tribes regarding this site. However, the Tribe reserves its right to meet with officials at higher levels within EPA regarding the Site.

2. Public Participation.

EPA is responsible for undertaking community relations activities that relate to the Superfund response at the Site, in accordance with CERCLA, the NCP, and EPA policy and guidance. The parties acknowledge the interest of the public in the assessment and evaluation of impacts associated with the release or potential release of hazardous substances to the environment. As such, the parties will coordinate their endeavor to keep the public advised of the progress of the PAs, consistent with applicable law. In furtherance of this, EPA will work through the Tribe in communicating the progress of the PAs to Tribal members or other groups.

3. Confidentiality.

3.1 The Parties agree that sharing information related to the Site will best enable EPA to satisfy its trust and legal obligations and responsibilities to the Tribe while conducting the PA. The Parties intend to exchange information as part of the process of government-to-government consultation concerning response actions at the Site and during the potential development of enforcement actions against potentially responsible parties.

In order to promote meaningful consultation, the parties intend to keep certain information shared under this Agreement confidential and will seek to protect such information from disclosure and discovery through the use of various privileges and exceptions, including but not limited, the attorney-client, deliberative process, and attorney work product privileges. To avoid interference with a potential enforcement proceeding in which the parties have a common interest, the parties will protect from disclosure any law enforcement records exchanged in anticipation of litigation. The parties agree to maintain any and all rights and privileges, to the extent permitted by law, including the Freedom of Information Act, 5 U.S.C. § 552, that may pertain to any shared information.

Whenever sharing information deemed confidential, the party shall clearly mark any information to which it asserts a privilege as "Privileged and Confidential Information - Do Not Release Without Authorization." The party receiving information so marked agrees not to release, or allow to be released, such information to a non-party, to the extent permitted by law. The parties agree that failure to so mark information developed or shared under this Agreement does not preclude the parties from asserting the protections under the Freedom of Information Act or from asserting privileges and exceptions in seeking to protect the information from discovery.

As part of the PA process, EPA will prepare a PA Report for the Site. The Tribe will be provided an opportunity to review the draft PA Report and provide comments to EPA before EPA issues the final PA Report. If the Tribe requests that such comments be withheld

from public disclosure, EPA agrees to protect the confidentiality of the comments to the extent permitted by applicable law, including FOIA.

4. Access

The Tribe acknowledges that EPA has a right of access to the Site under federal laws for CERCLA response activities. In order to facilitate Superfund response activities at any portions of the Site that are under Tribal jurisdiction, the Tribe, in recognition of federal law and not by way of permit, license, agreement, lease or other form of authorization, and solely to the extent of the Tribe's trust beneficiary or other interest in a portion of the site, agree to provide access to the Site to EPA, and to its authorized representatives and contractors, to perform CERCLA response activities authorized by EPA and to the extent required by federal law. The Tribe will be given early notice of EPA visits to the Site, and will be afforded the opportunity to accompany all visits to those portions of the Site that are under Tribal jurisdiction.

5. Amendments

This MOA may be amended, in writing by agreement of the parties, from time to time as necessary to facilitate the goals and purposes of the MOA.

THE UNDERSIGNED PARTIES enter into this Memorandum of Agreement between the Confederated Tribes of the Colville Indian Reservation and the U. S. Environmental Protection Agency regarding implementation of the Superfund Program.

CONFEDERATED TRIBES OF THE COLVILLE INDIAN RESERVATION

BY: Colleen F. Cawston 6/23/00
Colleen Cawston Date
Chairperson

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

BY: Chuck Clarke 6/29/00
Chuck Clarke Date
Regional Administrator

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

I. TYPE OF SUBMISSION:

- | | |
|--|---|
| Application | Preapplication |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Construction |
| <input checked="" type="checkbox"/> Non-Construction | <input type="checkbox"/> Non-Construction |

2. DATE SUBMITTED

Applicant Identifier

3. DATE RECEIVED BY STATE

State Application Identifier

4. DATE RECEIVED BY FEDERAL AGENCY

Federal Identifier

J. APPLICANT INFORMATION

Legal Name:

Organizational Unit:

Confederated Tribes of the Colville Indian Reservation

Environmental Trust

Address (give city, county, State, and zip code):

**P. O. Box 150, Nespelem, WA 99155
Okanogan and Ferry Counties**

Name and telephone number of person to be contacted on matters involving this application (give area code)

Patti Stone, 509-634-2415

K. EMPLOYER IDENTIFICATION NUMBER (EIN):

91-0557683

L. TYPE OF APPLICATION:

- ☐ New ☒ Continuation ☐ Revision

If Revision, enter appropriate letter(s) in box(es)

A C

- A. Increase Award B. Decrease Award C. Increase Duration
D. Decrease Duration Other(specify):

M. TYPE OF APPLICANT: (enter appropriate letter in box)

- | | |
|---------------------|--|
| A. State | H. Independent School Dist. |
| B. County | I. State Controlled Institution of Higher Learning |
| C. Municipal | J. Private University |
| D. Township | K. Indian Tribe |
| E. Interstate | L. Individual |
| F. Intermunicipal | M. Profit Organization |
| G. Special District | N. Other (Specify) |

K

N. NAME OF FEDERAL AGENCY:

Environmental Protection Agency

O. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:

00-0000

TITLE:

P. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):

Upper Columbia River Region, Ferry, Stevens,

Lincoln Counties, Colville Indian Reservation

Q. PROPOSED PROJECT

R. CONGRESSIONAL DISTRICTS OF:

Investigation

5th and 4th Districts

Start Date

Ending Date

a. Applicant

b. Project

Date signed **12/31/00** Gary W. Passmore

Lake Roosevelt PA and SI Continuation Grant

S. ESTIMATED FUNDING:

T. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?

1. Federal	\$	45,000	00
2. Applicant	\$		00
3. State	\$		00
4. Local	\$		00
5. Other	\$		00
Program Income	\$		00
6. TOTAL	\$	45,000	00

a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:

DATE

b. No. ☒ PROGRAM IS NOT COVERED BY E. O. 12372
☐ OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

U. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?

☐ Yes If "Yes," attach an explanation.

☒ No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Type Name of Authorized Representative

Colleen F. Cawston

b. Title

Chairperson

c. Telephone Number

509-634-4711

d. Signature of Authorized Representative

e. Date Signed

8/23/00

Previous Edition Usable

Authorized for Local Reproduction

Standard Form 424 (Rev. 7-97)

Prescribed by OMB Circular A-102

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. Totals		\$	\$	\$	\$	\$

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) Lake Roosevelt	(2)	(3)	(4)	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual					
g. Construction					
h. Other					
i. Total Direct Charges (sum of 6a-6h)					
j. Indirect Charges					
k. TOTALS (sum of 6i and 6j)	\$ 45,000	\$	\$	\$	\$ 45,000
7. Program Income	\$ 0	\$	\$	\$	\$ 0



THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

August 28, 2000

RECEIVED

AUG 29 2000

Environmental Cleanup Office

Monica Tonel
Site Assessment Manager
Environmental Protection Agency
1200 Sixth Avenue
Seattle, WA

RE: Lake Roosevelt Grant Extension

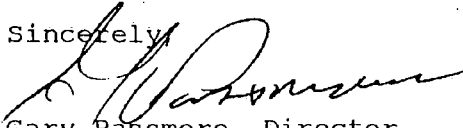
Dear Monica;

Attached is the Continuing Lake Roosevelt Grant Extension Application, reference V-98072501-0 for the Confederated Tribes of the Colville Indian Reservation in the amount of \$45,000.

Please forward this to the appropriate grants personnel within EPA. Thank you for your continued assistance on this project.

If you have any questions, please contact Patti Stone, Project Manager at 509-634-2415.

Sincerely,


Gary Passmore, Director
Office of Environmental Trust

Cc: Patti Stone

The purpose of this Federal Assistance amendment request is to provide funding for Tribal involvement at sites determined by EPA to be eligible for site investigation (SI) work under the Comprehensive Environmental, Response, Compensation and Liability Act (CERCLA). Sites of interest to the Colville Confederated Tribes (CCT) include those that have impacted or may potentially impact tribal reservation lands and/or resources. This amendment request is also to provide funding for Tribal involvement at sites determined by EPA to be eligible for Preliminary Assessment (PA) work under CERCLA, not previously identified in the August 2, 1999 PA petition submitted by the CCT. This amendment to the Cooperative Agreement is not intended to supercede the existing MOA between the CCT and EPA.

Statement of Work - SI Activities

CCT proposes that involvement in a least six (6) site investigations be funded during this performance period. Sites tentatively identified include all of the Lake Roosevelt River Segments, the LeRoi Smelter site in Northport, WA and the Grandview Mine in Metaline Falls, WA. These sites have been identified by EPA as requiring further assessment work and are located near the Lake Roosevelt/Upper Columbia River region.

Objective 1. The CCT and their consultants (currently under contract) will consult and interact with EPA and their consultants to see that CCT resource interests are represented.
Outputs: Tribal input into SI process through involvement in various SI actions by EPA and their contractors including oral and written communications.

Personnel Involved: Tribal consultants, Tribal Water Quality Coordinator, Tribal Program Manager

Timeline: Through December 2000

Objective 2. The CCT will coordinate with EPA on planned SI activities.

Outputs: Any site visits and reports generated or other coordination activities will have input by the CCT.

Personnel Involved: Tribal Consultants, Water Quality Coordinator.

Timeline: Through December 2000

Objective 3. The Tribes will participate in scheduled visits to sites where consent for access to CCT representatives has been provided.

Outputs: Coordinate and participate in any field visits to SI sites. Assist CCT and EPA with relevant technical information pertinent to sites.

Personnel Involved: Tribal Consultants, Water Quality Coordinator.

Timeline: Through December 2000

Objective 4. The Tribes will provide support in community outreach activities, as needed.

Outputs: Assist EPA in the development and coordination of meetings with Colville Tribal Membership with the Lake Roosevelt Water Quality Council.

Personnel Involved: EPA Site Manager, Water Quality Coordinator, possibly Tribal Consultants, Program Manager.

Timeline: Through December 2000

Objective 5. The Tribe will review and comment on the SI reports generated.

Outputs: Tribal Consultants will work with CCT to provide written and oral interpretation of scientific materials for CCT staff in the areas of sediment quality, human health, toxicology and bio-assessment, ecological risk assessment and mining technology. A consultant report will be developed summarizing EPAs SI report and the CCT comments and recommendations.

Timeline: Final report by December 2000

Additional Preliminary Assessment Sites (PA's)
Statement of Work - PA Activities

See attached Lake Roosevelt Preliminary Assessment Support Agency Cooperative Agreement, award dated March 24, 2000 (Attachment A).

On Wednesday, August 2, 2000, CCT provided EPA with a list of twenty-two (22) sites to be considered for CERCLIS listing. CCT is requesting funding to support its involvement at those sites determined by EPA to be appropriate for CERCLIS listing. At this time, CCT is proposing a budget for its involvement in 25 PA sites. This number may decrease depending on EPA's decision on CERCLIS listing and the number of PAs to be conducted during this performance period. A list of potential PA sites is included as Attachment B.

In addition to Objectives identified in the Lake Roosevelt Preliminary Assessment Support Agency Cooperative Agreement, award dated March 24, 2000 the Tribes will require the assistance of a consultant (currently under contract) on mining industry technology, replacing Objective 3 (previous work completed).

Objective 1. Provide technical assistance to the Tribes in the interpretation of scientifically based information on Lake Roosevelt PA Sites.

Outputs: Provides written and oral communications as needed to CCT, EPA and their contractors regarding the PA. Consultant reports to CCT summarizing EPAs PA report on the additional sites.

Timelines: By December 2000

Objective 2. Provide technical coordination between EPA and the CCT.

Outputs: Consultant will meet in person with the CCT at least twice during the PA and per telephone weekly for the purpose of coordination on progress, technical assistance and discussions concerning scientific materials and information.

Personnel Involved: Tribal consultant, Water Quality Coordinator, Program Manager.

Timelines: Through December 2000

Objective 3. Provide technical assistance to the Tribes with PA process of additional 22 sites in the area of mining technology.

Outputs: Tribal consultant will review pertinent documents and assist in the interpretation of PA reports, review quality assurance of planned activities by EPA or their contractors, and attend any site visits to PA sites to represent tribal interests. Coordinate and communicate with the CCT and any other tribal contractors involved.

Personnel Involved: Tribal Consultant, Water Quality Coordinator

Timelines: Through December 2000

Budget Narrative

Salaries	0
Fringe	0
Travel	0
Equipment	0
Supplies	0
Contractual	████████
Construction	0
Other	0
Direct Charges	████████
Indirect Charges	0
Grand Total	\$45,151

These grant funds will be utilized to retain existing tribal consultants to assist the CCT achieve objectives identified in this request.

SI Objectives 1-5

Environment International and Fulcrum Environmental Consulting, qualified consulting firms are currently under contract to provide technical assistance to the CCT. These consultants will provide necessary assistance to the CCT per these objectives and within these budget limits.

Principal -Professional Fees (EI):

55 hrs @ \square ./hr = \square
travel, 8 hrs @ \square /hr = \square
500 miles @ \square /mi = \square
Sub total: \square

Toxicologist - Professional Fees (EI):

45 hrs @ \square /hr = \square
travel, 8 hrs @ \square /hr = \square
Sub total: \square

Sr. Scientist - Professional Fees (EI):

20 hrs @ \square /hr = \square

Principal Professional Fees (FE):

48 hrs @ \square = \square
Travel: 10 trips @ 200/mi@ \square = \square
Sub total: \square

Sr. Scientist - Professional Fees (FE):

15 hrs. @ \square = \square

SI Professional Fees and travel: \square

PA Objectives 1-3

Principal -Professional Fees (EI):

39 hrs @ \square /hr = \square

Toxicologist - Professional Fees (EI):

35 hrs @ \square /hr = \square

Sr. Scientist - Professional Fees (EI):

20 hrs @ \square /hr = \square

Principal Professional Fees (FE):

81 hrs @ \square = \square
Travel: 20 trips @ 200/mi@ \square = \square
Sub total: \square

Sr. Scientist - Professional Fees (FE):

15 hrs. @ \square = \square

PA Professional Fees and Travel: \square

GRAND TOTAL REQUEST: 45,116

KEY CONTACTS

AUTHORIZED REPRESENTATIVE - This is the individual who has the authority to sign the application for Federal Assistance (SF-424) and execute the Agreement on behalf of the applicant.

Circle One (~~Mr.~~ Mrs. ~~Ms.~~)

NAME: COLLEEN CAWSTON, CHAIRPERSON, COLVILLE BUSINESS COUNCIL

TITLE: Chairperson, COLVILLE BUSINESS COUNCIL

TELEPHONE: (509) 634-2200 FAX: (509) 634-4116

E-MAIL ADDRESS: N/A

PROGRAM/PROJECT MANAGER - This is the individual who is responsible for the management of the Project for the applicant.

Circle One (Mr. ~~Mr.~~ ~~Ms.~~)

NAME: GARY W. PASSMORE, Manager

TITLE: MANAGER, ENVIRONMENTAL TRUST DEPARTMENT

TELEPHONE: (509) 634-2426 FAX: (509) 634-2427

E-MAIL ADDRESS: _____

FINANCIAL REPRESENTATIVE - This is the individual who has been assigned responsibility for the maintenance of the accounting and financial management system for the applicant.

Circle One (Mr. Mrs. ~~Ms.~~)

NAME: CINDY HOKE, Acting Comptroller

TITLE: CHIEF FINANCIAL OFFICER

TELEPHONE: (509) 634-2851 FAX: (509) 634-2869

E-MAIL ADDRESS: N/A

PAYEE ADDRESS - If different than recipient address:

NAME: COLVILLE CONFEDERATED TRIBES

ADDRESS: P.O. BOX 150

CITY, STATE, ZIP: NESPELEM, WA 99155



THE CONFEDERATED TRIBES
OF
THE COLVILLE RESERVATION

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

ENVIRONMENTAL TRUST DEPARTMENT

Feb. 1, 2000

EPA Grants and Acquisitions Unit
ATTN: Art Aurdahl
1200 Sixth Ave., OMP-145
Seattle WA 98010

RE: EPA Annual Grant Certification Forms

Dear Sir:

Please accept the enclosed packet of information regarding "Annual Certification Process of EPA Assistance Applications" from the Confederated Tribes of the Colville Reservation.

Any Questions may be directed to Gary Passmore, Director, Environmental Trust, 509-634-2426.

Sincerely yours,

Gary Passmore, Director
Environmental Trust

Enclosures

ASSURANCES - NON-CONSTRUCTION PROGRAMS

OMB Approval No. 0348-4

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act 1968 (16 U.S.C. §§1271 et seq.) related to protect components or potential components of the nation wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 1159 (Identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE	
<i>William F. Carpenter</i>		Chairperson Colville Business Council	
APPLICANT ORGANIZATION		DATE SUBMITTED	
Confederated Tribes of the Colville Indian Reservation		1/26/00	

ATTENTION: BUNDLING LETTER

TO: EPA Grants and Acquisitions Unit
1200 Sixth Ave., OMP-145
Seattle, WA 98101

RE: Annual Certification Process for EPA Assistance Applications

(Applicant's Name) ~~Confederated Tribes of the Colville Reservation~~ is submitting the following certifications in response to EPA's policy which allows recipients who receive multiple grants or cooperative agreements to submit a single set of certifications annually for all EPA applications:

- ☒ Assurances - Non-Construction Programs (SF-424B)
- ☒ Preaward Compliance Review Report for all Applicants Requesting Federal Financial Assistance (EPA Form 4700-4)
- ☒ Procurement System Certification (EPA Form 5700-48)
- ☒ Anti-Lobbying Act of 1990 Application Certification
- ☒ Survey of Recipient's Management Systems (*All new non-State applicants should submit*)

These certifications are to cover the following future anticipated applications:
(i.e., "all continuing environmental programs" or "all programs")

All continuing environmental programs for the Colville Tribes
Environmental Trust Programs (Water, Air, Lead, etc.,)

It is understood that these certifications are valid from January 1, 2000 - through December 31, 2000 - .


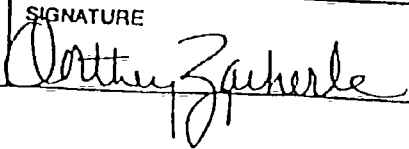
We shall provide immediate written notification to EPA if any changes occur during this certification period, or if any of the annual certifications do not apply to a particular assistance application.

The following individual is the point of contact for the enclosed certifications:

Name: Gary W. Passmore
Title: Environmental Programs Director
Address: P.O. Box 150
Nespelem, WA 99155-0150
Phone No. (509) 634-2426

Colleen F. Cawston
Applicant's Authorized Representative
Colleen Cawston, Chairperson
1-26-00
Date

NOTE: Required if applying for Superfund assistance.

		UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, DC 20460	Form Approved OMB No. 2000-0453
PROCUREMENT SYSTEM CERTIFICATION			
APPLICANT'S NAME Confederated Tribes of the Colville Indian Reservation		ASSISTANCE APPLICATION NUMBER	
APPLICANT'S ADDRESS P.O. Box 150 Nespelem, WA 99155			
SECTION I - INSTRUCTIONS			
The applicant must complete and submit a copy of this form with each application for EPA Assistance. If the applicant has certified its procurement system to EPA within the past 2 years and the system has not been substantially revised, complete Part A in Section II, then sign and date the form. If the system has not been certified within the past 2 years, complete Part B, then sign and date the form.			
SECTION II - CERTIFICATION			
A. I affirm that the applicant has within the past 2 years certified to EPA that its procurement system compiles with 40 CFR Part 35 and that the system meets the requirements in 40 CFR Part 35. The date of the applicant's latest certification is:			MONTH/YEAR
B. Based upon my evaluation of the applicant's procurement system, I, as authorized representative of the applicant: <i>(Check one of the following:)</i>			
<input checked="" type="checkbox"/> 1. CERTIFY that the applicant's procurement system will meet all of the requirements of 40 CFR Part 35 before undertaking any procurement action with EPA assistance.			
Please furnish citations to applicable procurement ordinances and regulations			
<input type="checkbox"/> 2. DO NOT CERTIFY THE APPLICANT'S PROCUREMENT SYSTEM. The applicant agrees to follow the requirements of 40 CFR Part 35, including the procedures in Appendix A, and allow EPA preaward review of proposed procurement actions that will use EPA assistance.			
TYPED NAME AND TITLE Dorothy Zacherle Contract Officer		SIGNATURE 	DATE 1/31/2000

EPA Form 5700-48 Previous edition is obsolete

See instructions on reverse side

NOTE: This form must be submitted with each application.



EPA Project Control Number

United States Environmental Protection Agency
Washington, DC 20460

**Certification Regarding
Debarment, Suspension, and Other Responsibility Matters**

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of the certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Colleen F. Cawston, Chairperson, Colville Business Council

Typed Name & Title of Authorized Representative

Jeannette A. Ferrell
Signature of Authorized Representative

8-28-00
Date

☐ I am unable to certify to the above statements. My explanation is attached.

PLEASE NOTE Questions concerning completion of this form should be directed to
Cecilia Contreras at (206) 553-2899.



United States Environmental Protection Agency
Washington, DC 20460

Preaward Compliance Review Report for
All Applicants Requesting Federal Financial Assistance

FORM Approved
OMB No. 2090-0
Expires 4-30-99

Note: Read instructions on reverse side before completing form.

I. A. Applicant (Name, City, State)

Colville Confederated Tribes
Nespelem, WA 99155

B. Recipient (Name, City, State)

Confederated Tribes of the Colville
Indian Reservation
Nespelem, Wa 99155

C. EPA Project No.

II. Brief description of proposed project, program or activity.

Continuing Environmental Programs

III. Are any civil rights lawsuits or complaints pending against applicant and/or recipient?
If yes, list those complaints and the disposition of each complaint.

☐ Yes ☒ No

IV. Have any civil rights compliance reviews of the applicant and/or recipient been conducted by any Federal
agency during the two years prior to this application for activities which would receive EPA assistance?
If yes, list those compliance reviews and status of each review.

☐ Yes ☒ No

V. Is any other Federal financial assistance being applied for or is any other Federal financial assistance being
applied to any portion of this project, program or activity?
If yes, list the other Federal Agency(s), describe the associated work and the dollar amount of assistance.

☐ Yes ☒ No

VI. If entire community under the applicant's jurisdiction is not served under the existing facilities/services,
or will not be served under the proposed plan, give reasons why.

N/A

VII. Population Characteristics

	Number of People
1. A. Population of Entire Service Area	
B. Minority Population of Entire Service Area	
2. A. Population Currently Being Served	
B. Minority Population Currently Being Served	
3. A. Population to be Served by Project, Program or Activity	
B. Minority Population to be Served by Project, Program or Activity	
4. A. Population to Remain Without Service	
B. Minority Population to Remain Without Service	

VIII. Will all new facilities or alterations to existing facilities financed by these funds be designed
and constructed to be readily accessible to and usable by handicapped persons?
If no, explain how a regulatory exception (40 CFR 7.70) applies.

☐ Yes ☐ No

N/A

IX. Give the schedule for future projects, programs or activities (or of future plans), by which services will be
provided to all beneficiaries within applicant's jurisdiction. If there is no schedule, explain why.
Continue through the life time of the Colville Tribes' Environmental Trust Program

X. I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge
that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law.

A. Signature of Authorized Official

William J. Lawton

B. Title of Authorized Official

Chairperson, Colville Business Council

C. Date

1.26.00

For the U.S. Environmental Protection Agency

☐ Approved ☐ Disapproved

Authorized EPA Official

Date

QUALITY ASSURANCE REQUIREMENT FORM

40 CFR 30.54 AND 31.45

_____ This program/project does NOT involve environmentally related measurement or data generation,

OR

X_____ This program/project DOES include environmentally related measurements or data generation. Environmentally related measurements or data generation deals with the collection of samples and analysis of samples in the field or at a laboratory, or the collection of environmental data in the field, such as the collection and reporting of environmental measurements or environmental observations. A Quality Assurance Project Plan (QAPP) must meet the requirement of 40 CFR 30.54 and 31.45.

_____ has been prepared for this project and IS ATTACHED.

X_____ was previously reviewed and formally approved by the U.S. EPA on 12 NOV '99 and is still current and applicable. (Current is defined as less than three (3) years old.)

_____ will be prepared and forwarded to the U.S. EPA upon completion of the QAPP. Applicant understands that this QA requirement is a condition for award of the grant/cooperative agreement.

1.26.00

Date

Colleen F. Cawston

Signature of Authorized Representative

COLLEEN CAWSTON

Chairperson Colville Business Council

Typed Name & Title of Authorized Representative

Colville Confederated Tribes

Typed Name of Applicant

Agency Use Only

EPA GRANT (PROJECT) MANAGER

EPA QA OFFICER

Signature

Date

Signature

Date

NOTE: Must be completed if applying for assistance over \$100,000.

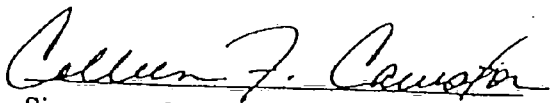
ANTI-LOBBYING ACT OF 1990 APPLICANT CERTIFICATION

This Certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this Certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required Certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The undersigned certifies to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award document for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

1.26.00
Date


Signature of Authorized Representative
Colleen Cawston, Chairperson

NOTE: ONLY required for 501C(4) Non-Profit Organizations.

LOBBYING CERTIFICATION FOR
NON-PROFIT 501 (C) (4) ORGANIZATIONS ONLY

On behalf of Colville Confederated Tribes (name of organization), I
certify that this organization does not engage in lobbying activities.

Lobbying activities include using funds that have been or will be paid, by or on behalf of the
above specified organization, to any person for influencing or attempting to influence an
officer or employee of Congress, or an employee of a Member of Congress in connection with
the awarding of any Federal contract, the making of any Federal grant, the making of any
Federal Loan, the entering into of any cooperative agreement, and the extension, continuation,
renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative
agreement.

The organization shall include this certification language in the award document for all
subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans,
and cooperative agreements) and that all subrecipients shall certify accordingly.

1-26-00
Date

Colleen F. Cawston
Signature of Authorized Representative
Colleen Cawston, Chairperson
Colville Business Council

Survey of Recipient's Management Systems

Applicants for assistance under EPA agreements are required to have an adequate financial management system and audit procedure which provides efficient and effective accountability and control of all property, funds, and assets related to the agreement. This survey indicates the accounting standards that EPA considers basic to adequate Financial Management. Please mark each of these questions, YES, NO, or N/A, to help us and you determine whether your present systems can meet these requirements. The completed form must be signed and returned to:

Environmental Protection Agency
Grants and Acquisitions Unit
1200 Sixth Avenue, OMP-145
Seattle, Washington 98101

Accounting

- YES 1) Have project accounting records been established to record the costs applicable to the EPA agreement and other direct activities?
- yes 2) Are all costs of a project posted to these records? Are the records used as the basis for vouchers and Financial Status Reports submitted to EPA?
- YES 3) Before posting, are costs reviewed for reasonableness, allowability, and allocability to the project?
- YES 4) Are project accounts broken into subaccounts by program element or cost objective?
- YES 5) Is appropriate documentation maintained to support the costs of:
- YES a) personnel?
- YES b) fringe benefits?
- YES c) travel?
- YES d) purchases of material, supplies, and equipment?
- YES e) consultants?
- YES f) other costs?
- YES 6) Are costs posted in these records reflected on and reconciled with control accounts contained in the general ledger?
- YES 7) Are accounting records subjected to an independent audit at least every two years?

Personnel

- YES 8) Do personnel and/or payroll records support the time and attendance, leave, and earnings for all employees?

YES 9) Are time distribution records maintained to show the amount of time spent on each project covered under the agreement, as well as time spent on other projects? (These records are required for all personnel spending less than full time on an individual project).

YES 10) Are there controls to assure that personnel costs are distributed in accordance with the time distribution records?

YES 11) Are there established procedures to govern the charges of personnel time related to partners or principals in closely held corporations?

YES 12) Are there formal procedures regarding bonuses, retirement plans, and/or profit sharing?

Travel

YES 13) Are there established policies to govern reimbursement for travel?

YES 14) Do these policies require travel vouchers to be submitted which:
a) show the time and purpose of the travel?
b) clearly indicate the nature of expenses being claimed?
c) require the submission of supporting documentation?

Procurement

YES 15) Are there established procedures to assure that professional services, equipment, material, and/or supplies requested are really needed?

YES 16) Are existing supplies or inventories reviewed to assure that requested items are not already available?

YES 17) Are there formal procurement procedures to assure that equipment, material, and supplies are obtained on a competitive basis?

YES 18) Are there procedures to assure that the type of contract utilized is appropriate for the procurement being undertaken?

YES 19) Are there controls to assure that types of contracts unacceptable to the Federal Government are not utilized?

YES 20) Are Minority and Women Owned Businesses included in solicitations?

YES 21) Are solicitations obtained from several sources to assure that the most qualified party is selected?

YES 22) Are quotations reviewed to assure that the proposed price is reasonable to the contractor, the recipient, and EPA?

- ~~YES~~ 23) Are internal controls utilized to assure that contracts contain all required clauses:
- ~~YES~~ a) access to records
 - ~~YES~~ b) ownership of data
 - ~~YES~~ c) termination
 - ~~YES~~ d) applicable cost principles
 - ~~YES~~ e) defective pricing

Obligations

- ~~YES~~ 24) Are there procedures to assure that reported obligations are supported by purchase orders, contracts, etc.?
- ~~YES~~ 25) Do these procedures require that obligations are periodically reviewed with regard to their validity?
- ~~YES~~ 26) Do these procedures require the timely liquidations of obligations?

Indirect Costs

If indirect costs are claimed under this agreement:

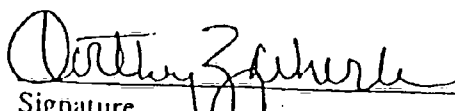
- ~~YES~~ 27) If the indirect cost rate is negotiated with a Federal Agency, a copy of the negotiated agreement must be sent to Grants and Acquisitions Unit.

Current rate proposal has been approved ~~by~~ pending _____


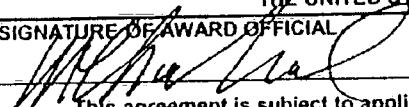
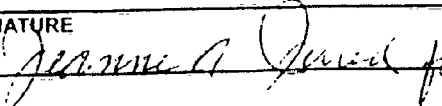
- _____ 28) If the indirect cost rate is not negotiated with a Federal Agency:
- _____ a) Is there a cost allocation plan to indicate which costs are considered direct costs as opposed to indirect costs?
 - _____ b) Are unallowable costs separately identified and/or excluded from the indirect cost proposal?
 - _____ c) Are items of the same nature which are charged as direct costs excluded from the indirect cost proposal?
 - _____ d) Are indirect costs accumulated in more than one pool?

A copy of the cost allocation plan may be requested.

I certify that the answers to these questions accurately reflect the management systems.


Signature _____
Dorothy Zacherle
Contract Officer

1/31/2000
Date _____

	U.S. ENVIRONMENTAL PROTECTION AGENCY Assistance Amendment		ASSISTANCE ID NO.			DATE OF AWARD 9/14/00
			PRG	DOC ID	AMEND#	
			V - 98072501 - 1			MAILING DATE 9/21/00
			TYPE OF ACTION Augmentation: Increase			
RECIPIENT TYPE: Indian Tribe		Send Payment Request to: Region 10 Finance Unit			ACH# 1021	
RECIPIENT: Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155 EIN: 91-0557683		PAYEE: Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155				
PROJECT MANAGER Patty Stone P.O. Box 150 Nespelem, WA 99155 E-Mail: Phone: 509-634-2415		EPA PROJECT OFFICER Monica Tonel 1200 Sixth Avenue, ECL-115 Seattle, WA 98101 E-Mail: tonel.monica@epa.gov Phone: 206-553-0323		EPA GRANT SPECIALIST Elizabeth Pendleton Grants Administration Unit, OMP-145 E-Mail: Pendleton.Elizabeth@epa.gov Phone: 206-553-2919		
PROJECT TITLE AND DESCRIPTION Support Agency Agreement for the Lake Roosevelt Preliminary Assessment Increase Funds; Revise Scope of Work to provide funding for Tribal Involvement at sites determined by EPA to be eligible for site investigation (SI) work under CERCLA.						
BUDGET PERIOD 04/01/2000 - 12/31/2000		PROJECT PERIOD 04/01/2000 - 12/31/2000		TOTAL BUDGET PERIOD COST \$80,090.00	TOTAL PROJECT PERIOD COST \$80,090.00	
NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.						
OFFER AND ACCEPTANCE						
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>Colville Confederated Tribes</u> for <u>100.00</u> % of all approved costs incurred up to and not exceeding <u>\$80,090</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>02/04/2000</u> included herein by reference.						
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)			AWARD APPROVAL OFFICE			
ORGANIZATION / ADDRESS EPA Region 10 Mail Code, OMP-145 1200 Sixth Avenue Seattle, WA 98101			ORGANIZATION / ADDRESS U.S. EPA, Region 10 Environmental Cleanup Office 1200 Sixth Avenue Seattle, WA 98101			
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY						
SIGNATURE OF AWARD OFFICIAL 		TYPED NAME AND TITLE Michael F. Gearheard, Director, Environmental Cleanup Office		DATE 9/14/00		
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.						
BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION						
SIGNATURE 		TYPED NAME AND TITLE Colleen Cawston, Chairperson		DATE 9-28-00		

V - 98072501 - 1 Page 2

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$ 35,090	\$ 45,000	\$ 80,090
EPA In-Kind Amount	\$ 0	\$	\$ 0
Unexpended Prior Year Balance	\$ 0	\$	\$ 0
Other Federal Funds	\$ 0	\$	\$ 0
Recipient Contribution	\$ 0	\$	\$ 0
State Contribution	\$ 0	\$	\$ 0
Local Contribution	\$ 0	\$	\$ 0
Other Contribution	\$ 0	\$	\$ 0
Allowable Project Cost	\$ 35,090	\$ 45,000	\$ 80,090

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Site Specific Cooperative Agreements	CERCLA: Sec. 104	40 CFR PTS 31 & 35 SUBPT O

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
	QAG036	2000	T	0AQ0P	50102D	4185	106XMA00	C001	45,000
									45,000

Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$0
2. Fringe Benefits	\$0
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$0
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$0
10. Indirect Costs: % Base	\$0
11. Total (Share: Recipient % Federal 100.00 %.)	\$80,090
12. Total Approved Assistance Amount	\$80,090
13. Program Income	\$0

Administrative Conditions

All Administrative Conditions Remain the Same with the exception of No. 10 as follows:

1. Revised Work Plan

Work under this agreement should be completed in accordance with the approved work plans submitted with the letters dated **February 15, 2000** and **August 28, 2000**.

Programmatic Conditions

All Programmatic Conditions Remain the Same



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 10
1200 Sixth Avenue
Seattle, WA 98101

November 3, 2000

Reply To
Attn Of: OMP-145

Cindy Hoke, Acting Comptroller
Colville Confederated Tribes
P.O. Box 150
Nespelem, WA 99155

Subject: **Assistance Agreement No. V-980725-01**
Lake Roosevelt Preliminary Assessment

Dear Ms. Hoke:

The subject agreement ends on December 31, 2000. To closeout this agreement, several items must be submitted within **90 days** after the end date. The enclosed Closeout Guide will help you determine which of the following forms **must** be submitted:

- Final Payment Request or ACH drawdown
- Final Financial Status Report
- Final Technical/Performance Report
- Minority/Women's Business Enterprises Utilization Report
- Invention Disclosure Report
- Inventory Report for Equipment

If an extension is needed to complete the work, you must submit a written request for approval to the Grants Administration Unit, OMP-145, **30 days prior** to the expiration date of the grant. Requests must contain the following information:

- The additional time needed to complete project objectives
- Justification for the extension
- Estimate of funds remaining

Should you have any questions regarding the above, please call me at (206) 553-5780 or the EPA Grants Specialist referenced in Block 14 of the subject agreement.

Sincerely,

Wendy Wasson
Grants Assistant

Enclosures

cc: Monica Tonel, ECL-115



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

November 28, 2000

RECEIVED

Wendy Wasson
Grants Assistant
Environmental Protection Agency
1200 6th Avenue (OMP-145)
Seattle, WA 98101

NOV 30 2000

Environmental Cleanup Office

Monica Tonel
Site Assessment Manager
Environmental Protection Agency
1200 6th Avenue
Seattle, WA 98101

RE: Extension Request – Cooperative Agreement V-²⁸~~28~~0725-01

Dear Ms Wasson and Ms. Tonel:

The Confederated Tribes of the Colville Indian Reservation (CCT) requests approval to extend the current agreement from December 31, 2000 through December 31, 2001 with no modifications to the existing scope of work or budget.

Under the grant we are currently involved commenting on several draft Preliminary Assessments and will be involved in subsequent Site Investigation reports and deliverables. The CCT has also requested additional PA's be investigated which is covered in the current scope of work. EPA has projected that these investigations will extend beyond December 31, 2000.

If this work extends beyond December 31, 2001, which we anticipate, we will at that time again ask for an extension.

Please contact Patti Stone at 509-634-2415 if you need additional information regarding this extension request.

Sincerely,


Gary Passmore, Director
Office of Environmental Trust

Cc: Patti Stone, Project Manager, CCT
Cindy Hoke, Acting Comptroller, CCT



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

December 21, 2000

EPA Grants and Acquisitions Unit
Attn: Art Aurdahl
Environmental Protection Agency
1200 Sixth Avenue, OMP-145
Seattle, WA 98010

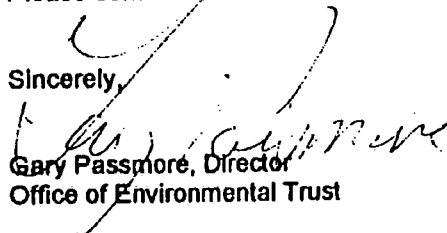
RE: EPA Annual Grant Certification Forms

Dear Mr. Aurdahl:


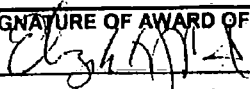
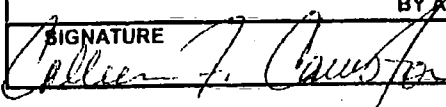
Please accept the enclosed packet of information regarding "Annual Certification Process of EPA Assistance Applications" from the Confederated Tribes of the Colville Indian Reservation.

Please contact me at 509-634-2426 with any questions or concerns.

Sincerely,


Gary Passmore, Director
Office of Environmental Trust

Enclosures

	U.S. ENVIRONMENTAL PROTECTION AGENCY Assistance Amendment		ASSISTANCE ID NO.			DATE OF AWARD 12/12/2000 MAILING DATE 12/12/2000 ACH# 1021	
			PRG	DOC ID	AMEND#		
			V	98072501	- 2		
			TYPE OF ACTION No Cost Amendment				
RECIPIENT TYPE: Indian Tribe			Send Payment Request to: Region 10 Finance Unit, OMP-146			RECEIVED GRANTS UNIT DEC 28 PM 2:19	
RECIPIENT:			PAYEE:				
Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155 EIN: 91-0557683			Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155				
PROJECT MANAGER			EPA PROJECT OFFICER				
Patty Stone P.O. Box 150 Nespelem, WA 99155 E-Mail: Phone: 509-634-2415			Monica Tonel 1200 Sixth Avenue, ECL-115 Seattle, WA 98101 E-Mail: tonel.monica@epa.gov Phone: 206-553-0323			EPA GRANT SPECIALIST Elizabeth Pendleton Grants Administration Unit, OMP-146 E-Mail: Pendleton.Elizabeth@epa.gov Phone: 206-553-2919	
PROJECT TITLE AND DESCRIPTION Support Agency Agreement for the Lake Roosevelt Preliminary Assessment Changes: Time Extension							
BUDGET PERIOD 04/01/2000 - 12/31/2001		PROJECT PERIOD 04/01/2000 - 12/31/2001		TOTAL BUDGET PERIOD COST \$80,090.00		TOTAL PROJECT PERIOD COST \$80,090.00	
NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.							
OFFER AND ACCEPTANCE							
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>Colville Confederated Tribes</u> for <u>100.00</u> % of all approved costs incurred up to and not exceeding <u>\$80,090</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>02/04/2000</u> included herein by reference.							
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)				AWARD APPROVAL OFFICE			
ORGANIZATION / ADDRESS				ORGANIZATION / ADDRESS			
EPA Region 10 Mail Code, OMP-145 1200 Sixth Avenue Seattle, WA 98101				U.S. EPA, Region 10 Environmental Cleanup Office 1200 Sixth Avenue Seattle, WA 98101			
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY							
SIGNATURE OF AWARD OFFICIAL		TYPED NAME AND TITLE			DATE		
		Deborah Flood, Manager - Grants Administration Unit			12/12/2000		
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.							
BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION							
SIGNATURE		TYPED NAME AND TITLE			DATE		
		Colleen Cawston, Chairperson			12/21/00		

RECEIVED
DEC 28 2000
 Environmental Cleanup Office

V - 98072501 - 2 Page 2

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Site Specific Cooperative Agreements	CERCLA: Sec. 104	40 CFR PTS 31 & 35 SUBPT O

[illegible]



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

September 23, 2001

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501

Reporting Period: April 1, 2000 through June 30, 2000

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1: Considerable time was expended hiring a consultant to provide technical assistance to the Tribes, Environment International was hired to perform this work through an open competitive bid process. Set up initial meeting with CCT and EPA contractors.

Objective 2: Tribal contractors were in regular contact with CCT on PA activities.

Objective 3: Fulcrum Environmental Consulting was contracted to conduct mining research for PA activities. Project was completed and delivered to EPA Site Assessment Manager by the end of May as scheduled.

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies

Objective 1) Contractors were brought on board a little behind schedule, tasks are more or less on schedule.

Objective 2) Additional funding is needed for technical assistance aside from the inventory project.

Page two
Quarterly report

Objective 3) Completed by May 31, 2000

3. Comparison of the estimated funds spent to date to planned expenditures
Funds expended to date: \$18,685

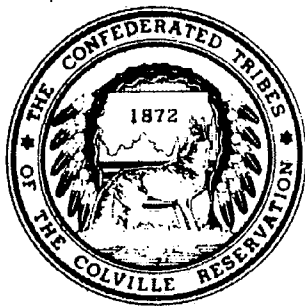
Planned expenditures to date: Within approved budget

4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
Objective 1: 53% of funds expended

Objective 2: 53% of funds expended

Objective 3: 100% of funds expended, project completed.

CC: Rodney Lawton, CCT



**THE CONFEDERATED TRIBES
OF
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

September 23, 2001

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501

Reporting Period: July 1, 2000 through September 30, 2000

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours
Objective 1: Meeting with Tribal contractors to discuss draft PA, developed comments representing tribal concerns and interests. Requested additional PA sites of concern.

Objective 2: Several calls were made to EPA and consultants to discuss Draft PA and additional PA sites. Reviewed Grandview Mine QAPP for SI sampling.

Objective 3: Completed last quarter
2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies
Objective 1) Worked with EPA to extend the cooperative agreement project period.
Objective 2) A proposal was developed for new sampling of work for PA/SI work

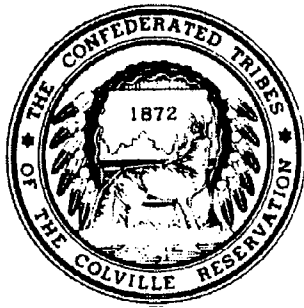
Objective 3) Completed by May 31, 2000
3. Comparison of the estimated funds spent to date to planned expenditures
Funds expended to date: \$519,698

Page two
Quarterly report

Planned expenditures to date: Within approved budget

4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
56% of budget expended to date

cc: Rodney Lawston, COT



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 654-4711

September 23, 2001

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501

Reporting Period: October 1, 2000 through December 31, 2000

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

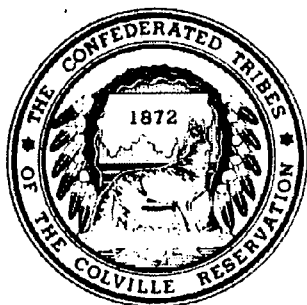
SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1: Considerable time was expended by tribal consultants understanding and discussing the quality and quantity of information of PA reports produced by EPA contractors. EPA agreed to have their consultants provide a conclusion section. Various reference materials were provided to EPA and their contractors on various sites. Per EPA's request, tribal contractors prioritized PA requests by watershed. Time was spent working on Grandview Mine SI sampling preparation. Met with EPA Coordinator to discuss various CERCLA ongoing activities and updated her on other watershed activities.

Objective 2: Tribal consultants made several call to Tribal staff regarding technical issues relating to SI and draft reports. Two conference calls were conducted during the quarter. Two consultant meetings were attended to discuss PA/SI progress and issues. Internal protocol was developed for turning around PA/SI comments to EPA in a timely manner which enable us to utilize our time and budgetary resources more effectively.

Objective 3: Completed during the first quarter.



**THE CONFEDERATED TRIBES
OF
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

September 23, 2001

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*
Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501
Reporting Period: January 1, 2001 through March 31, 2001
Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1: Several meetings between Tribal consultants and CCT staff to discuss tribal issues as they related to PA/SI's

Objective 2: Met with EPA and their new contractors (just brought on board) discussing the geographic scope of Columbia River/Lake Roosevelt SI that will be conducted in the spring, 2001. Met with EPA management officials and Regional Administrator to discuss tribal concerns and interests.

Objective 3: No visits conducted during the quarter

Objective 4: No activity during the quarter

Objective 5: Reviewed and comment on Grandview SI report. Reviewed the Knob Hill draft PA report and provided comments to EPA.

PA objectives identified in the cooperative agreement were revised during the quarter per decisions made by EPA to handle the additional PA's requested by the Tribe in a "watershed-based" manner. These objectives will now be covered in the SI objectives above. PA's will be prioritized by watershed, others will be delayed.

Page two
Quarterly report

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies

SI Objectives 1-5: Objectives are within scope of budget through September 1, 2001. EPA contractors didn't get on board until this quarter, therefore the project was delayed.

PA Objectives 1-3: The majority of this work was shifted to SI project through watershed based prioritization per EPA request.

3. Comparison of the estimated funds spent to date to planned expenditures

Funds expended to date: \$6,906.28

Planned expenditures to date: *Within approved budget. All SI activities are running behind schedule awaiting the Upper Columbia/Lake Roosevelt and Mines SQAP.

4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining

Objective 1-5: 8% of budget expended to date*

Objective 1, 2, 5 were worked on during the quarter*. A majority of the activities will be expended the next two quarters during the development of the sampling plans and field sampling.

CC: Rodney Coleman, CCT

Page two
Quarterly report

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies

Objective 1) Critical PA/SI's draft reports are not completed by EPA contractors to date, until that is completed, we will not expend all of our budget, although we have expended more resources than estimated on the various PA/SI sites not including the Upper Columbia/Lake Roosevelt site.

Objective 2) Same as above.

Objective 3) Completed May 31, 2000

3. Comparison of the estimated funds spent to date to planned expenditures

Funds expended to date: \$16,259

A time extension was processed during the quarter to run through the end of December, 2000. Received grant amendment in the amount of \$45,000 during the quarter for next year's assistance.

Planned expenditures to date: *Within approved budget. All SI activities are running behind schedule awaiting the Upper Columbia/Lake Roosevelt and Mines SQAP.

4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining

Objective 1) 65% of budget expended to date*

Objective 2) 65% of budget expended to date*

Objective 3) 100% of budget expended to date

cc: Rodney Carstensen, CCF



**THE CONFEDERATED TRIBES
OF
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

September 23, 2001

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501

Reporting Period: April 1, 2001 through June 30, 2001

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1: Considerable time was spent by Tribal contractors and tribal staff discussing planned SI activities. Some time was spent in discussion with tribal officials on planned SI activities.

Objective 2: Considerable time was spent by tribal contractors in meetings and calls during the quarter coordinating with EPA and their contractors during the development of the Upper Columbia/Lake Roosevelt SI and Mines (Upper tributary) sampling plans. The tribes discussed sampling access issues in Canada.

Objective 3: Field sampling began in May with many logistical, technical and political hitches. Tribal contractors spent two days in the area, one day in the field with sampling team and another day during sampling delays.

Objective 4: With the assistance of tribal contractors, a presentation was given at the Inchelium District Meeting on the Colville Indian Reservation to inform tribal members of project.

Page two
Quarterly report

Objective 5: Tribal contractors reviewed sampling plan drafts and developed extensive technical comments and reflected tribal concerns for the Upper Columbia/Lake Roosevelt SI.

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies

SI Objectives 1-5: Objectives are within scope of budget through September 1, 2001.

3. Comparison of the estimated funds spent to date to planned expenditures

Funds expended to date: \$29,975.72

Planned expenditures to date: There will not be a draft SI report completed by September, 2001 as anticipated, but we will utilize a majority of the budget for tribal consultants prior to that report due to more coordination and comments than anticipated.

4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining

Objective 1-5: 51% of budget expended to date

More time is needed for technical assistance for the mining issues related to the project. We are keeping a careful eye on the Fulcrum Environmental budget as we are utilizing them more than anticipated dealing with the Mines sampling plan and anticipate utilizing them more during the next quarter.

cc: Rodney Lawston, COT



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

October 9, 2001

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

RECEIVED
OCT 11 2001
Environmental Cleanup

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*
Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501
Reporting Period: July 1, 2001 through September 30, 2001
Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1: Considerable time was spent by Tribal contractors and tribal staff coordinating SI activities at various sites for the Phase II sampling. Some time was spent in discussion with tribal officials on planned SI activities. Tribal staff and contractors met with EPA personnel in Seattle discussing project and specific site information. Met with EPA RCRA staff in regards to specific sites.

Objective 2: Time was spent by tribal contractors in meetings and calls during the quarter coordinating with EPA and their contractors during the development Phase II sampling. Some time was spent discussing new cooperative agreement proposal.

Objective 3: Two field days were spent with EPA and contractors at sampling sites providing input, technical and logistical assistance to the project.

Objective 4: An update on the ESI was provided by EPA Site Assessment Manager at the Lake Roosevelt Water Quality Council Meeting in Spokane on August 30, 2001. One tribal contractor was in attendance.

Page two
Quarterly report

Objective 5: No draft reports were reviewed during the quarter.

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies
SI Objectives 1-5: Objectives are within scope of budget through September 30, 2001. But we have fully expended the budget for consultant fees this quarter.

3. Comparison of the estimated funds spent to date to planned expenditures
Funds expended to date: \$45,000

Planned expenditures to date: There will not be a draft SI report completed by October, 2001 as anticipated. The budget has been fully expended this quarter. We utilized a majority of the budget for tribal consultants for coordination and comments on the many mine sites that EPA needed to investigate and sample during the year. This was not anticipated when the budget was formulated.

4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
Objective 1-5: 100% of budget expended to date.
More time was needed for technical assistance for the mining issues related to the project and reviewing sampling information.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 10
1200 Sixth Avenue
Seattle, WA 98101

October 22, 2001

Reply To
Attn Of: ECL-115

Patti Stone
Colville Confederated Tribes
P.O. Box 150
Nespelem, WA 99155

Re: Upper Columbia River

Dear Ms. Stone:

Please find enclosed Amendment 1 of the existing MOA between CCT and EPA. You need only return, to my attention, the signature page containing original signatures.

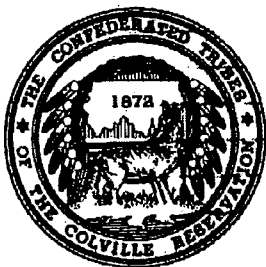
Also, on top of the signature sheet, please include a transmittal page (for EPA-receipt date stamp). Thank you for your assistance and feel free to call me at (206) 553-0323, with questions.

Sincerely,

A handwritten signature in cursive script that reads "Monica Tonel".

Monica Tonel
Site Assessment Manager

Enclosure



Confederated Tribes of the Colville Reservation

P.O. Box 150, Nespelem, WA 99155
(509)634-4711 FAX: (509)634-4116

October 25, 2001

Monica Tonel, Site Assessment Manager
Environmental Cleanup Office
U.S. Environmental Protection Agency
1200 Sixth Avenue (ECL-115)
Seattle, WA 98101

RECEIVED

NOV 01 2001

Environmental Cleanup Office

RE: Memorandum of Agreement

Dear Monica:

Enclosed is the signed, Amendment 1 of the existing Memorandum of Agreement between the Confederated Tribes of the Colville Indian Reservation and the Environmental Protection Agency regarding the site investigation of the Upper Columbia River.

Thank you for your assistance in this process.

Sincerely,

Patti Stone

Patti Stone, Water Quality Coordinator
Office of Environmental Trust

cc: Gary Passmore, ET
Steve Suagee, ORA
Rodney Cawston, CO

**AMENDMENT 1
MEMORANDUM OF AGREEMENT
BETWEEN THE
CONFEDERATED TRIBES OF THE COLVILLE INDIAN RESERVATION
AND
THE UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGARDING THE SITE INVESTIGATION OF THE
UPPER COLUMBIA RIVER SITE
AND OTHER SITES**

I. RECITALS

The parties to this Memorandum of Agreement ("MOA") are the Confederated Tribes of the Colville Indian Reservation ("CCT") and the U.S. Environmental Protection Agency ("EPA") (collectively referred to as "the Parties"). The CCT is a sovereign Indian Tribe whose government is recognized by the United States. The CCT is also a natural resource trustee under applicable Federal and Tribal law. EPA is the principal federal agency responsible for implementation of the Comprehensive Environmental Response, Compensation, and Liability Act, as amended, 42 U.S.C. Section 9601-9675 ("CERCLA"), including conducting preliminary assessments ("PA") and site investigations ("SI") of the hazards to public health and the environment which are associated with such release or threatened release of a hazardous substance, pollutant, or contaminant.

This Amendment is intended to provide a framework for good faith government-to-government coordination for the SI activities conducted at the Upper Columbia River site ("the Site") and associated mines/mills. This Amendment is also intended to ensure that EPA fulfills its responsibility to consult with CCT prior to taking action that may impact their reservation or treaty resources. EPA acknowledges and affirms its responsibility to assist in the protection of the environmental interests of the CCT when administering federal environmental laws. The parties acknowledge that additional sites may be added to the list presented in Section I of this Amendment.

The "General Terms of Agreement" for PA activities, as described in the existing MOA, shall apply to those sites identified in CCT's letter to EPA dated August 2, 2000. CCT submitted the August 2 letter identifying additional sites for assessment under CERCLA in conjunction with its initial Petition letter dated August 5, 1999.

The Parties are engaged in a government-to-government relationship, as elaborated upon in federal Indian policy, Executive Order 12875 (October 26, 1993), EPA Indian Policy dated November 8, 1984, and White House Directive dated April 29, 1994, 59 Fed. Reg. 22951 (May 4, 1994). The CCT relationship with EPA was recognized by a Tribal Environmental Agreement ("TEA") between EPA and CCT dated October 1996.

The CCT is afforded substantially the same treatment as a state in accordance with Section 126 of CERCLA, 42 U.S.C. § 9626, and 40 C.F.R. § 300. 515.

The United States, including EPA, has a trust responsibility to Indian tribes that arises from Indian treaties, statutes, executive orders, and historical relations between the United States and Indian tribes. It is the policy of the United States to consult with tribal governments before taking actions which may affect tribal rights and resources. In keeping with the principle of Indian self-government, EPA recognizes CCT as the appropriate non-federal party for making decisions and carrying out program responsibilities affecting the Reservation, the Reservation Environment, and the health and welfare of the Reservation populace. Therefore, in consulting with the CCT on PA and SI activities at the identified sites, EPA is acting on its own behalf in accordance with its trust responsibility.

Additionally, EPA is committed to administering environmental laws and implementing regulations to assure that environmental justice is secured for all communities and persons, and recognizes the need to ensure greater participation in Agency decision making of affected minority communities such as CCT that are impacted by pollution.

II. Factual Background

By letter dated August 5, 1999, CCT submitted to EPA a Petition pursuant to Section 105(d) of CERCLA, 42 U.S.C. § 9605(d). The petition requested that EPA conduct a Preliminary Assessment of the hazards to public health and the environment which are associated with a release or threatened release of a hazardous substance, pollutant, or contaminant on lands which include the Colville Reservation and the Upper Columbia River Basin from the Canadian Border, southward through Lake Roosevelt, to the Grand Coulee dam, encompassing the water, river- and lake-beds, and banks. The Petition also identified other mines and mills for assessment under CERCLA. As of January 2001, CERCLA PAs were completed at all of the sites identified in CCT's Petition of August 1999. Tribal input and comments on the draft PA reports were submitted to EPA in accordance with the general terms of the existing MOA and as agreed upon between CCT and EPA representatives. Agency findings and recommendations were communicated to CCT on a site-by-site basis. For those sites and any other additional sites requiring additional investigation under CERCLA, CCT involvement in the SI process shall include:

- the opportunity to accompany EPA and its authorized representatives during reconnaissance and sampling visits to the Upper Columbia River/Lake Roosevelt site and other associated mines/mills as agreed upon by the EPA Site Assessment Manager;
- notification by EPA of scoping/sampling strategy meetings for those sites warranting a CERCLA SI, and the opportunity to participate in those meetings;

- the opportunity to review and comment on draft site-specific Sampling and Quality Assurance Plans; and
- the opportunity to review and comment on the draft SI report for the Upper Columbia River/Lake Roosevelt site and other pertinent draft SI reports that may follow.

III. AUTHORITY

EPA enters into this MOA Amendment in furtherance of its responsibilities with regard to implementation of the Comprehensive Environmental Response, Compensation, and Liability Act ("CERCLA"), as amended, 42 U.S.C. §§ 9601 *et seq.*, including its duties under 42 U.S.C. § 9605(d). The CCT enters into this MOA Amendment in furtherance of fulfilling its direct responsibility to the CCT and its members to protect the health of all people who work or reside within the exterior boundaries of the Colville Indian Reservation to protect the quality of the lands, water, and resources that comprise the "Reservation Environment" and to protect those areas outside the Reservation boundaries in which the CCT has rights and entitlements under federal law. CCT is also a natural resource trustee under applicable Federal and Tribal law, and enters into this MOA Amendment in furtherance of its responsibilities to evaluate, and, if appropriate, assert claims for injury to, destruction of, or loss of use of natural resources, including, but not limited to, the restoration, rehabilitation, replacement, or acquisition of equivalent natural resources and the recovery for lost use and non-use values of injured natural resources. 40 C.F.R. 300.300 *et seq.*

IV. GENERAL TERMS OF AGREEMENT

1. Communication

1.1 Written Communications. Written communications, other than internal communications within CCT or EPA, regarding activities under this MOA Amendment shall be sent to the following key contacts:

For CCT: Patti Stone, Water Quality Coordinator
Coville Confederated Tribes
Office of Environmental Trust
P.O. Box 150
Nespelem, WA 99155

For EPA: Monica Tonel, Site Assessment Manager
U. S. Environmental Protection Agency
1200 Sixth Avenue (ECL-115)
Seattle, WA 98101

The CCT and EPA will inform each other in writing in the event that a different key contact is designated. Communication with additional parties, for example, other EPA Site Assessment Managers, will be worked out by the key contacts and outlined in writing.

1.2 Meetings.

The Tribe will have the opportunity to attend, in person or via conference call, site-specific scoping/sampling strategy meetings. The respective EPA Site Assessment Manager will take the lead in working with the Tribe to set the date and time of the meeting/conference call.

At the request of the Tribe, the parties will meet or hold a conference call to discuss any comments submitted by the Tribe on draft Sampling and Quality Assurance Plans ("SQAP"), SI technical data or draft Site Investigation ("SI") reports. The key contact for EPA will take the lead in arranging these meetings and conference calls. These meetings and conference calls will occur prior to EPA issuing final SQAPs or SI reports.

The key contacts may engage in regular or more frequent calls as needed at the request of any of the Parties.

2. Public Participation.

EPA is responsible for undertaking community relations activities that relate to the Superfund response at the sites identified in Section I of this Amendment, in accordance with CERCLA, the NCP, and EPA policy and guidance. The parties acknowledge the interest of the public in the assessment and evaluation of impacts associated with the release or potential release of hazardous substances to the environment. As such, the parties will coordinate their endeavor to keep the public advised of the progress of the PA and SI activities, consistent with applicable law. In furtherance of this, EPA will work through the Tribe in communicating the progress of the PA and SI activities to Tribal members or other groups.

3. Confidentiality.

The Parties agree that sharing information related to the sites will best enable EPA to satisfy its trust and legal obligations and responsibilities to the Tribe while conducting the PAs and SIs. The Parties intend to exchange information as part of the process of government-to-government consultation concerning response actions at the sites and during the potential development of enforcement actions against potentially responsible parties.

In order to promote meaningful consultation, the parties intend to keep certain information shared under this Amendment confidential and will seek to protect such information from disclosure and discovery through the use of various privileges and exceptions, including but not limited to, the attorney-client, deliberative process, and attorney work product privileges. To avoid interference with a potential enforcement proceeding in which the parties have a common interest, the parties will protect from disclosure any law enforcement records exchanged in anticipation of litigation. The parties agree to maintain any and all rights and privileges, to the extent permitted by law, including the Freedom of Information Act, 5 U.S.C. § 552, that may pertain to any shared information.

Whenever sharing information deemed confidential, the party shall clearly mark any information to which it asserts a privilege as "Privileged and Confidential Information – Do Not Release Without Authorization." The party receiving information so marked agrees not to release, or allow to be released, such information to a non-party, to the extent permitted by law. The parties agree that failure to so mark information developed or shared under this Amendment does not preclude the parties from asserting the protections under the Freedom of Information Act or from asserting privileges and exceptions in seeking to protect the information from discovery.

The Tribe may request that its comments on draft sampling plans or reports be withheld from public disclosure. EPA agrees to protect the confidentiality of the comments to the extent permitted by applicable law, including FOIA.

4. Access

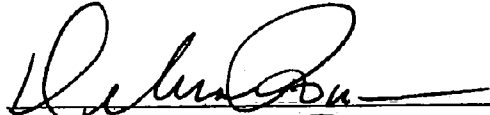
The Tribe acknowledges that, under federal laws, EPA has the right to access the sites for purposes of carrying out CERCLA response activities. The Tribe acknowledges that EPA seeks written or verbal consent from property owners to giving the EPA and its authorized representatives or contractors access to the properties being assessed. When requesting access to the sites, EPA and/or the EPA contractor will also seek consent for access for Tribal representatives. The CCT contact will be informed of those sites where access to Tribal representatives is granted and of those sites where consent for access is given only to EPA and its authorized contractors.

For reconnaissance/sampling visits to sites or portions of sites that are under Tribal jurisdiction, the Tribe will be given early notice of those visits and will be afforded the opportunity to be present.

THE UNDERSIGNED PARTIES enter into this Amendment to the Memorandum of Agreement between the Confederated Tribes of the Colville Indian Reservation and the U.S. Environmental Protection Agency regarding implementation of the Superfund Program.

CONFEDERATED TRIBES OF THE COLVILLE INDIAN RESERVATION

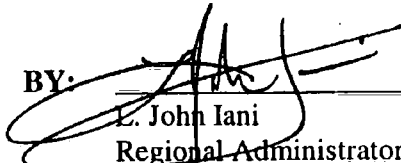
BY:


Colleen Cawston
Chairperson E.D.

11-1-01
Date

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

BY:


L. John Iani
Regional Administrator
Region 10

10-18-01
Date

Section I

List of sites with on-going site investigation (SI) activities,
as of October 2001:

SITE NAME	LOCATION	EPA CERCLIS ID#
Upper Columbia River	Northeast WA	WASFN1002167
Grandview Mine	Metalline Falls, WA	WASFN1002165
Last Chance Mine	Stevens County, WA	WASFN1002162
Le Roi Co. Smelter	Northport, WA	WAD988507323
Pend Oreille Mine	Metalline Falls, WA	WASFN1002160

List of sites needing an SI start, as of October 2001:

SITE NAME	LOCATION	EPA CERCLIS ID#
Knob Hill Mine	Republic, WA	WAD980988075

List of sites needing a Preliminary Assessment (PA) start,
as of October 2001:

Mountain Lion Mine/Mill	San Poil River, WA
Hecla Concentrator	Republic, WA
Republic Concentrator	Granite Creek, WA
NWP&R Co.	near Republic, WA



Confederated Tribes of the Colville Reservation

P.O. Box 150, Nespelem, WA 99155

(509)634-4711

FAX: (509)634-4116

November 21, 2001

Grants and Acquisitions Unit
Environmental Protection Agency
1200 6th Avenue, OMP-145
Seattle, WA 98010

RE: Grant Application Submittal

Dear Grants Administrator:

Please process the enclosed EPA Grant Application for a continuation of existing grant "Support Agency Agreement for the Lake Roosevelt PA/ST".

Monica Tonel, EPA Site Assessment Manager (553-0323) is the EPA contact on this grant. Please contact Monica or Patti Stone at my office at 509-634-2415 for any questions or concerns regarding the application.

Thank you for your immediate attention to this matter.

Sincerely,

Gary Passmore, Director
Office of Environmental Trust

INDIAN GENERAL ASSISTANCE PROGRAM

KEY CONTACTS

RECEIVED

NOV 26 2001

AUTHORIZED REPRESENTATIVE - This is the individual who has the authority to sign the application for Federal Assistance (SF-424) and execute the Agreement on behalf of the Tribe or Consortium. The SF-424 and all certifications must be signed by or "for" this individual.

Circle One (Mr. Mrs. Ms.)

NAME: Colleen F. Cawston
TITLE: Chairperson, Colville Confederated Tribes
TELEPHONE: 509-634-2200 FAX: 509-634-4116
E-MAIL ADDRESS: _____

PROGRAM/PROJECT MANAGER - This is the individual who is responsible for the management of the General Assistance Program (GAP) Project for the Tribe or Consortium.

Circle One (Mr. Mrs. Ms.)

NAME: Gary W. Bassmore
TITLE: Program Manager, Office of Environmental Trust
TELEPHONE: 509-634-2426 FAX: 509-634-2427
E-MAIL ADDRESS: enviroaz@televar.com Gary.Bassmore@colvilletribes.com

FINANCIAL REPRESENTATIVE - This is the individual who has been assigned responsibility for the maintenance of the accounting and financial management systems for the Tribe or Consortium.

Circle One (Mr. Mrs. Ms.)

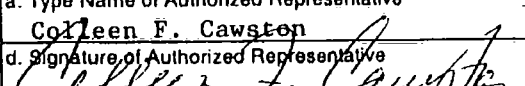
NAME: Cindy Hoke, Acting Comptroller
TITLE: Chief Financial Officer
TELEPHONE: 509-634-2851 FAX: 509-634-2869
E-MAIL ADDRESS: _____

PAYEE ADDRESS - If different than recipient address:

NAME: Colville Confederated Tribes
ADDRESS: P. O. Box 150
CITY, STATE, ZIP: Nespelem, WA 99155

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

1. TYPE OF SUBMISSION: <input type="checkbox"/> Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		2. DATE SUBMITTED		Applicant Identifier	
		3. DATE RECEIVED BY STATE		State Application Identifier	
4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier			
5. APPLICANT INFORMATION					
Legal Name: Confederated Tribes of the Colville Indian Reservation			Organizational Unit: Office of Environmental Trust		
Address (give city, county, State, and zip code): P. O. Box 150 Nespelem, WA 99155, Ferry and Okanogan Counties			Name and telephone number of person to be contacted on matters involving this application (give area code) Patti Stone, 509-634-2514		
6. EMPLOYER IDENTIFICATION NUMBER (EIN): <div style="border: 1px solid black; padding: 2px; display: inline-block;"> 91 - 0557683 </div>			7. TYPE OF APPLICANT: (enter appropriate letter in box) <div style="float: right; border: 1px solid black; padding: 2px;">K</div> <div style="clear: both;"></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District </div> <div style="width: 48%;"> H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____ </div> </div>		
8. TYPE OF APPLICATION: <div style="display: flex; justify-content: space-around;"> <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision </div> If Revision, enter appropriate letter(s) in box(es) <div style="display: inline-block; border: 1px solid black; padding: 2px;">A</div> <div style="display: inline-block; border: 1px solid black; padding: 2px;">C</div> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other(specify): _____			9. NAME OF FEDERAL AGENCY: Environmental Protection Agency		
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: <div style="border: 1px solid black; padding: 2px; display: inline-block;"> 66 - 802 </div> TITLE: Lake Roosevelt PA/SI			11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Continuation of existing grant to support to "Support Agency Agreement for the Lake Roosevelt PA/SI". To provide for tribal involvement in the PA/SI.		
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Upper Columbia River Region, Ferry, Stevens, Lincoln, Grant, Okanogan, Pend Oreille Counties, Washington					
13. PROPOSED PROJECT Investigation		14. CONGRESSIONAL DISTRICTS OF: 4th and 5th Districts			
Start Date 11/1/02	Ending Date 12/31/02	a. Applicant Gary W. Passmore		b. Project Lake Roosevelt PA/SI Continuation Grant	
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?			
a. Federal \$ 54,947		a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____			
b. Applicant \$		b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW			
c. State \$					
d. Local \$					
e. Other \$					
f. Program Income \$ 0					
g. TOTAL \$ 54,947		17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No			
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.					
a. Type Name of Authorized Representative Colleen F. Cawston		b. Title Chairperson		c. Telephone Number 509-634-2200	
d. Signature of Authorized Representative 				e. Date Signed 11/21/11	

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Standard Form 424 (Rev. 7-97)
Prescribed by OMB Circular A-102

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget	
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)
1.		\$	\$	\$	\$
2.					
3.					
4.					
5. Totals		\$	\$	\$	\$

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) Lake Roosevelt	(2)	(3)	(4)	
a. Personnel	\$ 0	\$	\$	\$	\$
b. Fringe Benefits	0				
c. Travel	0				
d. Equipment	0				
e. Supplies	0				
f. Contractual					\$
g. Construction	0				
h. Other	0				
i. Total Direct Charges (sum of 6a-6h)					\$
j. Indirect Charges	0				
k. TOTALS (sum of 6i and 6j)	\$ 54,947	\$	\$	\$	\$ 54,947
7. Program Income	\$ 0	\$	\$	\$	\$ 0

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Standard Form 424A (Rev. 7-97)
Prescribed by OMB Circular A-102

Previous Edition Usable

Scope of Work

The purpose of this Federal Assistance amendment request is to provide funding for Tribal involvement at sites determined by EPA to be eligible for site investigation (SI) work under the Comprehensive Environmental, Response, Compensation and Liability Act (CERCLA). Sites of interest to the Colville Confederated Tribes (CCT) include those that have impacted or may potentially impact tribal reservation lands and/or resources. This amendment request is also to provide funding for Tribal involvement at sites determined by EPA to be eligible for Preliminary Assessment (PA) work under CERCLA, not previously identified in the August 2, 1999 PA petition submitted by the CCT. This amendment to the Cooperative Agreement is not intended to supercede the existing MOA between the CCT and EPA.

Statement of Work - SI Activities

CCT proposes that \$54,947 be funded during this performance period. Sites identified include the Upper Columbia River site and potential contaminant sources. A number of potential contaminant sources including mines and mills located in and near the Upper Columbia River drainage area have been identified by EPA as requiring further assessment work, i.e. sampling.

Objective 1. The CCT and their consultants (currently under contract) will interact with EPA and its authorized contractors to see that CCT resource interests are represented.
Outputs: Tribal input into PA/SI process through involvement in various PA/SI actions by EPA and their contractors including oral and written communications.
Personnel Involved: Tribal consultants, Tribal Water Quality Coordinator, Tribal Program Manager
Timeline: Through December 2002

Objective 2. The CCT will coordinate with EPA on planned PA/SI activities and in scheduled visits to sites where consent for access to CCT representatives has been provided. Circumstances may arise that CCT may need to re-visit sites for pertinent information.
Outputs: Any site visits and reports generated or other coordination activities will have input and participation by the CCT. CCT will provide relevant technical information pertinent to sites.
Personnel Involved: Tribal Consultants
Timeline: Through December 2002

Objective 3. The Tribe will review and comment on the PA/SI data and reports generated.

Outputs: CCT consultants will provide expertise in CERCLA and program-related environmental issues.

Outputs: A final consultant report will be developed summarizing EPAs SI report and the CCT comments and recommendations.

Timeline: Final report by December 2002

Objective 4. The Tribes will develop a community outreach program for the Upper Columbia River CERCLA activities to provide adequate public information and receive feedback on activities and progress.

Outputs: Assist EPA in the development and coordination of up to four public meetings with Colville Tribal Membership, the Lake Roosevelt Water Quality Council, Lake Roosevelt Forum, and other pertinent local groups.

Personnel Involved: Tribal Consultants, Water Quality Coordinator, Program Manager.

Timeline: One to be held each month during the summer of 2002.

Budget Narrative, 2002

Salaries	0
Fringe	0
Travel	0
Equipment	0
Supplies	0
Contractual	██████
Construction	0
Other	0
Direct Charges	██████
Indirect Charges	0
Grand Total	54,947

Grant funds will be utilized to retain existing tribal consultants to assist the CCT achieve objectives identified in this request.

Environment International and Fulcrum Environmental Consulting, qualified consulting firms are currently under contract to provide technical assistance to the CCT. These consultants will provide necessary assistance to the CCT per these objectives and within these budget limits

Principal - Professional Fees (EI):

80 hrs @ [REDACTED]/hr = [REDACTED]

Principal - Travel Fees (EI):

20 hrs @ [REDACTED]/hr = [REDACTED]

3 airfares @ [REDACTED] ea = [REDACTED]

Principal - Sub Total (EI):

[REDACTED]

Toxicologist - Professional Fees (EI):

40 hrs @ [REDACTED]/hr = [REDACTED]

Toxicologist - Travel Fees (EI):

20 hrs @ [REDACTED]/hr = [REDACTED]

3 airfares @ [REDACTED] ea = [REDACTED]

Toxicologist - Sub Total (EI):

[REDACTED]

Sr. Scientist - Professional Fees (EI):

80 hrs @ [REDACTED]/hr = [REDACTED]

Sr. Scientist - Travel Fees (EI):

24 hrs @ [REDACTED]/hr = [REDACTED]

4 airfares @ [REDACTED] ea = [REDACTED]

Sr. Scientist - Sub Total (EI):

[REDACTED]

ODCs (EI):

Phone and Delivery = [REDACTED]

Sub Total (EI):

[REDACTED]

Principal - Professional Fees (FE):

140 hrs @ [REDACTED]/hr = [REDACTED]

Principal - Travel Fees (FE):

20 hrs @ [REDACTED]/hr = [REDACTED]

4 airfares @ [REDACTED] ea = [REDACTED]

20 trips of 200 miles @ [REDACTED]/mi. = [REDACTED]

Principal - Sub Total (FE):

[REDACTED]

Sr. Scientist - Professional Fees (FE):

60 hrs @ [REDACTED] = [REDACTED]

ODCs (FE):

Phone and Delivery = [REDACTED]

Sub Total (FE):

[REDACTED]

SI Professional Fees and travel: \$54,947

INDIAN GENERAL ASSISTANCE PROGRAM

ATTENTION: BUNDLING LETTER

TO: EIA Grant's Administration Unit
1200 Sixth Ave., CMr-145
Seattle, WA 98101

RE: Annual Certification Process for EPA Assistance Applications

(Applicant's Name) Confederated Tribes of the Colville Indian Reservation is submitting the following certifications in response to EPA's policy which allows recipients who receive multiple grants or cooperative agreements to submit a single set of certifications annually for all EPA applications (*please see "Certifications and Assurances" to determine if bundling is in your best interest - for many Tribes, it may not be useful*):

- ☒ Assurances - Non-Construction Programs (SF-424B)
- ☒ Preaward Compliance Review Report for all Applicants Requesting Federal Financial Assistance (EPA Form 4700-4)
- ☒ Procurement System Certification (EPA Form 5700-48)
- ☒ Anti-Lobbying Act of 1990 Application Certification
- ☒ Survey of Recipient's Management Systems (*All new applicants should submit*)

These certifications are to cover the following future anticipated applications: (i.e., "all continuing environmental programs" or "all programs"): All continuing environmental programs of the Colville Tribes, Office of Environmental Trust (Water, Air, Lead, GAP, etc..)

It is understood that these certifications are valid from January 1, 2001 through December 31, 2001.

We shall provide immediate written notification to EPA if any changes occur during this certification period, or if any of the annual certifications do not apply to a particular assistance application.

The following individual is the point of contact for the enclosed certifications:

Name: Gary W. Passmore
Address: P. O. Box 150
Nespelem, WA 99155
Phone No: 509-634-2426

Applicant's Authorized Representative
Colleen F. Cawston, Chairperson

Date

INDIAN GENERAL ASSISTANCE PROGRAM

NOTE: This form must be submitted with each application for a new grant.

EPA Project Control Number



United States Environmental Protection Agency
Washington, DC 20460

Certification Regarding Debarment, Suspension, and Other Responsibility Matters

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of the certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Colleen F. Cawston, Chairperson

Handwritten signature of Colleen F. Cawston in cursive script.

Signature of Authorized Representative

11/21/11
Date

☐ I am unable to certify to the above statements. My explanation is attached.

INDIAN GENERAL ASSISTANCE PROGRAM

PLEASE NOTE: Questions concerning completion of this form should be directed to
Cecilia Contreras at (206) 553-2899.

<div style="display: inline-block; vertical-align: middle; margin-left: 20px;"> United States Environmental Protection Agency Washington, DC 20460 </div>		FORM Approved OMB No. 2090-0014 Expires 4-30-99																		
Preaward Compliance Review Report for All Applicants Requesting Federal Financial Assistance																				
Note: Read instructions on reverse side before completing form.																				
I A. Applicant (Name, City, State) Colville Confederated Tribes Nespelem, WA	B. Recipient (Name, City, State) Same as A.	C. EPA Project No. _____																		
II. Brief description of proposed project, program or activity. Continuing Environmental Programs																				
III. Are any civil rights lawsuits or complaints pending against applicant and/or recipient? If yes, list those complaints and the disposition of each complaint. <div style="text-align: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</div>																				
IV. Have any civil rights compliance reviews of the applicant and/or recipient been conducted by any Federal agency during the two years prior to this application for activities which would receive EPA assistance? If yes, list those compliance reviews and status of each review. <div style="text-align: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</div>																				
V. Is any other Federal financial assistance being applied for or is any other Federal financial assistance being applied to any portion of this project, program or activity? If yes, list the other Federal Agency(s), describe the associated work and the dollar amount of assistance. <div style="text-align: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</div>																				
VI. If entire community under the applicant's jurisdiction is not served under the existing facilities/services, or will not be served under the proposed plan, give reasons why. NA																				
II. Population Characteristics <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 80%;">Population Characteristics</th> <th style="width: 20%;">Number of People</th> </tr> </thead> <tbody> <tr><td>A. Population of Entire Service Area</td><td></td></tr> <tr><td>B. Minority Population of Entire Service Area</td><td></td></tr> <tr><td>A. Population Currently Being Served</td><td></td></tr> <tr><td>B. Minority Population Currently Being Served</td><td></td></tr> <tr><td>A. Population to be Served by Project, Program or Activity</td><td></td></tr> <tr><td>B. Minority Population to be Served by Project, Program or Activity</td><td></td></tr> <tr><td>A. Population to Remain Without Service</td><td></td></tr> <tr><td>B. Minority Population to Remain Without Service</td><td></td></tr> </tbody> </table>			Population Characteristics	Number of People	A. Population of Entire Service Area		B. Minority Population of Entire Service Area		A. Population Currently Being Served		B. Minority Population Currently Being Served		A. Population to be Served by Project, Program or Activity		B. Minority Population to be Served by Project, Program or Activity		A. Population to Remain Without Service		B. Minority Population to Remain Without Service	
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A. Population to Remain Without Service																				
B. Minority Population to Remain Without Service																				
III. Will all new facilities or alterations to existing facilities financed by these funds be designed and constructed to be readily accessible to and usable by handicapped persons? If no, explain how a regulatory exception (40 CFR 7.70) applies. <div style="text-align: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</div> NA																				
Give the schedule for future projects, programs or activities (or of future plans) to which services will be provided. Continue through the title of the Colville Tribes' Environmental Trust Program																				
I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law.																				
Signature of Authorized Official 	B. Title of Authorized Official Chairperson	C. Date 1/1/91																		
For the U.S. Environmental Protection Agency																				
<input checked="" type="checkbox"/> Approved <input type="checkbox"/> Disapproved	Authorized EPA Official	Date																		

Form 4700-4 (Rev. 1/90) Previous editions are obsolete.

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.


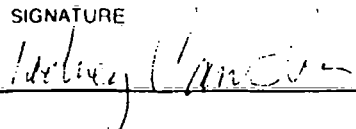
As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to:
 - (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protect components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11644 (identification and protection of historic properties), the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, and other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poison Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction and rehabilitation of residence structures.
17. Will cause to be performed the required financial compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all applicable Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 		TITLE Chairperson, Colville Business Council	
APPLICANT ORGANIZATION Confederated Tribes of the Colville Indian Reservation		DATE SUBMITTED	

NOTE: Required if applying for Superfund assistance.

 <p>UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, DC 20460</p>		Form Approved OMB No. 2000-0453
PROCUREMENT SYSTEM CERTIFICATION		
APPLICANT'S NAME Confederated Tribes of the Colville Indian Reservation		ASSISTANCE APPLICATION NUMBER
APPLICANT'S ADDRESS P. O. Box 150 Nespelem, WA 99155		
SECTION I - INSTRUCTIONS		
<p>The applicant must complete and submit a copy of this form with each application for EPA Assistance. If the applicant has certified its procurement system to EPA within the past 2 years and the system has not been substantially revised, complete Part A in Section II, then sign and date the form. If the system has not been certified within the past 2 years, complete Part B, then sign and date the form.</p>		
SECTION II - CERTIFICATION		
A. I affirm that the applicant has within the past 2 years certified to EPA that its procurement system compiles with 40 CFR Part 35 and that the system meets the requirements in 40 CFR Part 35. The date of the applicant's latest certification is:		MONTH/YEAR
B. Based upon my evaluation of the applicant's procurement system, I, as authorized representative of the applicant: <i>(Check one of the following:)</i>		
<input checked="" type="checkbox"/> 1. CERTIFY that the applicant's procurement system will meet all of the requirements of 40 CFR Part 35 before undertaking any procurement action with EPA assistance.		
Please furnish citations to applicable procurement ordinances and regulations		
<p>_____ agrees to follow the requirements of 40 CFR Part 35, including the procedures in Appendix A, and allow EPA preaward review of proposed procurement actions that will use EPA assistance.</p>		
TYPED NAME AND TITLE Rodney Cawston, Contracting Officer	SIGNATURE 	DATE 1-16-01

EPA Form 5700-48 Previous edition is obsolete

See instructions on reverse side

INDIAN GENERAL ASSISTANCE PROGRAM

CERTIFICATIONS FOR CONTRACTS, GRANTS, LOANS, AND COOPERATIVE AGREEMENTS REGARDING LOBBYING AND LITIGATION

- (1) In accordance with 40 CFR Part 34, and 31 USC §1352, the Undersigned certifies, to the best of his or her knowledge and belief, that:
- (A) No Federal appropriated funds have been or will be paid, by or on behalf of the Undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (B) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, or any employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

- (2) In accordance with OMB Circulars A-21, A-87, and A-122, and EPA's annual appropriations acts (e.g., PL 106-74, §426), the Undersigned certifies that no grant funds, including the Federal and non-Federal share, have been or will be used to engage in lobbying the Federal Government or in litigation against the United States unless authorized by law.
- (3) The Undersigned shall require that the language of these certifications be included in the award of documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Chairperson, Corvite business Council

TYPED NAME & TITLE OF AUTHORIZED REPRESENTATIVE

[Signature]
SIGNATURE OF AUTHORIZED REPRESENTATIVE

DATE

☐ I am unable to certify to the above statements. My explanation is attached.

NOTE: Must be completed if applying for assistance over \$100,000.

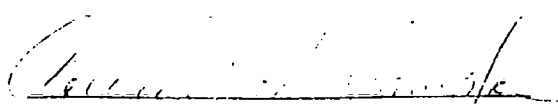
ANTI-LOBBYING ACT OF 1990 APPLICANT CERTIFICATION

This Certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this Certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required Certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The undersigned certifies to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award document for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

1/10/1
Date


Signature of Authorized Representative

INDIAN GENERAL ASSISTANCE PROGRAM

Survey of Recipient's Management Systems

Applicants for assistance under EPA agreements are required to have an adequate financial management system and audit procedures which provide efficient and effective accountability and control of all property, funds, and assets related to the agreement. This survey indicates the accounting standards that EPA considers basic to adequate Financial Management. Please mark each of these questions, YES, NO, or N/A, to help us and you determine whether your present systems can meet these requirements. The completed form must be signed and submitted with your application or bundling letter.

Accounting

- YES 1) Have project accounting records been established to record the costs applicable to the EPA agreement and other direct activities?
- YES 2) Are all costs of a project posted to these records? Are the records used as the basis for vouchers and Financial Status Reports submitted to EPA?
- YES 3) Before posting, are costs reviewed for reasonableness, allowability, and allocability to the project?
- YES 4) Are project accounts broken into subaccounts by program element or cost objective?
- YES 5) Is appropriate documentation maintained to support the costs of:
- YES a) personnel?
 - YES b) fringe benefits?
 - YES c) travel?
 - YES d) purchases of material, supplies, and equipment?
 - YES e) consultants?
 - YES f) other costs?
- YES 6) Are costs posted in these records reflected on and reconciled with control accounts contained in the general ledger?
- YES 7) Are accounting records subjected to an independent audit at least every two years?

Personnel

- for all employees?
- YES 9) Are time distribution records maintained to show the amount of time spent on each project covered under the agreement, as well as time spent on other projects? (These records are required for all personnel spending less than full time on an individual project)
- YES 10) Are there controls to assure that personnel costs are distributed in accordance with the time distribution records?

YES 11) Are there established procedures to govern the charges of personnel time related to partners or principals in closely held corporations?

YES 12) Are there formal procedures regarding bonuses, retirement plans, and/or profit sharing?

Travel

YES 13) Are there established policies to govern reimbursement for travel?

YES 14) Do these policies require travel vouchers to be submitted which:

YES a) show the time and purpose of the travel?

YES b) clearly indicate the nature of expenses being claimed?

YES c) require the submission of supporting documentation?

Procurement

YES 15) Are there established procedures to assure that professional services, equipment, material, and/or supplies requested are really needed?

YES 16) Are existing supplies or inventories reviewed to assure that requested items are not already available?

YES 17) Are there formal procurement procedures to assure that equipment, material, and supplies are obtained on a competitive basis?

YES 18) Are there procedures to assure that the type of contract utilized is appropriate for the procurement being undertaken?

YES 19) Are there controls to assure that types of contracts unacceptable to the Federal Government are not utilized?

YES 20) Are Minority and Women Owned Businesses included in solicitations?

YES 21) Are solicitations obtained from several sources to assure that the most qualified party is selected?

YES 22) Are quotations reviewed to assure that the proposed price is reasonable to the contractor, the recipient, and EPA?

YES 23) Are internal controls utilized to assure that contracts contain all required clauses:

YES a) access to records

YES b) ownership of data

YES c) termination

YES d) applicable cost principles

YES e) defective pricing

Obligations

- YES 24) Are there procedures to assure that reported obligations are supported by purchase orders, contracts, etc.?
- YES 25) Do these procedures require that obligations are periodically reviewed with regard to their validity?
- YES 26) Do these procedures require the timely liquidations of obligations?

Indirect Costs

If indirect costs are claimed under this agreement:

- YES 27) If the indirect cost rate is negotiated with a Federal Agency, a copy of the negotiated agreement must be sent to Grants and Acquisitions Unit.

Current rate proposal has been approved _____ pending _____.

- _____ 28) If the indirect cost rate is not negotiated with a Federal Agency:
- _____ a) Is there a cost allocation plan to indicate which costs are considered direct costs as opposed to indirect costs?
 - _____ b) Are unallowable costs separately identified and/or excluded from the indirect cost proposal?
 - _____ c) Are items of the same nature which are charged as direct costs excluded from the indirect cost proposal?
 - _____ d) Are indirect costs accumulated in more than one pool?

A copy of the cost allocation plan may be requested.

I certify that the answers to these questions accurately reflect the management systems.

Rodney Cawson

Signature of Authorized Representative

Rodney Cawson, Contracting Officer

1-16-01

Date

INDIAN ORGANIZATIONS
INDIRECT COST NEGOTIATION AGREEMENT
EIN: 91-0557683

ORGANIZATION:

Confederated Tribes of the
Colville Reservation
P.O. Box 150
Nespelem, Washington 99155

DATE: September 13, 2000

REPORT NO(s): 00-P-682(98), 00-P-683(99),
00-P-684(00)

FILING REF: This replaces the
Negotiation Agreement dated
July 29, 1997

The indirect cost rates contained herein are for use on grants and contracts with the Federal Government to which Public Law 93-638 and Office of Management and Budget Circular A-87 apply, subject to the limitations contained in 25 CFR, Chapter 1, subchapter M, and in Section II.A. of this agreement. The rates were negotiated by the U.S. Department of the Interior, Office of Inspector General, and the subject organization in accordance with the authority contained in the Circular.

SECTION I: RATES

Page 1 of 3

Type	Effective Period		Rate*	Locations	Applicable To
	From	To			
Fixed Carryforward	10/01/97	09/30/98	██████	All	All Programs
Fixed Carryforward	10/01/98	09/30/99	██████	All	All Programs
Fixed Carryforward	10/01/99	09/30/00	██████	✓ All	All Programs

*Base: Total direct salaries and wages including vacation, holiday, and sick pay, but excluding other fringe benefits.

Treatment of fringe benefits: Fringe benefits applicable to direct salaries and wages are treated as direct costs; fringe benefits applicable to indirect salaries and wages are treated as indirect costs.

SECTION II: GENERAL

A. LIMITATIONS: Use of the rates contained in this agreement is subject to any applicable statutory limitations. Acceptance of the rates agreed to herein is predicated upon these conditions: (1) no costs other than those incurred by the subject organization were included in its indirect cost rate proposal, (2) all such costs are the legal obligations of the grantees/contractor, (3) similar types of costs have been accorded consistent treatment and, (4) the same costs that have been treated as indirect costs have not been claimed as direct costs (For example, supplies can be charged directly to a program or activity as long as they are not used for the purpose of the program or activity).

B. AUDIT: All costs (direct and indirect, Federal and non-Federal) are subject to audit. Adjustments to amounts resulting from audit of the cost allocation plan or indirect cost rate proposal upon which the negotiation of this agreement was based will be compensated for in a subsequent negotiation.

C. **CHANGES:** Rates contained in this agreement are based on the organizational structure and the accounting system in effect at the time the proposal was submitted. Changes in organizational structure, or changes in the method of accounting for costs which affect the amount of reimbursement resulting from use of the rates in this agreement, require the prior approval of the responsible negotiation agency. Failure to obtain such approval may result in subsequent audit disallowance.

D. **PROVISIONAL/FINAL RATES:** Within 6 months after year end, a final rate must be submitted based on actual costs. Billings and charges to contracts and grants must be adjusted if the final rate varies from the provisional rate. If the final rate is greater than the provisional rate and there are no funds available to cover the additional indirect costs, the organization may not recover all indirect costs. Conversely, if the final rate is less than the provisional rate, the organization will be required to pay back the difference to the funding agency.

E. **FIXED CARRYFORWARD RATES:** Fixed Carryforward rates are based on an estimate of the costs which will be incurred during the period for which the rates apply. When the actual costs for such periods have been determined, an adjustment will be made to rates for future periods, if necessary, to compensate for the difference between the costs used to establish the fixed rate and the actual costs.

F. **AGENCY NOTIFICATION:** Copies of this document may be provided to other Federal offices as a means of notifying them of the agreement contained herein.

G. **RECORD KEEPING:** Organizations must maintain accounting records that demonstrate that each type of cost has been treated consistently either as a direct cost or an indirect cost. Records pertaining to the costs of program administration, such as salaries, travel, and related costs, should be kept on an annual basis.

H. **REIMBURSEMENT CEILINGS:** Grantee/contractor program agreements providing for ceilings on indirect cost rates or reimbursement amounts are subject to the ceilings stipulated in the contract or grant agreements. If the ceiling rate is higher than the negotiated rate in Section I of this agreement, the negotiated rate will be used to determine the maximum allowable indirect cost.

I. **USE OF OTHER RATES:** If any Federal programs are reimbursing indirect costs to this grantee/contractor by a measure other than the approved rates in this agreement, the grantee/contractor should credit such costs to the affected programs and the approved rates should be used to identify the maximum amount of indirect cost allocable to these programs.

J. **CENTRAL SERVICE COSTS:** Where central service costs are estimated for the calculation of indirect cost rates, adjustments will be made to reflect the difference between provisional and final amounts.

allocation and billing of indirect costs. Approval of the indirect cost rate does not mean that an organization can recover more than the actual costs of a particular program or activity.

2. Programs received or initiated by the organization subsequent to the negotiation of this agreement are subject to the approved indirect cost rate if

the programs receive administrative support from the indirect cost pool. It should be noted that this may result in an adjustment to a future rate.

1. New indirect cost proposals are necessary to obtain approved indirect cost rates for future fiscal or calendar years. The proposals are due in our office 6 months prior to the beginning of the year to which the proposed rates will apply.

SECTION III: ACCEPTANCE

Listed below are the signatures of acceptance for this agreement:

By the Indian Organization:

By the Cognizant Federal Government
Agency:

Colleen F. Cavston /s/
Name Colleen F. Cavston
Chairperson, Colville Business Council
Title
9/7/00.
Date

Elena Chan /s/
Elena Chan
Name
Acting Indirect Cost Coordinator
Western Region
Title
U.S. Department of the Interior
Office of Inspector General
Agency
Date September 13, 2000
Negotiated by Steve Dallosta
Telephone (916) 978-5638

INDIAN GENERAL ASSISTANCE PROGRAM

QUALITY ASSURANCE REQUIREMENT FORM 40 CFR 30.54 AND 31.45

☐ This program/project does NOT involve environmentally related measurement or data generation.

OR

☒ This program/project DOES include environmentally related measurements or data generation. Environmentally related measurements or data generation deals with the collection of samples and analysis of samples in the field or at a laboratory, or the collection of environmental data in the field, such as the collection and reporting of environmental measurements or environmental observations. A Quality Assurance Project Plan (QAPP) must meet the requirement of 40 CFR 30.54 and 31.45.

☐ has been prepared for this project and IS ATTACHED.

☒ was previously reviewed and formally approved by the U.S. EPA on 10/13/20 and is still current and applicable. (Current is defined as less than three (3) years old.) Final was submitted to EPA in 2000

☐ will be prepared and forwarded to the U.S. EPA upon completion of the QAPP. Applicant understands that this QA requirement is a condition for award of the grant/cooperative agreement.

11/15/21
Date

Colleen F. Cawston
Signature of Authorized Representative

Colleen F. Cawston, Chairperson
Typed Name & Title of Authorized Representative

Colville Confederated Tribes
Typed Name of Applicant

EPA GRANT MANAGER (PROJECT OFFICER)

EPA QA OFFICER

Signature

Date

Signature

Date

PLEASE NOTE: Questions concerning Quality Assurance requirements should be directed to Bruce Woods at (206) 553-1193.



Patti Stone
<patti@theofficenet.com>

12/01/2001 12:07 AM

To: Monica Tone/R10/USEPA/US@EPA
cc:
Subject: PA/SI agreement

Hi Monica:

Attached is the Extention for the PA/SI grant to the CCT from EPA, please let me know if it meets with your approval. The objectives are on the WP attachment and the budget is on a Word attachment, sorry, that's just the way I had it set up on the first draft. I may have to send this in two parts, thanks, Patti



CCTAGMT.WPD

**EXTENSION TO
SUPPORT AGENCY COOPERATIVE AGREEMENT
FOR GOVERNMENT TO GOVERNMENT INVOLVEMENT
OF THE COLVILLE CONFEDERATED TRIBES**

IN EPA PA and SI CERCLA ACTIVITIES

The purpose of this extension to the Cooperative Agreement is to continue Tribal involvement at sites determined by EPA to be eligible for preliminary assessment (PA) and site investigation (SI) work under the Comprehensive Environmental Response, Compensation and Liability Act (CERCLA). Sites of interest to the Colville Confederated Tribes (CCT) include those that have impacted or may potentially impact tribal reservation lands and/or resources. This amendment to the Cooperative Agreement is not intended to supersede the existing MOA between the CCT and EPA.

Performance Period

January 1, 2002 through December 31, 2002

PA and SI Tasks/Activities

CCT proposes that \$46,743 be funded during this performance period. Sites identified include the Upper Columbia River and potential contaminant sources including a number of mines and mills near the Columbia River drainage area in northeast Washington. CCT and their consultants, currently under contract, will interact with EPA and its authorized contractors to see that CCT resource interests are represented. The CCT and their consultants will provide technical input to the various PA and SI actions through oral or written communications to EPA.

Objective 1. Community Outreach and Participation in Meetings

The Tribe will assist EPA in the development and coordination of up to two public/community meetings with Colville Tribal membership, the Lake Roosevelt Water Quality Council, Lake Roosevelt Forum, and other pertinent local groups. Consultants will assist the Tribe in community outreach for the Upper Columbia River CERCLA activities to provide adequate public information and receive feedback on activities and progress.

The Tribe will participate in technical and consultation meetings with EPA officials relating to CERCLA PA and SI activities.

Objective 2. Field Activities

The Tribe will coordinate with EPA on planned PA and SI field activities including scheduled visits to sites where consent for access to CCT representatives has been provided. Circumstances may arise that CCT may need to re-visit sites for pertinent information.

Potential site visits include the NW Alloys site in Addy, WA and mines/mills near the Sanpoil River watershed identified in the PA Petition submitted by CCT to EPA, dated August 2000. Specifically, Mountain Lion Mine/Mill, Hecla Concentrator, Republic Concentrator, NWP&R Co., and Knob Hill Mine.

Objective 3. Review and Comment of Documents

The Tribe will review and comment on draft PA and SI reports generated by EPA. CCT consultants will provide the Tribe with technical expertise in CERCLA and program-related environmental issues. The consultant will develop a summary of comments and recommendations. Examples of draft reports to be reviewed by CCT are the Pend Oreille River ESI and the Upper Columbia River ESI reports.

Budget Narrative, 2002

Salaries	0
Fringe	0
Travel	0
Equipment	0
Supplies	0
Contractual	████████
Construction	0
Other	0
Direct Charges	████████
Indirect Charges	0
Grand Total	46,743

Grant funds will be utilized to retain existing tribal consultants to assist the CCT in conducting the tasks identified in this request.

Environment International and Fulcrum Environmental Consulting, qualified consulting firms are currently under contract to provide technical assistance to the CCT. These consultants will provide necessary assistance to the CCT per these described tasks and within these budget limits.

Principal - Professional Fees (EI):

72 hrs @ [REDACTED]/hr = [REDACTED]

Principal - Travel Fees (EI):

13 hrs @ [REDACTED]/hr = [REDACTED]

2 airfares @ [REDACTED] ea = [REDACTED]

Principal - Sub Total (EI):

[REDACTED]

Toxicologist - Professional Fees (EI):

20 hrs @ [REDACTED]/hr = [REDACTED]

Toxicologist - Travel Fees (EI):

13 hrs @ [REDACTED]/hr = [REDACTED]

2 airfares @ [REDACTED] ea = [REDACTED]

Toxicologist - Sub Total (EI):

[REDACTED]

Sr. Scientist - Professional Fees (EI):

80 hrs @ [REDACTED]/hr = [REDACTED]

Sr. Scientist - Travel Fees (EI):

18 hrs @ [REDACTED]/hr = [REDACTED]

3 airfares @ [REDACTED] ea = [REDACTED]

Sr. Scientist - Sub Total (EI):

[REDACTED]

ODCs (EI):

Phone and Delivery = [REDACTED]

Sub Total (EI):

[REDACTED]

Principal - Professional Fees (FE):

140 hrs @ [REDACTED]/hr = [REDACTED]

Principal - Travel Fees (FE):

13 hrs @ [REDACTED]/hr = [REDACTED]

3 airfares @ [REDACTED] ea = [REDACTED]

15 trips of 200 miles @ [REDACTED]/mi. = [REDACTED]

Principal - Sub Total (FE):

[REDACTED]

Sr. Scientist - Professional Fees (FE):

60 hrs @ [REDACTED] = [REDACTED]

ODCs (FE):

Phone and Delivery = [REDACTED]

Sub Total (FE):

[REDACTED]

SI Professional Fees and travel: \$46,743



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

December 24, 2001

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

RECEIVED
JAN 04 2002
Environmental Cleanup Office

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*
Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501
Reporting Period: October 1, 2001 through December 31, 2001
Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

I. Work Accomplished, delays, problems, products, technical hours

Objective 1: Tribal Contractors reviewed the proposed EPA-CCT Memorandum of Agreement. Considerable time was spent by Tribal contractors and CCT staff interacting with EPA and their consultants wrapping up the Phase II Upper Columbia River sampling activities. Contractors reviewed Washington Department Ecology's draft sediment bioassay results as they relate to CERCLA. Updated tribal contractors on progress of SI draft reports as information becomes available. Contractors spent some time interacting with CCT staff reviewing HRS scoring processes. Tribal contractors provided technical information regarding EPA planning for Sanpoil River/Republic sites scheduled for 2002 assessments.

Objective 2: Tribal contractors attended Coeur d'Alene Basin-Spokane River public meeting on proposed interim clean-up actions and EPA Abandoned Mine Meeting as they both relate to Lake Roosevelt CERCLA activities.

Objective 3: Tribal contractors assisted EPA providing technical and logistical input and assistance for the Sheep Creek Mill site. This site was visited during the quarter with EPA staff and owner representatives.

Page two
Quarterly report

Objective 4: CERCLA activities and progress were reported at the Inchelium District Meeting on the Colville Indian Reservation during the quarter.

Objective 5: No draft reports were reviewed during the quarter.

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies

SI Objectives 1-5: Objectives are within scope of budget through September 30, 2001. We have fully expended the budget for consultant fees. All the remaining billings from previous months were submitted for payment. One field visit requested by EPA and assistance on 2002 planned activities will be billed after 2002 funds are available (approximately 5% of the budget).

3. Comparison of the estimated funds spent to date to planned expenditures
Funds expended to date: \$45,000

Planned expenditures to date: There will not be a draft SI report completed by October 2001 as anticipated. The budget has been fully expended. We utilized a majority of the budget for tribal consultants for coordination and comments on the many mine sites that EPA needed to investigate and sample during the year. This was not anticipated when the budget was formulated.

4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining

Objective 1-5: 100% of budget expended to date.

More time was needed for technical assistance for the mining issues related to the project and reviewing sampling information.

Cc: Gary Passmore, Director
Rodney Cawston, Contracting Officer .



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 10
1200 Sixth Avenue
Seattle, WA 98101

January 24, 2002

Reply To
Attn of: ECL-115

Patti Stone
Colville Confederated Tribes
Office of Environmental Trust
P.O. Box 150
Nespelem, Washington 99155

Re: PA/SI Cooperative Agreement (CA) Grant V-98072501

Dear Ms. Stone:

This is in follow-up to our conversation regarding CCT's quarterly report dated December 24, 2001, for the above referenced cooperative agreement. Specifically, item #2 which indicates the Tribes' potential billing of certain activities performed during the project period ending 12/31/2001 against funds associated with the project period beginning 1/1/2002.

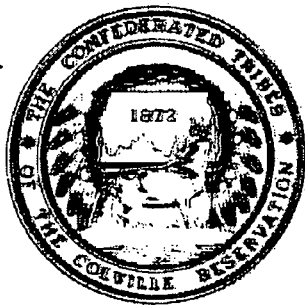
As we discussed, activities conducted under the PA/SI CA during the project period ending 12/31/2001 may be charged to the approved funds for that project period. Hence, the Tribes' proposed billing of activities conducted in the project period ending 12/31/2001 against cooperative agreement funds associated with the project period beginning 1/1/2002 is not allowable.

Thank you for your time and attention to this matter. Should you have any further questions, please feel free to contact me at (206) 553-0323.

Sincerely,

A handwritten signature in cursive script that reads "Monica Tonel".

Monica Tonel
Project Officer



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99055

PHONE (509) 834-1711

February 19, 2002

FEB 25 2002

U.S. Environmental Protection Agency
Grants Administration Unit
1200 Sixth Avenue, OMP-145
Seattle, WA 98101

Jan 25 2002 10:00 AM

RE: V98072501-3

Dear Grants Administration:

Attached are one original and two complete copies of Agreement Amendment V98072501-3, support agency agreement for the Lake Roosevelt Preliminary Assessment signed by Colleen F. Cawston, Chairperson for the Colville Confederated Tribes.

Sincerely,


Gary Passmore, Director
Office of Environmental Trust

Cc: Patti Stone, Cindy Hole, CCT
✓ Monica Tovel, EPA

Hi Monica. Here is your copy.

Patti Stone



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 10
1200 Sixth Avenue
Seattle, WA 98101

[Rec. By Collin 1/30/02.
Rec. By Patti 2/5/02 P.S.D.]

Attention EPA Grant/IAG Recipient:

Enclosed is your Assistance Agreement/Amendment/Interagency Agreement (IAG) from the U.S. Environmental Protection Agency, Region 10. Please have it signed and dated by your Authorized Representative and **RETURN ONE ORIGINAL AND 2 COMPLETE COPIES WITHIN 3 WEEKS TO:**

U.S. ENVIRONMENTAL PROTECTION AGENCY
GRANTS ADMINISTRATION UNIT
1200 SIXTH AVE., OMP-145
SEATTLE, WA 98101

Please review this document, including any administrative or programmatic conditions, to ensure you are aware of all of the requirements being placed on this project.

See the reverse side of this form for a list of the enclosures which you should receive with this package.

Any questions should be directed to either the Project Officer or the Grants Specialist listed on the document. In any communication with our offices, please include the following:

Agreement/Amendment ID Number: V 98072501-3

Additional information about EPA grants/programs can be found on the EPA Region 10 website, which may be accessed at <http://www.epa.gov/r10earth>.

We look forward to working with your organization for the successful completion of this project.

Enclosures


(over)

Enclosures

The items checked should be enclosed with your Agreement/Amendment:

- ☒ Assistance Agreement/Amendment/Interagency Agreement
- ☐ Minority & Women's Business (MBE/WBE) Information Package
- ☐ SF 269 (Financial Status Report) Forms
- ☐ Electronic Funds Transfer (EFT) Packet
- ☐ SF 270 (Request for Advance or Reimbursement) Forms
- ☐ Regulations and OMB Circulars
 - ☐ 40 CFR Part 30
 - ☐ 40 CFR Part 31
 - ☐ 40 CFR Part 35, Subpart A
 - ☐ 40 CFR Part 35, Subpart B
 - ☐ 40 CFR Part 35, Subpart O
 - ☐ 40 CRF Part 47
 - ☐ OMB Circular A-87, A-21, A-122 (as appropriate)
 - ☐ OMB Circular A-133
 - ☐ Other _____
- ☐ Other _____

PLEASE NOTE: OMB Circulars and Standard Forms may be found at this website:
www.whitehouse.gov/OMB/grants/index.html

	U.S. ENVIRONMENTAL PROTECTION AGENCY Assistance Amendment		ASSISTANCE ID NO.			DATE OF AWARD 1/23/02 MAILING DATE 1/30/02 ACH#
			PRG	DOC ID	AMEND#	
			V -	98072501	- 3	
			TYPE OF ACTION Augmentation: Increase			
RECIPIENT TYPE: Indian Tribe			Send Payment Request to: Region 10 Finance Unit, OMP-146			
RECIPIENT: Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155 EIN: 91-0557683			PAYEE: Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155			
PROJECT MANAGER Patty Stone P.O. Box 150 Nespelem, WA 99155 E-Mail: Phone: 509-634-2415		EPA PROJECT OFFICER Monica Tone 1200 Sixth Avenue, ECL-115 Seattle, WA 98101 E-Mail: tonel.monica@epa.gov Phone: 206-553-0323		EPA GRANT SPECIALIST Elizabeth Pendleton Grants Administration Unit, OMP-145 E-Mail: Pendleton.Elizabeth@epa.gov Phone: 206-553-2919		
PROJECT TITLE AND DESCRIPTION Support Agency Agreement for the Lake Roosevelt Preliminary Assessment Changes: Increase of Funds (Supplemental), Revised Scope of Work and, Time Extension to continue funding Tribal Involvement at sites determined by EPA to be eligible for site investigation work under CERCLA.						
BUDGET PERIOD 04/01/2000 - 12/31/2002		PROJECT PERIOD 04/01/2000 - 12/31/2002		TOTAL BUDGET PERIOD COST \$126,833.00		TOTAL PROJECT PERIOD COST \$126,833.00
NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.						
OFFER AND ACCEPTANCE						
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>Colville Confederated Tribes</u> for <u>100.00</u> % of all approved costs incurred up to and not exceeding <u>\$126,833</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>02/04/2000</u> Included herein by reference.						
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)				AWARD APPROVAL OFFICE		
ORGANIZATION / ADDRESS				ORGANIZATION / ADDRESS		
EPA Region 10 Mail Code, OMP-145 1200 Sixth Avenue Seattle, WA 98101				U.S. EPA, Region 10 Environmental Cleanup Office 1200 Sixth Avenue Seattle, WA 98101		
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY						
SIGNATURE OF AWARD OFFICIAL		TYPED NAME AND TITLE			DATE	
		Michael F. Gearheard, Director - Environmental Cleanup Office			1/23/02	
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.						
BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION						
SIGNATURE		TYPED NAME AND TITLE			DATE	
		Colleen F. Cawston, Chairperson			2/15/02	

V - 98072501 - 3 Page 2

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$ 80,090	\$ 46,743	\$ 126,833
EPA In-Kind Amount	\$ 0	\$	\$ 0
Unexpended Prior Year Balance	\$ 0	\$	\$ 0
Other Federal Funds	\$ 0	\$	\$ 0
Recipient Contribution	\$ 0	\$	\$ 0
State Contribution	\$ 0	\$	\$ 0
Local Contribution	\$ 0	\$	\$ 0
Other Contribution	\$ 0	\$	\$ 0
Allowable Project Cost	\$ 80,090	\$ 46,743	\$ 126,833

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Site Specific Cooperative Agreements	CERCLA: Sec. 104	40 CFR PTS 31 & 35 SUBPT O

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
	QEG003	02	T	OAQOP	50102D	4185	106XMA00	C001	46,743
									46,743

Budget Summary Page

Table A - Object Class Category (Non-construction)		Total Approved Allowable Budget Period Cost
1. Personnel		\$0
2. Fringe Benefits		\$0
3. Travel		\$0
4. Equipment		\$0
5. Supplies		\$0
6. Contractual		\$0
7. Construction		\$0
8. Other		\$0
9. Total Direct Charges		\$0
10. Indirect Costs: % Base		\$0
11. Total (Share: Recipient % Federal 100.00 %.)		\$126,833
12. Total Approved Assistance Amount		\$126,833
13. Program Income		\$0

Administrative Conditions

All Administrative Conditions Remain the Same With the Following Exceptions:

1. Payment Information (revised)

a. Electronic Funds Transfer (EFT) systems: There are two EFT payment systems available to recipients, whereby payments are sent directly to the recipient's financial institution within 3-5 business days. Recipients may use either EPA's EFT-IFMS system or ASAP, as follows:

Under EPA's EFT-IFMS, your payment request must be made on the EPA Region 10 EFT-Payment Request Form.

Under the Automated Standard Application for Payment (ASAP), the recipient follows Department of Treasury ASAP instructions.

To enroll in either system (if not currently enrolled), or if you have questions, please call Paul Steele at (206) 553-0311 or Dennis Thurston at (206) 553-2918.

b. Treasury check by mail: Recipients not receiving electronic funds transfer payments will be paid by Treasury check. Recipients must submit a "Request for Advance or Reimbursement," Standard Form-270, via FAX to EPA Region 10 Finance Unit at FAX # 206-553-6525, OR mail to EPA Region 10 Finance Unit, 1200 Sixth Ave, OMP-146, Seattle, WA 98101. Recipients will normally receive payment within 30 days of EPA's receipt of a correct SF-270.

Under any of the above payment mechanisms, recipients may request/draw down advances for their immediate cash needs, provided the recipient meets the requirements of 40 CFR 30.22(b) or 40 CFR 31.21(c), as applicable. Additionally, recipients must liquidate all obligations incurred within 90 calendar days of the project period end date. Therefore, recipients must submit the final request for payment, and refund to EPA any balance of unobligated cash advanced within 90 calendar days after the end of the project period.

7. Lobbying and Litigation (revised)

In accordance with OMB Circular A-21, A-87, or A-122, as appropriate, the recipient agrees that it will not use project funds, including the Federal and non-Federal share, to engage in lobbying the Federal Government or in litigation against the United States. The recipient also agrees to provide the information mandated by EPA's annual appropriations acts for fiscal year 2000 and fiscal year 2001 (PL 106-74, §426 and PL 106-377, §424 respectively) which require as follows: *'A chief executive officer of any entity receiving funds under this Act shall certify that none of these funds have been used to engage in the lobbying of the Federal Government or in litigation against the United States unless authorized under existing law.'* The recipient may satisfy this certification

requirement in any reasonable manner. The certification must be submitted to EPA after all grant funds have been expended.

10. Revised Work Plan (revised)

Work under this agreement should be completed in accordance with the approved work plans submitted with the letters dated February 15, 2000 and August 28, 2000 and the revised work plan submitted November 30, 2001.

Programmatic Conditions

All Programmatic Conditions Remain the Same

EXTENSION TO
SUPPORT AGENCY COOPERATIVE AGREEMENT
FOR GOVERNMENT TO GOVERNMENT INVOLVEMENT
OF THE COLVILLE CONFEDERATED TRIBES

IN EPA PA and SI CERCLA ACTIVITIES

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Objective 2. Field Activities

The Tribe will coordinate with EPA on planned PA and SI field activities including scheduled visits to sites where consent for access to CCT representatives has been provided. Circumstances may arise that CCT may need to re-visit sites for pertinent information.

Potential site visits include the NW Alloys site in Addy, WA and mines/mills near the Sanpoil River watershed identified in the PA Petition submitted by CCT to EPA, dated August 2000. Specifically, Mountain Lion Mine/Mill, Hecla Concentrator, Republic Concentrator, NWP&R Co., and Knob Hill Mine.

Objective 3. Review and Comment of Documents

The Tribe will review and comment on draft PA and SI reports generated by EPA. CCT consultants will provide the Tribe with technical expertise in CERCLA and program-related environmental issues. The consultant will develop a summary of comments and recommendations. Examples of draft reports to be reviewed by CCT are the Pend Oreille River ESI and the Upper Columbia River ESI reports.

Budget Narrative, 2002

Salaries	0
Fringe	0
Travel	0
Equipment	0
Supplies	0
Contractual	46,743
Construction	0
Other	0
Direct Charges	46,743
Indirect Charges	0
Grand Total	46,743

Grant funds will be utilized to retain existing tribal consultants to assist the CCT in conducting the tasks identified in this request.

Environment International and Fulcrum Environmental Consulting, qualified consulting firms are currently under contract to provide technical assistance to the CCT. These consultants will provide necessary assistance to the CCT per these described tasks and within these budget limits.

Principal - Professional Fees (EI):

72 hrs @ [REDACTED]/hr = [REDACTED]

Principal - Travel Fees (EI):

13 hrs @ [REDACTED]/hr = [REDACTED]

2 airfares @ [REDACTED] ea = [REDACTED]

Principal - Sub Total (EI):

[REDACTED]

Toxicologist - Professional Fees (EI):

20 hrs @ [REDACTED]/hr = [REDACTED]

Toxicologist - Travel Fees (EI):

13 hrs @ [REDACTED]/hr = [REDACTED]

2 airfares @ [REDACTED] ea = [REDACTED]

Toxicologist - Sub Total (EI):

[REDACTED]

Sr. Scientist - Professional Fees (EI):

80 hrs @ [REDACTED]/hr = [REDACTED]

Sr. Scientist - Travel Fees (EI):

18 hrs @ [REDACTED]/hr = [REDACTED]

3 airfares @ [REDACTED] ea = [REDACTED]

Sr. Scientist - Sub Total (EI):

[REDACTED]

ODCs (EI):

Phone and Delivery = [REDACTED]

Sub Total (EI):

[REDACTED]

Principal - Professional Fees (FE):

140 hrs @ [REDACTED]/hr = [REDACTED]

Principal - Travel Fees (FE):

13 hrs @ [REDACTED]/hr = [REDACTED]

3 airfares @ [REDACTED] ea = [REDACTED]

15 trips of 200 miles @ [REDACTED]/mi. = [REDACTED]

Principal - Sub Total (FE):

[REDACTED]

Sr. Scientist - Professional Fees (FE):

60 hrs @ [REDACTED] = [REDACTED]

ODCs (FE):

Phone and Delivery = [REDACTED]

Sub Total (FE):

[REDACTED]

SI Professional Fees and travel: \$46,743

INDIAN GENERAL ASSISTANCE PROGRAM

NOTE: This form must be submitted with each application for a new grant.

EPA Project Control Number



United States Environmental Protection Agency
Washington, DC 20460

Certification Regarding Debarment, Suspension, and Other Responsibility Matters

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of the certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Colleen F. Cawston, Chairperson

A handwritten signature in cursive script, appearing to read "Colleen F. Cawston".
Signature of Authorized Representative

11/21/11
Date

☐ I am unable to certify to the above statements. My explanation is attached.



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

December 21, 2000

EPA Grants and Acquisitions Unit
Attn: Art Aurdahl
Environmental Protection Agency
1200 Sixth Avenue, OMP-145
Seattle, WA 98010

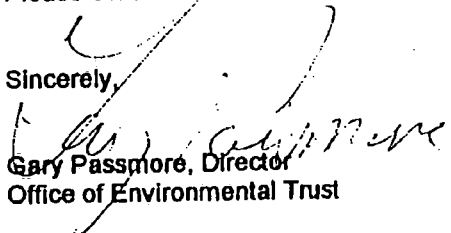
RE: EPA Annual Grant Certification Forms

Dear Mr. Aurdahl:

Please accept the enclosed packet of information regarding "Annual Certification Process of EPA Assistance Applications" from the Confederated Tribes of the Colville Indian Reservation.

Please contact me at 509-634-2426 with any questions or concerns.

Sincerely,


Gary Passmore, Director
Office of Environmental Trust

Enclosures

INDIAN GENERAL ASSISTANCE PROGRAM

ATTENTION: BUNDLING LETTER

TO: EIA Grants Administration Unit
1200 Sixth Ave., CMr-145
Seattle, WA 98101

RE: Annual Certification Process for EPA Assistance Applications

(Applicant's Name) Confederated Tribes of the Colville Indian Reservation is submitting the following certifications in response to EPA's policy which allows recipients who receive multiple grants or cooperative agreements to submit a single set of certifications annually for all EPA applications (please see "Certifications and Assurances" to determine if bundling is in your best interest - for many Tribes it may not be useful):

- ☒ Assurances - Non-Construction Programs (SF-424B)
- ☒ Preaward Compliance Review Report for all Applicants Requesting Federal Financial Assistance (EPA Form 4700-4)
- ☒ Procurement System Certification (EPA Form 5700-48)
- ☒ Anti-Lobbying Act of 1990 Application Certification
- ☒ Survey of Recipient's Management Systems (*All new applicants should submit*)

These certifications are to cover the following future anticipated applications: (i.e., "all continuing environmental programs" or "all programs"): All continuing environmental programs of the Colville Tribes, Office of Environmental Trust (Water, Air, Lead, GAP, etc..)

It is understood that these certifications are valid from January 1, 2001 through December 31, 2001.

We shall provide immediate written notification to EPA if any changes occur during this certification period, or if any of the annual certifications do not apply to a particular assistance application.

The following individual is the point of contact for the enclosed certifications:

Name: Gary W. Passmore
Address: P. O. Box 150
Nespelem, WA 99155
Phone No: 509-634-2426

Colleen F. Cawston
Applicant's Authorized Representative
Colleen F. Cawston, Chairperson

Date

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to:
 - (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protect components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11644 (identification and protection of historic properties) the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development and other related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching and other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poison Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction and rehabilitation of residence structures.
17. Will cause to be performed the required financial compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133 "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all Federal laws, executive orders, regulations, and policies governing this program.



SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 		TITLE Chairperson, Colville Business Council	
APPLICANT ORGANIZATION Confederated Tribes of the Colville Indian Reservation		DATE SUBMITTED .	

INDIAN GENERAL ASSISTANCE PROGRAM

PLEASE NOTE: Questions concerning completion of this form should be directed to
Cecilia Contreras at (206) 553-2899.

<div style="display: inline-block; vertical-align: middle; margin-left: 20px;"> United States Environmental Protection Agency Washington, DC 20460 Preaward Compliance Review Report for All Applicants Requesting Federal Financial Assistance </div>		FORM Approved OMB No. 2090-0014 Expires 4-30-99																		
Note: Read instructions on reverse side before completing form.																				
I. A. Applicant (Name, City, State) Colville Confederated Tribes Nespelem, WA	B. Recipient (Name, City, State) Same as A.	C. EPA Project No. 																		
II. Brief description of proposed project, program or activity. Continuing Environmental Programs																				
III. Are any civil rights lawsuits or complaints pending against applicant and/or recipient? If yes, list those complaints and the disposition of each complaint. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No																				
IV. Have any civil rights compliance reviews of the applicant and/or recipient been conducted by any Federal agency during the two years prior to this application for activities which would receive EPA assistance? If yes, list those compliance reviews and status of each review. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No																				
V. Is any other Federal financial assistance being applied for or is any other Federal financial assistance being applied to any portion of this project, program or activity? If yes, list the other Federal Agency(s), describe the associated work and the dollar amount of assistance. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No																				
VI. If entire community under the applicant's jurisdiction is not served under the existing facilities/services, or will not be served under the proposed plan, give reasons why. NA																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 80%;">Population Characteristics</th> <th style="width: 20%;">Number of People</th> </tr> <tr><td>A. Population of Entire Service Area</td><td></td></tr> <tr><td>B. Minority Population of Entire Service Area</td><td></td></tr> <tr><td>A. Population Currently Being Served</td><td></td></tr> <tr><td>B. Minority Population Currently Being Served</td><td></td></tr> <tr><td>A. Population to be Served by Project, Program or Activity</td><td></td></tr> <tr><td>B. Minority Population to be Served by Project, Program or Activity</td><td></td></tr> <tr><td>A. Population to Remain Without Service</td><td></td></tr> <tr><td>B. Minority Population to Remain Without Service</td><td></td></tr> </table>			Population Characteristics	Number of People	A. Population of Entire Service Area		B. Minority Population of Entire Service Area		A. Population Currently Being Served		B. Minority Population Currently Being Served		A. Population to be Served by Project, Program or Activity		B. Minority Population to be Served by Project, Program or Activity		A. Population to Remain Without Service		B. Minority Population to Remain Without Service	
Population Characteristics	Number of People																			
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A. Population to be Served by Project, Program or Activity																				
B. Minority Population to be Served by Project, Program or Activity																				
A. Population to Remain Without Service																				
B. Minority Population to Remain Without Service																				
VII. Will all new facilities or alterations to existing facilities financed by these funds be designed and constructed to be readily accessible to and usable by handicapped persons? If no, explain how a regulatory exception (40 CFR 7.70) applies <input type="checkbox"/> Yes <input type="checkbox"/> No NA																				
Give the schedule for future projects, programs or activities (for all future plans) here which are expected to be completed within the next 5 years: Continue through the life of the Colville Tribes' Environmental Trust Program																				
I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law.																				
Signature of Authorized Official 	B Title of Authorized Official Chairperson	C Date 1/1/91																		
For the U.S. Environmental Protection Agency																				
<input type="checkbox"/> Approved <input type="checkbox"/> Disapproved	Authorized EPA Official 	Date 																		

NOTE: Required if applying for Supplemental assistance.

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, DC 20460		Form Approved OMB No. 2000-0453
 PROCUREMENT SYSTEM CERTIFICATION		
APPLICANT'S NAME Confederated Tribes of the Colville Indian Reservation		ASSISTANCE APPLICATION NUMBER
APPLICANT'S ADDRESS P. O. Box 150 Nespelem, WA 99155		
SECTION I - INSTRUCTIONS		
<p>The applicant must complete and submit a copy of this form with each application for EPA Assistance. If the applicant has certified its procurement system to EPA within the past 2 years and the system has not been substantially revised, complete Part A in Section II, then sign and date the form. If the system has not been certified within the past 2 years, complete Part B, then sign and date the form.</p>		
SECTION II - CERTIFICATION		
A. I affirm that the applicant has within the past 2 years certified to EPA that its procurement system complies with 40 CFR Part 35 and that the system meets the requirements in 40 CFR Part 35. The date of the applicant's latest certification is:		MONTH/YEAR
B. Based upon my evaluation of the applicant's procurement system, I, as authorized representative of the applicant: <i>(Check one of the following:)</i>		
<input checked="" type="checkbox"/> 1. CERTIFY that the applicant's procurement system will meet all of the requirements of 40 CFR Part 35 before undertaking any procurement action with EPA assistance.		
Please furnish citations to applicable procurement ordinances and regulations		
agrees to follow the requirements of 40 CFR Part 35, including the procedures in Appendix A, and allow EPA preaward review of proposed procurement actions that will use EPA assistance.		
TYPED NAME AND TITLE Rodney Cawston, Contracting Officer	SIGNATURE 	DATE 1-16-01

EPA Form 5700-48 Previous edition is obsolete

See instructions on reverse side

INDIAN GENERAL ASSISTANCE PROGRAM

CERTIFICATIONS FOR CONTRACTS, GRANTS, LOANS, AND COOPERATIVE AGREEMENTS REGARDING LOBBYING AND LITIGATION

(1) In accordance with 40 CFR Part 34, and 31 USC §1352, the Undersigned certifies, to the best of his or her knowledge and belief, that:

(A) No Federal appropriated funds have been or will be paid, by or on behalf of the Undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(B) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, or any employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

(2) In accordance with OMB Circulars A-21, A-87, and A-122, and EPA's annual appropriations acts (e.g., PL 106-74, §426), the Undersigned certifies that no grant funds, including the Federal and non-Federal share, have been or will be used to engage in lobbying the Federal Government or in litigation against the United States unless authorized by law.

(3) The Undersigned shall require that the language of these certifications be included in the award of documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Chairperson, Colville business Council

TYPED NAME & TITLE OF AUTHORIZED REPRESENTATIVE

[Signature]
SIGNATURE OF AUTHORIZED REPRESENTATIVE

6
DATE

☐ I am unable to certify to the above statements. My explanation is attached.

NOTE: Must be completed if applying for assistance over \$100,000.

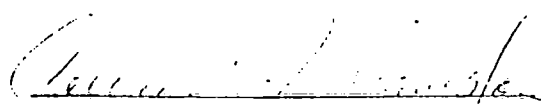
ANTI-LOBBYING ACT OF 1990 APPLICANT CERTIFICATION

This Certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this Certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required Certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The undersigned certifies to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award document for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

1.10.1
Date


Signature of Authorized Representative

INDIAN GENERAL ASSISTANCE PROGRAM

Survey of Recipient's Management Systems

Applicants for assistance under EPA agreements are required to have an adequate financial management system and audit procedures which provide efficient and effective accountability and control of all property, funds, and assets related to the agreement. This survey indicates the accounting standards that EPA considers basic to adequate Financial Management. Please mark each of these questions, YES, NO, or N/A, to help us and you determine whether your present systems can meet these requirements. The completed form must be signed and submitted with your application or bundling letter.

Accounting

- YES 1) Have project accounting records been established to record the costs applicable to the EPA agreement and other direct activities?
- YES 2) Are all costs of a project posted to these records? Are the records used as the basis for vouchers and Financial Status Reports submitted to EPA?
- YES 3) Before posting, are costs reviewed for reasonableness, allowability, and allocability to the project?
- YES 4) Are project accounts broken into subaccounts by program element or cost objective?
- YES 5) Is appropriate documentation maintained to support the costs of:
- YES a) personnel?
 - YES b) fringe benefits?
 - YES c) travel?
 - YES d) purchases of material, supplies, and equipment?
 - YES e) consultants?
 - YES f) other costs?
- YES 6) Are costs posted in these records reflected on and reconciled with control accounts contained in the general ledger?
- YES 7) Are accounting records subjected to an independent audit at least every two years?

Personnel

- for all employees?
- YES 9) Are time distribution records maintained to show the amount of time spent on each project covered under the agreement, as well as time spent on other projects? (These records are required for all personnel spending less than full time on an individual project)
- YES 10) Are there controls to assure that personnel costs are distributed in accordance with the time distribution records?

YES 11) Are there established procedures to govern the charges of personnel time related to partners or principals in closely held corporations?

YES 12) Are there formal procedures regarding bonuses, retirement plans, and/or profit sharing?

Travel

YES 13) Are there established policies to govern reimbursement for travel?

YES 14) Do these policies require travel vouchers to be submitted which:

YES a) show the time and purpose of the travel?

YES b) clearly indicate the nature of expenses being claimed?

YES c) require the submission of supporting documentation?

Procurement

YES 15) Are there established procedures to assure that professional services, equipment, material, and/or supplies requested are really needed?

YES 16) Are existing supplies or inventories reviewed to assure that requested items are not already available?

YES 17) Are there formal procurement procedures to assure that equipment, material, and supplies are obtained on a competitive basis?

YES 18) Are there procedures to assure that the type of contract utilized is appropriate for the procurement being undertaken?

YES 19) Are there controls to assure that types of contracts unacceptable to the Federal Government are not utilized?

YES 20) Are Minority and Women Owned Businesses included in solicitations?

YES 21) Are solicitations obtained from several sources to assure that the most qualified party is selected?

YES 22) Are quotations reviewed to assure that the proposed price is reasonable to the contractor, the recipient, and EPA?

YES 23) Are internal controls utilized to assure that contracts contain all required clauses:

YES a) access to records

YES b) ownership of data

YES c) termination

YES d) applicable cost principles

YES e) defective pricing

Obligations

- YES 24) Are there procedures to assure that reported obligations are supported by purchase orders, contracts, etc.?
- YES 25) Do these procedures require that obligations are periodically reviewed with regard to their validity?
- YES 26) Do these procedures require the timely liquidations of obligations?

Indirect Costs

If indirect costs are claimed under this agreement:

- YES 27) If the indirect cost rate is negotiated with a Federal Agency, a copy of the negotiated agreement must be sent to Grants and Acquisitions Unit.

Current rate proposal has been approved _____ pending _____.

- _____ 28) If the indirect cost rate is not negotiated with a Federal Agency:
- _____ a) Is there a cost allocation plan to indicate which costs are considered direct costs as opposed to indirect costs?
 - _____ b) Are unallowable costs separately identified and/or excluded from the indirect cost proposal?
 - _____ c) Are items of the same nature which are charged as direct costs excluded from the indirect cost proposal?
 - _____ d) Are indirect costs accumulated in more than one pool?

A copy of the cost allocation plan may be requested.

I certify that the answers to these questions accurately reflect the management systems.

Rodney Cawson
Signature of Authorized Representative

1-16-01
Date

Rodney Cawson, Contracting Officer

INDIAN ORGANIZATIONS
INDIRECT COST NEGOTIATION AGREEMENT
EIN: 91-0557603

ORGANIZATION:

Confederated Tribes of the
Colville Reservation
P.O. Box 150
Nespelem, Washington 99155

DATE: September 13, 2000

REPORT NO(s): 00-P-682(98), 00-P-683(99),
00-P-684(00)

FILING REF.: This replaces the
Negotiation Agreement dated
July 29, 1997

The indirect cost rates contained herein are for use on grants and contracts with the Federal Government to which Public Law 93-638 and Office of Management and Budget Circular A-87 apply, subject to the limitations contained in 25 CFR, Chapter 1, subchapter M, and in Section II.A. of this agreement. The rates were negotiated by the U.S. Department of the Interior, Office of Inspector General, and the subject organization in accordance with the authority contained in the Circular.

SECTION I: RATES

Page 1 of 3

Type	Effective Period		Rate*	Locations	Applicable To
	From	To			
Fixed Carryforward	10/01/97	09/30/98	█████	All	All Programs
Fixed Carryforward	10/01/98	09/30/99	█████	All	All Programs
Fixed Carryforward	10/01/99	09/30/00	█████ ✓	All	All Programs

*Base: Total direct salaries and wages including vacation, holiday, and sick pay, but excluding other fringe benefits.

Treatment of fringe benefits: Fringe benefits applicable to direct salaries and wages are treated as direct costs; fringe benefits applicable to indirect salaries and wages are treated as indirect costs.

SECTION II: GENERAL

A. LIMITATIONS: Use of the rates contained in this agreement is subject to any applicable statutory limitations. Acceptance of the rates agreed to herein is predicated upon these conditions: (1) no costs other than those incurred by the subject organization were included in its indirect cost rate proposal, (2) all such costs are the legal obligations of the grantee/contractor, (3) similar types of costs have been accorded consistent treatment and, (4) the same costs that have been treated as indirect costs have not been claimed as direct costs (For example, supplies can be charged directly to a program or activity as long as they are not a part of the indirect cost base).

B. AUDIT: All costs (direct and indirect, Federal and non-Federal) are subject to audit. Adjustments to amounts resulting from audit of the cost allocation plan or indirect cost rate proposal upon which the negotiation of this agreement was based will be compensated for in a subsequent negotiation.

C. **CHANGES:** Rates contained in this agreement are based on the organizational structure and the accounting system in effect at the time the proposal was submitted. Changes in organizational structure, or changes in the method of accounting for costs which affect the amount of reimbursement resulting from use of the rates in this agreement, require the prior approval of the responsible negotiation agency. Failure to obtain such approval may result in subsequent audit disallowance.

D. **PROVISIONAL/FINAL RATES:** Within 6 months after year end, a final rate must be submitted based on actual costs. Billings and charges to contracts and grants must be adjusted if the final rate varies from the provisional rate. If the final rate is greater than the provisional rate and there are no funds available to cover the additional indirect costs, the organization may not recover all indirect costs. Conversely, if the final rate is less than the provisional rate, the organization will be required to pay back the difference to the funding agency.

E. **FIXED CARRYFORWARD RATES:** Fixed Carryforward rates are based on an estimate of the costs which will be incurred during the period for which the rates apply. When the actual costs for such periods have been determined, an adjustment will be made to rates for future periods, if necessary, to compensate for the difference between the costs used to establish the fixed rate and the actual costs.

F. **AGENCY NOTIFICATION:** Copies of this document may be provided to other Federal offices as a means of notifying them of the agreement contained herein.

G. **RECORD KEEPING:** Organizations must maintain accounting records that demonstrate that each type of cost has been treated consistently either as a direct cost or an indirect cost. Records pertaining to the costs of program administration, such as salaries, travel, and related costs, should be kept on an annual basis.

H. **REIMBURSEMENT CEILINGS:** Grantee/contractor program agreements providing for ceilings on indirect cost rates or reimbursement amounts are subject to the ceilings stipulated in the contract or grant agreements. If the ceiling rate is higher than the negotiated rate in Section I of this agreement, the negotiated rate will be used to determine the maximum allowable indirect cost.

I. **USE OF OTHER RATES:** If any Federal programs are reimbursing indirect costs to this grantee/contractor by a measure other than the approved rates in this agreement, the grantee/contractor should credit such costs to the affected programs and the approved rates should be used to identify the maximum amount of indirect cost allocable to these programs.

J. **CENTRAL SERVICE COSTS:** Where central service costs are estimated for the calculation of indirect cost rates, adjustments will be made to reflect the difference between provisional and final amounts.

allocation and billing of indirect costs. Approval of the indirect cost rate does not mean that an organization can recover more than the actual costs of a particular program or activity.

2. Programs received or initiated by the organization subsequent to the negotiation of this agreement are subject to the approved indirect cost rate if

the programs receive administrative support from the indirect cost pool. It should be noted that this may result in an adjustment to a future rate.

3. New indirect cost proposals are necessary to obtain approved indirect cost rates for future fiscal or calendar years. The proposals are due in our office 6 months prior to the beginning of the year to which the proposed rates will apply.

SECTION III: ACCEPTANCE

Listed below are the signatures of acceptance for this agreement:

By the Indian Organization:

By the Cognizant Federal Government
Agency:

Colleen F. Cavston /s/
Name Colleen F. Cavston
Chairperson, Colville Business Council
Title
9/7/00.
Date

Elena Chan /s/
Elena Chan
Name
Acting Indirect Cost Coordinator
Western Region
Title
U.S. Department of the Interior
Office of Inspector General
Agency
Date September 13, 2000
Negotiated by Steve Dallosta
Telephone (916) 978-5638

INDIAN GENERAL ASSISTANCE PROGRAM

QUALITY ASSURANCE REQUIREMENT FORM 40 CFR 30.54 AND 31.45

☐ This program/project does NOT involve environmentally related measurement or data generation.

OR

☒ This program/project DOES include environmentally related measurements or data generation. Environmentally related measurements or data generation deals with the collection of samples and analysis of samples in the field or at a laboratory, or the collection of environmental data in the field, such as the collection and reporting of environmental measurements or environmental observations. A Quality Assurance Project Plan (QAPP) must meet the requirement of 40 CFR 30.54 and 31.45.

☐ has been prepared for this project and IS ATTACHED.

☒ was previously reviewed and formally approved by the U.S. EPA on 10/13/20 and is still current and applicable. (Current is defined as less than three (3) years old.) Final was submitted to EPA in 2000

☐ will be prepared and forwarded to the U.S. EPA upon completion of the QAPP. Applicant understands that this QA requirement is a condition for award of the grant/cooperative agreement.

1.15.1
Date


Signature of Authorized Representative

Colleen F. Cawston, Chairperson
Typed Name & Title of Authorized Representative

Colville Confederated Tribes
Typed Name of Applicant

Applicable Only

EPA GRANT MANAGER (PROJECT OFFICER)

EPA QA OFFICER

Signature

Date

Signature

Date

PLEASE NOTE: Questions concerning Quality Assurance requirements should be directed to Bruce Woods at (206) 553-1193.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 10
1200 Sixth Avenue
Seattle, WA 98101

MAR 19 2002

Reply To
Attn Of: TO-149

Colleen F. Cawston, Chairwoman
Confederated Tribes of the
Colville Indian Reservation
P.O. Box 150
Nespelem, Washington 99155

Dear Chairwoman Cawston:

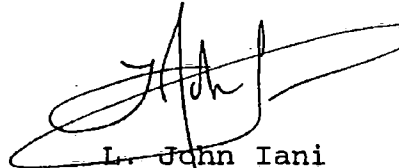
The Environmental Protection Agency's (EPA) Region 10 Office has undertaken an effort to assist grantees in complying with the terms and conditions of their Federal assistance agreements. The Grantee Compliance Assistance Initiative will focus on both programmatic and administrative issues and should help recipients maintain adequate operations and financial management systems to effectively administer their cooperative agreements and grants. This effort should help you to ensure that your internal control systems are effective for all property, funds and assets related to your EPA assistance agreements.

To assist grantees in the administrative and programmatic performance of their assistance agreements, EPA will ask a number of questions to better understand the Colville Confederated Tribes compliance with terms and conditions of the agreement, work plan tasks, and regulatory and statutory requirements. Please note that this is not a formal audit of your assistance agreements but rather an opportunity to understand and receive assistance from EPA on the complexities of the grants management process, including the programmatic, procurement, property, and financial management components. The information gained from this process will be helpful should the Colville Confederated Tribes be formally audited in the future.

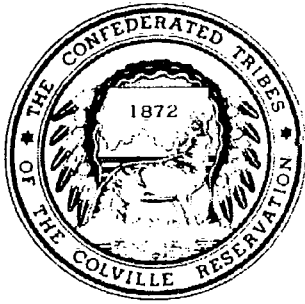
The process that is being used for "off-site" reviews of EPA grantees includes an initial conference call with key members from the Colville Confederated Tribes environmental and administrative staff. This conference call will present the objectives and process for the off-site review and pose questions regarding programmatic and administrative matters. The Colville Confederated Tribes will be asked to respond either verbally or in writing to the questions. EPA will then develop a draft review report summarizing all the findings and areas needing additional attention. The draft review report will be developed in partnership with the Colville Confederated Tribes.

It is my understanding that EPA staff will be contacting Patti Stone, Gary Passmore, Cindy Hoke and Georjean Moomaw to schedule the initial teleconference. I want to thank you for your participation in this important pilot project. If you have any questions or concerns, please do not hesitate to contact Linda Anderson-Carnahan in our Grants Administration Unit at (206) 553-0532.

Sincerely,

A handwritten signature in black ink, appearing to read "L. John Iani", with a large, sweeping flourish extending to the right.

L. John Iani
Regional Administrator



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

May 13, 2002

RECEIVED

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

MAY 17 2002

Justine M. Johnson, Director

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-3

Reporting Period: January 1, 2002 through March 31, 2002

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1, Outreach and Meetings: Consultants participated in an annual environmental briefing to the Tribal Business Council in January in Nespelem. Met with consultants to discuss new work plan activities for the year and discussions concerning CERCLA activities and status.

Objective 2, Field Activities: There were no field activities conducted during the quarter.

Objective 3, Review, Comments and Technical Assistance: Consultants spent a little time with EPA in the development of the preliminary assessment beginning in the Republic mining district answering questions and recommending potential sampling sites. Consultants spent time with tribal staff discussing HRS scoring process. Consultants met with EPA discussing scoring/schedule matters. Another meeting was held in Seattle with EPA, tribal representatives and consultants to discuss current MOA, schedule for assessment and CERCLA process and progress. Weston data was received and reviewed by consultants during the quarter. Contractors developed comments for Pend Oreille River Site Investigation draft report and the Bonanza Mill SQAP during the quarter.

Page two
PA/SI Quarterly Report

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies: Contract period ended December 31, 2001 for this grant and all funds were expended for that period. The EPA and the Tribe approved the new grant during the quarter in the amount of \$46,743. During this quarter, tribal consultant contracts for Environmental International and Fulcrum Environmental were renewed for the new contract period, January 1, 2002 through December 31, 2002.

3. Comparison of the estimated funds spent to date to planned expenditures
Funds expended to date: \$ 20,331

Planned expenditures to date: We ended up expending a little more contractor effort on the Pend Oreille report than anticipated, and in planning and preparation of the Upper Columbia River report.

4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
43 % of budget expended to date. If the Upper Columbia report comes out as scheduled, we anticipate expending a majority of the remainder of contractor effort during the third quarter on Objective 3 (report review and comments). We anticipate staying within the overall budget award.

Cc: Gary Passmore, Director
Rodney Cawston, Contracting Officer



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 10
1200 Sixth Avenue
Seattle, WA 98101

SEP 27 2002

Reply To
Attn of: ECL-115

Chairperson Joseph Pakootas
Confederated Tribes of the
Colville Indian Reservation
P. O. Box 150
Nespelem, Washington 99155

Dear Chairperson Pakootas:

On July 11, 2002, the U. S. Environmental Protection Agency (EPA) conducted a telephone interview with your staff as part of a desk review of your EPA assistance agreements (see attached list of people and agreements involved in the desk review). This was a joint effort between the EPA Office of Environmental Cleanup, Tribal Office, and Office of Management Program's Grants Administration Unit. We appreciate the time and effort your staff took in preparation for and during our discussion. It is our hope that this effort will assist the Colville Tribes in effectively managing the assistance agreements, and create an open dialogue between EPA and your organization.

The goal of the desk review was to perform a limited review of the general financial and administrative systems the Colville Tribes use to manage EPA assistance agreements and discuss the progress on current agreements. The desk review does not substitute for a formal audit, but instead the desk review is a monitoring instrument to avoid or reduce potential negative audit findings, waste, or abuse of federal funds. In conducting this desk review, we examined EPA's current agreement files, and talked with both accounting and programmatic personnel. We did not review your organization's written policies and procedures, nor observe activities by the Colville Tribes, but depended on the interview to gain an understanding of the systems.

We would like to acknowledge the efforts of the Colville Confederated Tribes Office of Environmental Trust and the Accounting Department within the Tribes' Office in managing EPA grants. In general, the Office of Environmental Trust does a thorough job in preparing and submitting grant workplans, and in tracking the workplan commitments once the grant is awarded. In addition, the Colville Tribes have systems in place to manage the progress of the funded projects, and the associated costs. General conclusions regarding the Colville Tribes' ability to manage EPA grants include the following:

- The Colville Confederated Tribes have written policies and procedures to cover such areas as travel, procurement, and personnel payroll;
- The Office of Environmental Trust works with the Accounting Department to ensure that costs charged against the assistance agreements are appropriate; and
- Currently funded projects are progressing as expected and the EPA Project Officers and the Tribal Program Managers communicate consistently.

Some areas discussed during the telephone interview regarding management of the agreements include reporting and the application process.

Reporting

The Colville Tribe is required to provide periodic performance reports, Financial Status Reports, and in some cases, Minority and Women Business Utilization Reports as noted in the Terms and Conditions for each agreement and EPA's regulations.

For all agreements, the Colville Tribes have been meeting the periodic performance requirements which include quarterly report submittals to the EPA Project Officer. In general, the quarterly reports contained the required information delineated in the Statement of Work. Particularly noteworthy are the quarterly reports submitted for the Indian General Assistance Program, the Superfund program (Preliminary Assessment/Site Investigation Support Agency Agreement), the Brownfields program, and the Clean Water Act programs (both 106 and 319). These reports are thorough and informative, and EPA project officers find them to be a useful tool in understanding grant progress. With regard to the Superfund PA/SI agreement, the quarterly reports included a thorough explanation of work and site-specific tasks accomplished during the reporting period, but did not include the estimated number of technical hours spent to complete each task or product. During the telephone interview, it was agreed upon between the EPA Project Officer, and the Tribal Program Manager for the PA/SI Agreement that this information would be included in future quarterly reports.

In general, the Grants Administration Unit has received timely and complete financial status reports. The current Indirect Cost Rate proposal; however, was not available at the time of the review. It was recommended that the proposed rate be provided to the Grants Administration Unit.

Application Process

We found that the process used for developing EPA applications included many levels of review and approval to ensure that a complete application was provided to EPA. The review by the accounting office is performed to ensure a more accurate application being provided to EPA. Modifications to the application are made prior to formal submission to EPA. All applications are approved by the Tribal Chairperson. Modifications to the application after formal submission are included in the official file for the assistance agreement. A copy of the revisions to the agreement in the official file helps the Tribes' auditors, or the Tribal Council refer to the most up-to-date approved budget, or approved work plan.

Recommendations

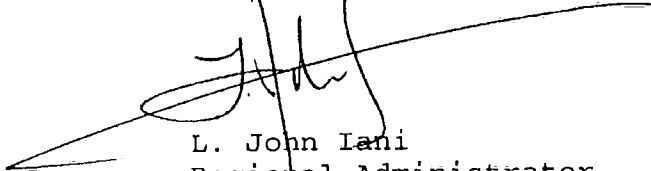
During the review, we recommended that the Colville Tribes accounting staff provide EPA with up-to-date information on indirect cost rates and fringe benefits. These rates can vary each year and could significantly impact budget development. Information responsive to this recommendation was received by our Grants Administration Unit on July 23, 2002.

With regard to the Superfund PA/SI agreement, we recommended that future quarterly reports include the estimated number of technical hours spent to complete each task or product. During the telephone interview, the Tribal Program Manager for the PA/SI Agreement agreed to include the information in future quarterly reports.

Conclusion

We appreciate your time in considering and responding to our recommendations. We believe that through monitoring activities such as this desk review, we improve both EPA's ability to manage our assistance agreements, and assist your organization in complying with the terms and conditions of your EPA assistance agreements. If you would like to discuss any of the information, please contact those directly involved in the review (See enclosure).

Sincerely,



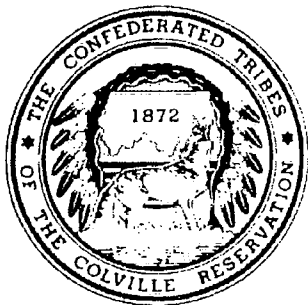
L. John Iani
Regional Administrator

Enclosure

Enclosure

**Fiscal Year 02 Region 10 Compliance Assistance Review
July 11, 2001 (Telephone Interview)
Contact List**

<u>Assistance/Support Agency Agreement</u>	<u>EPA Contact Person</u>	<u>Phone number</u>
CERCLA Site Assessment V-98072501-3	Monica Tonel	(206) 553-0323
GAP Grant GA97019701	Doug Cole Allan Moomaw	(208) 378-5764 (360) 753-8071
CWA 106 I-000503-01	Doug Cole Allan Moomaw	(208) 378-5764 (360) 753-8071
Non-Point Source C9000653-00 C9000653-01	Doug Cole Allan Moomaw	(208) 378-5764 (360) 753-8071
Brownfields Pilot Assessment BP-98093001	Joanne LaBaw	(206) 553-2594
Administrative Evaluation	Donna Hennessy	(206) 553-1838



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 634-4711

RECEIVED

November 3, 2002

NOV 07 2002

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Environmental Trust Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-3

Reporting Period: April 1, 2002 through June 30, 2002

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1, Outreach and Meetings:

Some time was spent by tribal contractors assisting tribal staff in preparation for July meetings with Governor Locke's Policy Staff and Washington Department of Ecology Director regarding updates on the CERCLA investigation of the Upper Columbia River. Minimal time was spent by tribal contractors assisting tribal staff prepare for EPA Region 10 Director Iani held in April at Nespelem. Contractors did not attend meeting.

Objective 2, Field Activities: There were no field activities conducted during the quarter for tribal contractors. Bonanza Mill sampling was conducted by EPA contractors, tribal contractors provided comments on the sampling plan during the quarter.

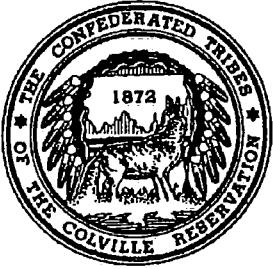
Objective 3, Review, Comments and Technical Assistance:

With the assistance of tribal contractors, tribal comments were delivered to EPA on the Pend Oreille River Site Investigation Report during the quarter. Some time was spent discussing HRS scoring and background issues with EPA.

Page two
PA/SI Quarterly Report

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies: We hoped to only have spent 25% of our budget through this quarter saving the majority of our budget for the Upper Columbia SI report. This is primarily a result of contractor time needed for off-schedule activities at the Bonanza Mill that were not able to be handled during 2001. It is also a result of time spent on the Pend Oreille River SI review and issues concerning background.
3. Comparison of the estimated funds spent to date to planned expenditures
Funds expended to date: \$ 26,444.53
57% of the total budget has been expended through this quarter.
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
Environment International expended 13.95 hours during the quarter under the approved work plan and Fulcrum Environmental expended 49.25 hours during the quarter under the approved work plan.

Cc: Gary Passmore, Director
Rodney Cawston, Contracting Officer



Confederated Tribes of the Colville Reservation

Office of Environmental Trust

P.O. Box 150, Nespelem, WA 99155

(509)634-2425

FAX: (509)634-4116

RECEIVED

MAR 03 2003

Environmental Cleanup Office

November 24, 2002

Monica Tonel, Site Assessment Manager
Environmental Cleanup Office
Environmental Protection Agency
1200 Sixth Avenue (ECL-115)
Seattle, WA 98101

RE: Extension for PA/SI Cooperative Agreement

Dear Monica:

Enclosed is the Extension to Support Agency Cooperative Agreement for Government-to-Government Involvement of the Confederated Tribes of the Colville Indian Reservation in EPA Preliminary Assessment and Site Investigation CERCLA Activities for 2003.

This extension will enable the Tribes technical assistance in the assessment activities of sites outlined in the 1999 petition to EPA. In the past year, we were under funded. I'm confident that the Tribes involvement through the expertise of our contractors is critical in these site assessments and have greatly benefited the CERCLA activities.

Please let me know if I can answer any questions concerning the proposed activities and budget. We will not be able to participate in the ongoing and upcoming 2003 CERCLA activities without this level of funding. I've discussed this issue with our Director and Tribal Council and we agree that any funding short of our proposal will more than likely result in an emergency government-to-government meeting.

Sincerely,

Patti Stone

Patti Stone, Project Manager
Office of Environmental Trust

Cc: Gary Passmore, Director
DR Michel, Colville Business Council

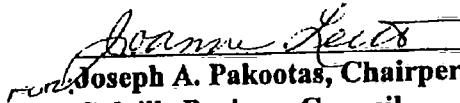
RESOLUTION

WHEREAS, it is the recommendation of the Natural Resources Committee to approve the EPA agency funding proposal providing technical assistance to the CCT for the on-going EPA Preliminary Assessment and Site Investigation CERCLA activities in the Upper Columbia/Lake Roosevelt. The Chairperson or his designee is authorized to sign any documents pertaining to this grant. No tribal dollars requested.

THEREFORE, BE IT RESOLVED, that we, the Colville Business Council, meeting in **SPECIAL SESSION** this 20th day of February, 2003 acting for and in behalf of the Colville Confederated Tribes, Nespelem, Washington, do hereby approve the above recommendation of the Natural Resources Committee.

The foregoing was duly enacted by the Colville Business Council by a vote of 9 FOR 0 AGAINST 0 ABSTAINED, under authority contained in Article V, Section 1(a) of the Constitution of the Confederated Tribes of the Colville Reservation, ratified by the Colville Indians on February 26, 1938, and approved by the Commissioner of Indian Affairs on April 19, 1938.

ATTEST:




Joseph A. Pakootas, Chairperson
Colville Business Council

cc: Natural Committee Chair
CBC Recording Secretary
Executive Director
Dept. or Program:
BIA Superintendent

Gary Passmore, Environmental Trust

Bob Phillips

01/10/2003 07:50 AM

To: Jolene Francis <jolene.francis@colvilletribes.com>
cc: Monica Tonel/R10/USEPA/US@EPA
Subject: RE: EPA Grant V-98072501 

Hi Jolene,

Hope you had a nice holiday season.

I followed up with Monica Tonel, the Project Officer, on this, and she would like to retain the condition for quarterly FSRs.

Reviewing the file again, I see that we did receive an FSR for the period 1/1/02 - 3/31/02, so what we are still needing are those for:

4/1/02 - 6/30/02

7/1/02 - 9/30/02

and of course the one for 10/1/02 - 12/31/02 is due by 1/31/03.

Also in looking over the file, I note that you had been submitting them quarterly previously. I do not see one covering an annual period, which you mention in your last message to me.

If you could see your way clear to getting these to me sometime in the near future, that would be great.

FYI: I am currently processing an amendment to the grant agreement which extend the project period and increases the funding.

Thanks for your help on this.

Bob Phillips
Grants Office, OMP-145
US EPA, Region 10
1200 6th Ave.
Seattle, WA 98101
(206) 553-6367
fax: (206) 553-4957

Jolene Francis <jolene.francis@colvilletribes.com>



Jolene Francis
<jolene.francis@colvilletribes.com>

12/18/2002 07:55 AM

To: Bob Phillips/R10/USEPA/US@EPA
cc:
Subject: RE: EPA Grant V-98072501

Hi, I just received your email, and I have to apologize for the delinquency. When this grant first started up, I read through the grant award and it was my understanding that there would be no quarterly reports but an annual. Will I have to get those caught up or will the annual one that I sent last week do? Please let me know...

JOLENE

-----Original Message-----

From: Phillips.Bob@epamail.epa.gov [mailto:Phillips.Bob@epamail.epa.gov]
Sent: Tuesday, December 17, 2002 2:48 PM
To: jolene.francis@colvilletribes.com
Cc: Tonel.Monica@epamail.epa.gov
Subject: EPA Grant V-98072501

Jolene,

Hello, I am the new Grants Specialist for this grant.

I am processing an extension to the project period, and have been reviewing the file. I wanted to call to your attention that we have not received quarterly Financial Status Reports for this grant since the one for the period ending 12/31/01. Would you be able to bring those up to date in the near future?

To recap, the ones we are missing are:

1/1/02 - 3/31/02, due 4/30/02
4/1/02 - 6/30/02, due 7/31/02
7/1/02 - 9/30/02, due 10/31/02

also, the one for the period 10/1/02 - 12/31/02 will be due on 1/31/03.

I appreciate your consideration and kind attention to these. I am attaching a copy of the form in PDF format, in case you need one. You are welcome to mail or fax them to me, per the information below. If there are any questions about this, please feel free to contact me.

Thanks

Bob Phillips
Grants Office
US EPA, Region 10
1200 6th Ave. OMP-145
Seattle, WA 98101
(206) 553-6367
fax: (206) 553-4957

(See attached file: sf269a (FSR).pdf)



**THE CONFEDERATED TRIBES
of
THE COLVILLE RESERVATION**

POST OFFICE BOX 150-NESPELEM WASHINGTON 99155

PHONE (509) 674-4711

February 6, 2003

EPA Grants and Acquisitions Unit
Attn: Art Aurdahl
Environmental Protection Agency
1200 Sixth Avenue, OMP-145
Seattle, WA 98101-1128

RE: Bundling Letter

Dear Mr. Aurdahl:

Enclosed is the Annual Bundling Letter and Annual Certification Process for EPA Assistance Applications for 2003. These documents are for EPA grant applications submitted by the Confederated Tribes of the Colville Indian Reservation (Colville Confederated Tribes).

Any questions may be directed to Gary Passmore at 509-634-2427;
gary.passmore@colvilletribes.com

Sincerely,

Gary W. Passmore, Director
Office of Environmental Trust
Confederated Tribes of the Colville Indian Reservation

SAMPLE BUNDLING LETTER
(Optional Form)

ATTENTION: BUNDLING LETTER

TO: EPA Grants Administration Unit
1200 Sixth Ave., OMP-145
Seattle, WA 98101

RE: Annual Certification Process for EPA Assistance Applications

(Applicant's Name) Confederated Tribes of the Colville Reservation is submitting the following certifications in response to EPA's policy which allows recipients who receive multiple grants or cooperative agreements to submit a single set of certifications annually for all EPA applications:

- ☒ Assurances - Non-Construction Programs (SF-424B)
- ☒ Preaward Compliance Review Report (EPA Form 4700-4)
- ☒ Procurement System Certification (EPA Form 5700-48) - only required if applying for Superfund/Brownfields assistance
- ☒ Survey of Recipient's Management Systems (OPTIONAL FORM)

These certifications are to cover the following future anticipated applications:
(i.e., "all continuing environmental programs" or "all programs")

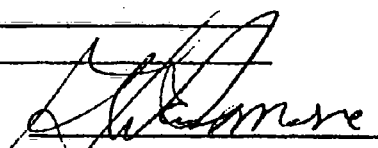
all continuing environmental programs

It is understood that these certifications are valid from January 1, 2003 through December 31, 2003.

We shall provide immediate written notification to EPA if any changes occur during this certification period, or if any of the annual certifications do not apply to a particular assistance application.

The following individual is the point of contact for the enclosed certifications:

Name: Gary Passmore
Title: Director, Office of Environmental Trust
Address: P. O. Box 150, Nepelem, WA 99155
Phone No. 509-634-2426


Applicant's Authorized Representative

1/28/03
Date

CHECKLIST OF APPLICATION ITEMS TO BE SUBMITTED

Use this checklist to ensure your application is complete.

(Send Original and One Copy of Each of the Following)

☒ APPLICATION FOR FEDERAL ASSISTANCE (SF-424) WITH ORIGINAL SIGNATURES -
page 11 *Un Signed*

☒ BUDGET INFORMATION (SF-424A) - page 13 & 14

☐ ASSURANCES (SF-424B) WITH ORIGINAL SIGNATURES - page 23 & 24

☐ KEY CONTACTS LIST - page 19 (OPTIONAL)

☒ NARRATIVE STATEMENT/WORKPLAN* (including statement on how this project supports your environmental program)

☒ BUDGET NARRATIVE* (refer to Object Class Categories Worksheet, an optional form which may be used to provide budget information) - page 15 thru 17

☒ RESUMES AND BIOGRAPHICAL INFORMATION (if required by Request for Proposals)*

☐ BUNDLING LETTER (if applying for multiple grants with EPA Region 10) - sample letter on page 29 (OPTIONAL)

☐ COPY OF NEGOTIATED INDIRECT COST RATE AGREEMENT - If you are requesting Indirect Costs, you must submit a copy of your current Negotiated Indirect Cost Rate Agreement. If you are a local government, a non-profit organization, or Small Grant* applicant without an approved Indirect Cost Agreement, a copy of your current Cost Allocation Plan must be on file with your organization and available upon request.

CERTIFICATIONS WITH ORIGINAL SIGNATURES:

☒ EPA Form 5700-49 CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS - page 21

☐ EPA Form 4700-4 PREAWARD COMPLIANCE REVIEW REPORT - page 25

☐ SF LLL DISCLOSURE OF LOBBYING ACTIVITIES (if applicable) - page 31

☐ EPA Form 5700-48 PROCUREMENT SYSTEM CERTIFICATION (required for States and Indian Tribes if applying for Superfund/Brownfields assistance) - page 33

☐ SURVEY OF RECIPIENT'S MANAGEMENT SYSTEMS (OPTIONAL)

*Refer to pages 2-3 and appendices pages 3-4 for more information on the Small Grants Policy and to determine if your application fits the guidelines in the policy.

KEY CONTACTS

AUTHORIZED REPRESENTATIVE - This is the individual who has the authority to sign the application for Federal Assistance (SF-424) and execute the Agreement on behalf of the applicant.

Circle One (Mr) Mrs. Ms.)

NAME: Joseph A. Pakootas

TITLE: Chairperson, Confederated Tribes of the Colville Reservation

TELEPHONE: 509-634-4711 FAX: 509-634-4117

E-MAIL ADDRESS: joe.pakootas@colvilletribes.com

PROGRAM/PROJECT MANAGER - This is the individual who is responsible for the management of the Project for the applicant.

Circle One (Mr) Mrs. Ms.)

NAME: Gary Passmore

TITLE: Director, Office of Environmental Trust

TELEPHONE: 509-634-2426 FAX: 509-634-2427

E-MAIL ADDRESS: gary.passmore@colvilletribes.com

FINANCIAL REPRESENTATIVE - This is the individual who has been assigned responsibility for the maintenance of the accounting and financial management system for the applicant.

Circle One (Mr) Mrs. Ms.)

NAME: John Duncan

TITLE: Comptroller

TELEPHONE: 509-634-2857 FAX: 509-634-2898

E-MAIL ADDRESS: john.duncan@colvilletribes.com

PAYEE ADDRESS - If different than recipient address:

NAME: _____

ADDRESS: _____

CITY, STATE, ZIP: _____

optional

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.


**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET.
SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (Identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE	
		Chairperson	
APPLICANT ORGANIZATION		DATE SUBMITTED	
Confederated Tribes of the Colville Indian Reservation		January 28, 2003	




Washington, DC 20460
Preaward Compliance Review Report for
All Applicants Requesting Federal Financial Assistance

FORM Approved
OMB No. 2090-0014
Expires 4-30-99

Note: Read instructions before completing form.

I. A. Applicant (Name, City, State) Confederated Tribes of the Colville Indian Reservation Naspelem, Washington	B. Recipient (Name, City, State) Same as I.	C. EPA Project No.
II. Brief description of proposed project, program or activity. Continuing Environmental Programs		
III. Are any civil rights lawsuits or complaints pending against applicant and/or recipient? If yes, list those complaints and the disposition of each complaint.		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
IV. Have any civil rights compliance reviews of the applicant and/or recipient been conducted by any Federal agency during the two years prior to this application for activities which would receive EPA assistance? If yes, list those compliance reviews and status of each review.		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
V. Is any other Federal financial assistance being applied for or is any other Federal financial assistance being applied to any portion of this project, program or activity? If yes, list the other Federal Agency(s), describe the associated work and the dollar amount of assistance.		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
VI. If entire community under the applicant's jurisdiction is not served under the existing facilities/services, or will not be served under the proposed plan, give reasons why. NA		
VII. Population Characteristics		Number of People
1. A. Population of Entire Service Area		
B. Minority Population of Entire Service Area		
2. A. Population Currently Being Served		
B. Minority Population Currently Being Served		
3. A. Population to be Served by Project, Program or Activity		
B. Minority Population to be Served by Project, Program or Activity		
4. A. Population to Remain Without Service		
B. Minority Population to Remain Without Service		
VII. Will all new facilities or alterations to existing facilities financed by these funds be designed and constructed to be readily accessible to and usable by handicapped persons? If no, explain how a regulatory exception (40 CFR 7.70) applies.		<input type="checkbox"/> Yes <input type="checkbox"/> No
IX. Give the schedule for future projects, programs or activities (or of future plans), by which services will be provided to all beneficiaries within applicant's jurisdiction. If there is no schedule, explain why. Continue through the life of the Colville Tribes Environmental Trust Program		
X. I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law.		
A. Signature of Authorized Official <i>Joseph A. Sakoda</i>	B. Title of Authorized Official Chairperson	C. Date 2-6-03
For the U.S. Environmental Protection Agency		
<input type="checkbox"/> Approved <input type="checkbox"/> Disapproved	Authorized EPA Official	Date

NOTE: ONLY required if applying for Superfund/Brownfields assistance.

 UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, DC 20460		Form Approved OMB No. 2000-0453
PROCUREMENT SYSTEM CERTIFICATION		
APPLICANT'S NAME	ASSISTANCE APPLICATION NUMBER	
Confederated Tribes of The Colville Reservation	66-802	
APPLICANT'S ADDRESS		
1 Colville Street, P. O. Box 150, Nespelen, WA 99155		
SECTION I - INSTRUCTIONS		
<p>The applicant must complete and submit a copy of this form with each application for EPA Assistance. If the applicant has certified its procurement system to EPA within the past 2 years and the system has not been substantially revised, complete Part A in Section II, then sign and date the form. If the system has not been certified within the past 2 years, complete Part B, then sign and date the form.</p>		
SECTION II - CERTIFICATION		
A. I affirm that the applicant has within the past 2 years certified to EPA that its procurement system complies with 40 CFR Part 35 and that the system meets the requirements in 40 CFR Part 35. The date of the applicant's latest certification is:	MONTH/YEAR	
B. Based upon my evaluation of the applicant's procurement system, I, as authorized representative of the applicant: <i>(Check one of the following:)</i>		
<input checked="" type="checkbox"/> 1. CERTIFY that the applicant's procurement system will meet all of the requirements of 40 CFR Part 35 before undertaking any procurement action with EPA assistance.		
Please furnish citations to applicable procurement ordinances and regulations		
<input type="checkbox"/> 2. DO NOT CERTIFY THE APPLICANT'S PROCUREMENT SYSTEM. The applicant agrees to follow the requirements of 40 CFR Part 35, including the procedures in Appendix A, and allow EPA preaward review of proposed procurement actions that will use EPA assistance.		
TYPED NAME AND TITLE	SIGNATURE	DATE
John Duncan, Comptroller	<i>John M. Duncan</i> <i>Actg. Comptroller</i>	1/29/03

EPA Form 5700-48 Previous edition is obsolete

See instructions on reverse side

Survey of Recipient's Management Systems

Applicants for assistance under EPA agreements are required to have an adequate financial management system and audit procedure which provides efficient and effective accountability and control of all property, funds, and assets related to the agreement. This survey indicates the accounting standards that EPA considers basic to adequate financial management. Please mark each of these questions, YES, NO, or N/A, to help us and you determine whether your present systems can meet these requirements. The signed completed form can be returned to:

Environmental Protection Agency
Grants Administration Unit.
1200 Sixth Avenue, OMP-145
Seattle, Washington 98101

Accounting

- YES 1) Have project accounting records been established to record the costs applicable to the EPA agreement and other direct activities?
- YES 2) Are all costs of a project posted to these records? Are the records used as the basis for vouchers and Financial Status Reports submitted to EPA?
- YES 3) Before posting, are costs reviewed for reasonableness, allowability, and allocability to the project?
- YES 4) Are project accounts broken into subaccounts by program element or cost objective?
- YES 5) Is appropriate documentation maintained to support the costs of:
YES a) personnel?
YES b) fringe benefits?
YES c) travel?
YES d) purchases of material, supplies, and equipment?
YES e) consultants?
YES f) other costs?
- YES 6) Are costs posted in these records reflected on and reconciled with control accounts contained in the general ledger?
- YES 7) Are accounting records subjected to an independent audit at least every two years?

Optional

Personnel

- YES 8) Do personnel and/or payroll records support the time and attendance, leave, and earnings for all employees?
- YES 9) Are time distribution records maintained to show the amount of time spent on each project covered under the agreement, as well as time spent on other projects? (These records are required for all personnel spending less than full time on an individual project).
- YES 10) Are there controls to assure that personnel costs are distributed in accordance with the time distribution records?
- YES 11) Are there established procedures to govern the charges of personnel time related to partners or principals in closely held corporations?
- YES 12) Are there formal procedures regarding bonuses, retirement plans, and/or profit sharing?

Travel

- YES 13) Are there established policies to govern reimbursement for travel?
- YES 14) Do these policies require travel vouchers to be submitted which:
YES a) show the time and purpose of the travel?
YES b) clearly indicate the nature of expenses being claimed?
YES c) require the submission of supporting documentation?

Procurement

- YES 15) Are there established procedures to assure that professional services, equipment, material, and/or supplies requested are really needed?
- YES 16) Are existing supplies or inventories reviewed to assure that requested items are not already available?
- YES 17) Are there formal procurement procedures to assure that equipment, material, and supplies are obtained on a competitive basis?
- YES 18) Are there procedures to assure that the type of contract utilized is appropriate for the procurement being undertaken?
- YES 19) Are there controls to assure that types of contracts unacceptable to the Federal Government are not utilized?
- YES 20) Are Minority and Women Owned Businesses included in solicitations?
- YES 21) Are solicitations obtained from several sources to assure that the most qualified party is selected?

- YES 22) Are quotations reviewed to assure that the proposed price is reasonable to the contractor, the recipient, and EPA?
- YES 23) Are internal controls utilized to assure that contracts contain all required clauses:
- YES a) access to records
- YES b) ownership of data
- YES c) termination
- YES d) applicable cost principles
- YES e) defective pricing

Obligations

- YES 24) Are there procedures to assure that reported obligations are supported by purchase orders, contracts, etc.?
- YES 25) Do these procedures require that obligations are periodically reviewed with regard to their validity?
- YES 26) Do these procedures require the timely liquidations of obligations?

Indirect Costs

If indirect costs are claimed under this agreement:

- YES 27) If the indirect cost rate is negotiated with a Federal Agency, a copy of the negotiated agreement must be sent to Grants Administration Unit.

Current rate proposal has been approved _____ pending _____.

- _____ 28) If the indirect cost rate is not negotiated with a Federal agency:
- _____ a) Is there a cost allocation plan to indicate which costs are considered direct costs as opposed to indirect costs?
- _____ b) Are unallowable costs separately identified and/or excluded from the indirect cost proposal?
- _____ c) Are items of the same nature which are charged as direct costs excluded from the indirect cost proposal?
- _____ d) Are indirect costs accumulated in more than one pool?

A copy of the cost allocation plan may be requested.

I certify that the answers to these questions accurately reflect the management systems.

Jorrie M. Kensler
Signature Acty. Comptroller

1/29/03
Date

EPA Project Control Number

United States Environmental Protection Agency
Washington, DC 20460

**Certification Regarding
Debarment, Suspension, and Other Responsibility Matters**

The prospective participant certifies to the best of its knowledge and belief that it and the principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction: violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1) (b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated or cause or default.

I understand that a false statement on this certification may be ground for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Joseph A. Pakootas, Chairperson

Typed Name & Title of Authorized Representative

Joseph A. Pakootas

Signature of Authorized Representative Date



I am unable to certify to the above statements. My explanation is attached.



OFFICE OF INSPECTOR GENERAL
Western Region Audits
2800 Cottage Way, Room E-2712
Sacramento, California 95825

*cc: D. Cawston
D. Cawston
R. Cawston
C. Hoke*

MAR 19 2001

7530

BY: _____ March 6, 2001

Ms. Colleen F. Cawston, Chairperson
Colville Business Council
Confederated Tribes of the Colville Reservation
P.O. Box 150
Nespelem, Washington 99155

Dear Ms. Cawston:

Enclosed is an original copy of the Indirect Cost Negotiation Agreement for the 12-month period ending September 30, 2001, between the Federal Government and the Confederated Tribes of the Colville Reservation.

If you have any questions regarding this agreement, please write or call Ms. Eleanor Siaviti, Program Analyst, at (916) 978-5638.

Sincerely,

Inge Montich

Inge Montich
Indirect Cost Coordinator

Enclosure

cc: Chief, Division of Self-Determination Services, BIA
Attn: Daisy West, Self-Determination Analyst (Mail Stop 4627 MIB)
Contracting Officer, Northwest Regional Office, BIA
Regional Director, DOL, Seattle, WA
Bonneville Power Administration

Ref: F:\Wrextdoc\Portland\Coctw083\Issue.ltr

INDIAN ORGANIZATIONS
INDIRECT COST NEGOTIATION AGREEMENT
EIN: 91-0557683

ORGANIZATION:

Confederated Tribes of the
Colville Reservation
P.O. Box 150
Nespelem, Washington 99155

DATE: March 6, 2001

REPORT NO(S): 01-P-268

FILING REF.: This replaces the
Negotiation Agreement dated
September 13, 2000

The indirect cost rates contained herein are for use on grants and contracts with the Federal Government to which Public Law 93-638 and Office of Management and Budget Circular A-87 apply, subject to the limitations contained in 25 CFR, Chapter 1, subchapter M, and in Section II.A. of this agreement. The rate(s) were negotiated by the U.S. Department of the Interior, Office of Inspector General, and the subject organization in accordance with the authority contained in the Circular.

SECTION I: RATES

Page 1 of 3

Type	Effective Period		Rate*	Locations	Applicable To
	From	To			
Fixed Carryforward	10/01/00	09/30/01	██████	All	All Programs

*Base: Total direct salaries and wages including vacation, holiday, and sick pay, but excluding other fringe benefits.

Treatment of fringe benefits:

Fringe benefits applicable to direct salaries and wages are treated as direct costs; fringe benefits applicable to indirect salaries and wages are treated as indirect costs.

SECTION II: GENERAL

A. **LIMITATIONS:** Use of the rates contained in this agreement is subject to any applicable statutory limitations. Acceptance of the rates agreed to herein is predicated upon these conditions: (1) no costs other than those incurred by the subject organization were included in its indirect cost rate proposal, (2) all such costs are the legal obligations of the grantee/contractor, (3) similar types of costs have been accorded consistent treatment and, (4) the same costs that have been treated as indirect costs have not been claimed as direct costs (For example, supplies can be charged directly to a program or activity as long as these costs are not part of the supply costs included in the indirect cost pool for central administration).

B. **AUDIT:** All costs (direct and indirect, Federal and non-Federal) are subject to audit. Adjustments to amounts resulting from audit of the cost allocation plan or indirect cost rate proposal upon which the negotiation of this agreement was based will be compensated for in a subsequent negotiation.

C. **CHANGES:** Rates contained in this agreement are based on the organizational structure and the accounting system in effect at the time the proposal was submitted. Changes in organizational structure, or changes in the method of accounting for costs which affect the amount of reimbursement resulting from use of the rates in this agreement, require the prior approval of the responsible

negotiation agency. Failure to obtain such approval may result in subsequent audit disallowance.

D. **PROVISIONAL/FINAL RATES:** Within 6 months after year end, a final rate must be submitted based on actual costs. Billings and charges to contracts and grants must be adjusted if the final rate varies from the provisional rate. If the final rate is greater than the provisional rate and there are no funds available to cover the additional indirect costs, the organization may not recover all indirect costs. Conversely, if the final rate is less than the provisional rate, the organization will be required to pay back the difference to the funding agency.

E. **FIXED CARRYFORWARD RATES:** Fixed Carryforward rates are based on an estimate of the costs which will be incurred during the period for which the rates apply. When the actual costs for such periods have been determined, an adjustment will be made to rates for future periods, if necessary, to compensate for the difference between the costs used to establish the fixed rate and the actual costs.

F. **AGENCY NOTIFICATION:** Copies of this document may be provided to other Federal offices as a means of notifying them of the agreement contained herein.

G. **RECORD KEEPING:** Organizations must maintain accounting records that demonstrate that each type of cost has been treated consistently either as a direct cost or an indirect cost. Records pertaining to the costs of program administration, such as salaries, travel, and related costs, should be kept on an annual basis.

H. **REIMBURSEMENT CEILINGS:** Grantee/contractor program agreements providing for ceilings on indirect cost rates or reimbursement amounts are subject to the ceilings stipulated in the contract or grant agreements. If the ceiling rate is higher than the negotiated rate in Section I of this agreement, the negotiated rate will be used to determine the maximum allowable indirect cost.

I. **USE OF OTHER RATES:** If any Federal programs are reimbursing indirect costs to this grantee/contractor by a measure other than the approved rates in this agreement, the grantee/contractor should credit such costs to the affected programs and the approved rates should be used to identify the maximum amount of indirect cost allocable to these programs.

J. **CENTRAL SERVICE COSTS:** Where central service costs are estimated for the calculation of indirect cost rates, adjustments will be made to reflect the difference between provisional and final amounts.

K. **OTHER:**

1. The purpose of an indirect cost rate is to facilitate the allocation and billing of indirect costs. Approval of the indirect cost rate does not mean that an organization can recover more than the actual costs of a particular program or activity.

2. Programs received or initiated by the organization subsequent to the negotiation of this agreement are subject to the approved indirect cost rate if the programs receive administrative support from the indirect cost pool. It should be noted that this may result in an adjustment to a future rate.

3. New indirect cost proposals are necessary to obtain approved indirect cost rates for future fiscal or calendar years. The proposals are due in our office

6 months prior to the beginning of the year to which the proposed rates will apply.

SECTION III: ACCEPTANCE

Listed below are the signatures of acceptance for this agreement:

By the Indian Organization:

Allen F. Cawston /s/

Name

Title

Date

By the Cognizant Federal Government
Agency:

Inge Montich /s/

Inge Montich

Name

Indirect Cost Coordinator

Western Region

Title

U.S. Department of the Interior

Office of Inspector General

Agency

Date March 6, 2001

Negotiated by Elena Chan

Telephone (916) 978-5638

APPLICATION FOR FEDERAL ASSISTANCE

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		2. DATE SUBMITTED January 28, 2003	Applicant Identifier
		3. DATE RECEIVED BY STATE	State Application Identifier
4. DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier	

5. APPLICANT INFORMATION Legal Name: Confederated Tribes of the Colville Indian Reservation		Organizational Unit: Office of Environmental Trust																												
Address (give city, county, State, and zip code): 1 Colville Street, P. O. Box 150 Nespelem, WA 99155		Name and telephone number of person to be contacted on matters involving this application (give area code) Patti Stone, 509-634-2415																												
6. EMPLOYER IDENTIFICATION NUMBER (EIN): <div style="border: 1px solid black; padding: 2px; display: inline-block;"> 91-0557683 </div>		7. TYPE OF APPLICANT: (enter appropriate letter in box) <div style="border: 1px solid black; padding: 2px; display: inline-block; float: right;">K</div> <div style="clear: both;"></div> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District </div> <div style="width: 45%;"> H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____ </div> </div>																												
8. TYPE OF APPLICATION: <div style="display: flex; justify-content: space-around;"> <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision </div> If Revision, enter appropriate letter(s) in box(es) <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div style="width: 30%;">A. Increase Award</div> <div style="width: 30%;">B. Decrease Award</div> <div style="width: 30%;">C. Increase Duration</div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div style="width: 30%;">D. Decrease Duration</div> <div style="width: 30%;">Other(specify): _____</div> <div style="width: 30%;"></div> </div>		9. NAME OF FEDERAL AGENCY: US Environmental Protection Agency																												
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: <div style="border: 1px solid black; padding: 2px; display: inline-block;"> 66-802 </div> TITLE: Superfund Cooperative Agreement		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Extention to support agency cooperative agreement for government to government involvement in EPA Preliminary Assessment and Site Investigation CERCLA activities																												
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Colville Indian Reservation, Ferry, Okanogan, Stevens, Lincoln Counties																														
13. PROPOSED PROJECT Start Date: 1/1/03 Ending Date: 12/30/03		14. CONGRESSIONAL DISTRICTS OF: 5th District																												
15. ESTIMATED FUNDING: <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">a. Federal</td> <td style="width: 10%;">\$</td> <td style="width: 10%; text-align: right;">55,000</td> <td style="width: 10%; text-align: right;">.00</td> </tr> <tr> <td>b. Applicant</td> <td>\$</td> <td></td> <td>.00</td> </tr> <tr> <td>c. State</td> <td>\$</td> <td></td> <td>.00</td> </tr> <tr> <td>d. Local</td> <td>\$</td> <td></td> <td>.00</td> </tr> <tr> <td>e. Other</td> <td>\$</td> <td></td> <td>.00</td> </tr> <tr> <td>f. Program Income</td> <td>\$</td> <td></td> <td>.00</td> </tr> <tr> <td>g. TOTAL</td> <td>\$</td> <td></td> <td>.00</td> </tr> </table>		a. Federal	\$	55,000	.00	b. Applicant	\$.00	c. State	\$.00	d. Local	\$.00	e. Other	\$.00	f. Program Income	\$.00	g. TOTAL	\$.00	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____ b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
a. Federal	\$	55,000	.00																											
b. Applicant	\$.00																											
c. State	\$.00																											
d. Local	\$.00																											
e. Other	\$.00																											
f. Program Income	\$.00																											
g. TOTAL	\$.00																											
17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No																														
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.																														
a. Type Name of Authorized Representative Joseph A. Pakootas		b. Title Chairperson																												
c. Telephone Number 509-634-4711		d. Date Signed 2-24-03																												

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. SACA CERCLA	66-802	\$	\$	\$ 55,000.00	\$	\$ 55,000.00
2.						0.00
3.						0.00
4.						0.00
5. Totals		\$ 0.00	\$ 0.00	\$ 55,000.00	\$ 0.00	\$ 55,000.00
SECTION B - BUDGET CATEGORIES						
6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)	
	(1)	(2)	(3)	(4)		
a. Personnel	\$ 0.00	\$	\$	\$	\$ 0.00	
b. Fringe Benefits	0.00				0.00	
c. Travel	0.00				0.00	
d. Equipment	0.00				0.00	
e. Supplies	0.00				0.00	
f. Contractual						
g. Construction	0.00				0.00	
h. Other	0.00				0.00	
i. Total Direct Charges (sum of 6a-6h)		0.00	0.00	0.00		
j. Indirect Charges	0.00				0.00	
k. TOTALS (sum of 6i and 6j)	\$ 55,000.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 55,000.00	
7. Program Income	\$ 0.00	\$	\$	\$	\$ 0.00	

Authorized for Local Reproduction

Standard Form 424A (Rev. 7-97)
Prescribed by OMB Circular A-102

Previous Edition Usable

SECTION C - NON-FEDERAL RESOURCES				
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8.	\$	\$	\$	\$ 0.00
9.				0.00
10.				0.00
11.				0.00
12. TOTAL (sum of lines 8-11)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 0.00	\$	\$	\$	\$
14. Non-Federal	0.00				
15. TOTAL (sum of lines 13 and 14)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT				
(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.	\$	\$	\$	\$
17.				
18.				
19.				
20. TOTAL (sum of lines 16-19)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges: \$55,000	22. Indirect Charges: \$0
23. Remarks:	

NOTE: This form must be submitted with each application.



EPA Project Control Number

United States Environmental Protection Agency
Washington, DC 20460

**Certification Regarding
Debarment, Suspension, and Other Responsibility Matters**

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of the certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Joseph A. Pakootas, Chairperson

Typed Name & Title of Authorized Representative

Joanne Lee
Signature of Authorized Representative

2-24-03

Date

☐ I am unable to certify to the above statements. My explanation is attached.

**EXTENSION TO
SUPPORT AGENCY COOPERATIVE AGREEMENT
FOR GOVERNMENT TO GOVERNMENT INVOLVEMENT
OF THE COLVILLE CONFEDERATED TRIBES
IN EPA Preliminary Assessment and Site Investigation CERCLA ACTIVITIES**

The purpose of this extension to the Cooperative Agreement is to continue Tribal involvement at sites determined by EPA to be eligible for preliminary assessment (PA) and site investigation (SI) work under the Comprehensive Environmental Response, Compensation and Liability Act (CERCLA). Sites of interest to the Colville Confederated Tribes (CCT) include those that have impacted or may potentially impact tribal reservation lands and/or resources. This amendment to the Cooperative Agreement is not intended to supersede the existing MOA between the CCT and EPA.

Performance Period

January 1, 2003 through December 31, 2003

PA and SI Tasks/Activities

CCT proposes that \$55,000 be funded during this performance period. Sites identified include the Upper Columbia River and potential contaminant sources including a number of mines and mills near the Columbia River drainage area in northeast Washington as specified below. CCT and their consultants, currently under contract, will interact with EPA and its authorized contractors to see that CCT resource interests are represented. The CCT and their consultants will provide technical input to the various PA and SI actions through oral or written communications to the Tribe and EPA.

In addition to the **Columbia River/Lake Roosevelt** as a source of contamination, the following sites include a number of area mines, mills and smelters that EPA is in various stages of preliminary assessment, site investigation and emergency source control activities. EPA will continue to interact with the CCT and their consultants on these sites:

**Pend Oreille Mine and Mill
Grandview Mine and Mill
Josephine Mine and Mill
LeRoi/Northport Smelter
Van Stone Mine
Anderson/Calhoun Mine and Mill
Sierra Zinc Mine and Mill
Last Chance Mine and Mill
Deep Creek Mine and Mill
Bonanza Mill
L-Bar/Northwest Magnesite Mill
Knob Hill Mine and Mill**

**Hecla Concentrator
Northwest P&R Mine and Mill
Mountain Lion Mine and Mill**

Objective 1. Community Outreach and Participation in Meetings

The Tribe will assist EPA in the development and coordination of up to three public/community meetings with Colville Tribal membership, the Lake Roosevelt Water Quality Council, Lake Roosevelt Forum, and other pertinent local groups. Consultants will assist the Tribe in community outreach for the Upper Columbia River CERCLA activities to provide adequate public information and receive feedback on activities and progress.

The Tribe with the assistance of their consultants will participate in technical and consultation meetings with EPA officials relating to CERCLA PA and SI activities.

Objective 2. Field Activities

The Tribe will coordinate with EPA on planned PA and SI field activities including scheduled visits to sites where consent for access to CCT representatives has been provided. Circumstances may arise that CCT may need to re-visit sites for pertinent information.

Potential site visits include mines/mills and smelters in the Upper Columbia River watershed identified in the PA Petition submitted by CCT to EPA, dated August 2000 (see PA/SI Tasks).

Objective 3. Review and Comment of Documents

The Tribe will review and comment on draft PA and SI reports generated by EPA. CCT consultants will provide the Tribe with technical expertise in CERCLA and program-related environmental issues. CCT consultants will review and comment on EPA sampling plans developed. The consultants will develop a summary of comments and recommendations. Draft reports to be reviewed by CCT include the Upper Columbia River ESI report and Republic PA/SI reports.

Budget Narrative, 2003

Salaries	0
Fringe	0
Travel	0
Equipment	0
Supplies	0
Contractual	████████
Construction	0
Other	0
Direct Charges	████████
Indirect Charges	0
Grand Total	55,000

Grant funds will be utilized to retain existing tribal consultants to assist the CCT in conducting the tasks identified in this request.

Environment International and Fulcrum Environmental Consulting, qualified consulting firms are currently under contract to provide technical assistance to the CCT. These consultants will provide necessary assistance to the CCT per these described tasks and within these budget limits. Both of these firms have provided valuable assistance to date and worked cooperatively with all parties involved.

**2003 CERCLA Technical Support Budget Proposal
Confederated Tribes of the Colville Indian Reservation**

Environment International

Technical Assistance to complete comments and interaction with EPA on SI report through it's conclusion with Region 10 and HQ. Other technical assistance as needed to the CCT on CERCLA issues

Principal	95 hours @ [REDACTED]/hr	[REDACTED]
Sr. Scientist	60 hours @ [REDACTED]/hr	[REDACTED]
Sr. Chemical Eng.	50 hours @ [REDACTED]/hr	[REDACTED]
Toxicologist	20 hours @ [REDACTED]/hr	[REDACTED]
	sub-total	[REDACTED]

Technical Assistance to attend all relevant meetings to discuss SI report including with the area Tribes, state and federal agencies including EPA, citizens and watershed groups

6 meetings estimated

Principal 6) 48 hrs @ [REDACTED] hr [REDACTED]

Airfare for 3 meetings

6 @ [REDACTED] trip [REDACTED]

rental car for 3 meetings

3 @ [REDACTED] day [REDACTED]

sub-total [REDACTED]

Total EI Budget [REDACTED]

Fulcrum Environmental

Technical Assistance to complete comments and interaction with EPA on SI report through it's conclusion with Region 10 and HQ. Other technical assistance as needed to the CCT on CERCLA issues

Principal 95 hours @ [REDACTED]/hr [REDACTED]

Technical Assistance to attend all relevant meetings to discuss SI report including with the area Tribes, state and federal agencies including EPA, citizens and watershed groups

6 meetings estimated

Principal 48 hours @ [REDACTED]/hr [REDACTED]

3 Seattle area meetings, airfare

3 x [REDACTED] [REDACTED]

rental car @ 150 x 3

3 x [REDACTED] [REDACTED]

Misc. mileage for other meetings, field visit: 3 x 200 mi [REDACTED]

Total FE Budget [REDACTED]

The funds estimated for meetings may also include meeting preparation, briefings and associated technical assistance to the CCT

GRAND TOTAL CERCLA 2003 BUDGET

\$ 55,135



Bob Phillips

03/14/2003 11:06 AM

To: joe.pakootas@colvilletribes.com, gary.passmore@colvilletribes.com,
john.duncan@colvilletribes.com
cc: Monica Tonel/R10/USEPA/US@EPA
Subject: Grant Application

Greetings,

I am processing an application for a funding increase and time extension for EPA grant V-98072501.

The signature on the application, Joanne Lett, does not match the stated authorized representative, Mr. Pakootas. I am assuming she is authorized to sign for him, but do need confirmation of that, as she is not on our list of contacts for the grant. A response from any one of you would suffice, and please also note what her position/title is.

Thanks for your help with this bureaucratic loose end.

Bob Phillips
Grants Office
US EPA, Region 10
(206) 553-6367
fax: (206) 553-4957



Patti Stone
<patti.stone@colvilletribes.com>

03/16/2003 08:30 AM

To: Bob Phillips/R10/USEPA/US@EPA
cc: Gary Passmore <gary.passmore@colvilletribes.com>,
john.duncan@colvilletribes.com, joe.pakootas@colvilletribes.com,
Monica Toner/R10/USEPA/US@EPA
Subject: EPA Grant information request

Dear Mr. Phillips: Regarding your request for information regarding grant V-98072501 (SACA for EPA CERCLA activities) signature on EPA grant application. Joseph A. Pakootas is the Chair of the Colville Business Council and was unavailable for signature when this passed Council approval. Joanne Leith is the Secretary of the Colville Business Council (also an elected Council official) and approved by tribal resolution to sign relevant documents for the Chairman related to this grant proposal.

Please contact me if you have any other questions, Patti Stone, 1-888-881-7684, Ext. 2415.

Sincerely, Patti Stone, Environmental Planner
Office of Environmental Trust
Colville Confederated Tribes



U.S. ENVIRONMENTAL PROTECTION AGENCY

Assistance Amendment

ASSISTANCE ID NO.

PRG	DOC ID	AMEND#
V -	98072501	- 4

DATE OF AWARD

3/24/03

TYPE OF ACTION

Revision: Scope & Increase

MAILING DATE

3/31/03

PAYMENT METHOD:

ACH#

RECIPIENT TYPE:

Indian Tribe

Send Payment Request to:

Region 10 Finance Unit, OMP-146

RECIPIENT:

Colville Confederated Tribes
P.O. Box 150
Nespelem, WA 99155
EIN: 91-0557683

PAYEE:

Colville Confederated Tribes
P.O. Box 150
Nespelem, WA 99155

PROJECT MANAGER

Patti Stone
P.O. Box 150
Nespelem, WA 99155
E-Mail: patti.stone@colvilletribes.com
Phone: 509-634-2415

EPA PROJECT OFFICER

Monica Tonel
1200 Sixth Avenue, ECL-115
Seattle, WA 98101
E-Mail: Tonel.Monica@epa.gov
Phone: 206-553-0323

EPA GRANT SPECIALIST

Bob Phillips
1200 6th Ave
OMP-145
Seattle, WA 98101
E-Mail: phillips.bob@epa.gov
Phone: 206-553-6367

AMENDMENTS

This amendment approves the increased budget amount and revised work plan and extends the project and budget periods.

PLEASE NOTE: EPA is providing partial funding in the amount of \$20,000. This amendment also updates administrative condition #10 and adds administrative condition #11.

BUDGET PERIOD

04/01/2000 - 12/31/2003

PROJECT PERIOD

04/01/2000 - 12/31/2003

TOTAL BUDGET PERIOD COST

\$181,833.00

TOTAL PROJECT PERIOD COST

\$181,833.00

NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.

OFFER AND ACCEPTANCE

The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the Colville Confederated Tribes for 80.75 % of all approved costs incurred up to and not exceeding \$146,833 for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed 02/04/2000 included herein by reference.

ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)

ORGANIZATION / ADDRESS

EPA Region 10
Mail Code, OMP-145
1200 Sixth Avenue
Seattle, WA 98101

AWARD APPROVAL OFFICE

ORGANIZATION / ADDRESS

U.S. EPA, Region 10
Environmental Cleanup Office
1200 Sixth Avenue
Seattle, WA 98101

THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL

TYPED NAME AND TITLE

Michael F. Gearheard, Director - Environmental Cleanup Office

DATE

3/24/03

This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

SIGNATURE

Joanne Lott

for

TYPED NAME AND TITLE

Joseph A. Pakootas, Chairperson

DATE

4/15/03

Environmental Cleanup Office

APR 22 2003

RECEIVED

V - 98072501 - 4 Page 2

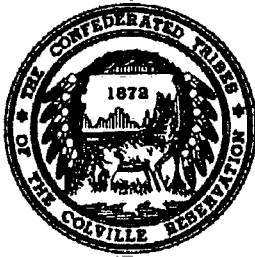
FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$ 126,833	\$ 20,000	\$ 146,833
EPA In-Kind Amount	\$ 0	\$	\$ 0
Unexpended Prior Year Balance	\$ 0	\$	\$ 0
Other Federal Funds	\$ 0	\$	\$ 0
Recipient Contribution	\$ 0	\$	\$ 0
State Contribution	\$ 0	\$	\$ 0
Local Contribution	\$ 0	\$	\$ 0
Other Contribution	\$ 0	\$	\$ 0
Allowable Project Cost	\$ 126,833	\$ 20,000	\$ 146,833

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Site Specific Cooperative Agreements	CERCLA: Sec. 104	40 CFR PTS 31 & 35 SUBPT O

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
-	QGG003	03	T	OAQOP	50102D	4185	106XMA00	C001	20,000
									20,000

Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$0
2. Fringe Benefits	\$0
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$
10. Indirect Costs: % Base	\$0
11. Total (Share: Recipient 19.25 % Federal 80.75 %.)	\$181,833
12. Total Approved Assistance Amount	\$146,833
13. Program Income	\$0



Confederated Tribes of the Colville Reservation

Office of Environmental Trust

P. O. Box 150, Nespelem, WA 99155

Phone: 509-634-2425, Fax: 509-634-2427

April 25, 2003

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-3

Reporting Period: July 1, 2002 through September 30, 2002

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1, Outreach and Meetings: Some time was spent assisting the tribe prepare for briefing Gov. Locke's Policy Staff on CERCLA issues and activities. Contractors participated in a meeting with EPA staff on CERCLA issues and assisting tribal staff and Council in technical briefings. Fulcrum Environmental participated in an EPA Headquarters field visit to the Upper Columbia Site. Fulcrum Environmental attended public meeting in Colville on site progress during the quarter. Contractors attended field tour with Colville Business Council to discuss and educate on technical issues and public relations at the sites. Contractors attended meeting with Citizen's Group members to discuss progress on site activities.

Objective 2, Field Activities: Bonanza Mill site was sampled during the quarter, the CCT was not asked to participate in the activities.

Page two
PA/SI Quarterly Report

Objective 3, Review, Comments and Technical Assistance: Some time was spent by contractors on reviewing public relations documents and interacting with public relations firm. Contractors provided technical assistance in the agenda development and handling questions during the EPA Headquarters field visit to the Site. Consultants reviewed Stevens County Mines Mills and Smelters report out in September and provided technical comments to the Tribes and EPA during the quarter.

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies
We have not had consistent public meetings schedule in coordination with EPA, but have independently been holding update meetings with relevant entities. We anticipate the majority of the remainder of the funds will be spent, as we get closer to the review of the Draft Site Investigation.
3. Comparison of the estimated funds spent to date to planned expenditures
Funds Expended during the quarter: \$4425.02 with 40.8 contractor hours total. Fulcrum Environmental with 21 hours and Environment International with 19.8 hours. Funds expended to date: \$30,540.17
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
66% of budget expended to date. We anticipate that due to delays in the estimated release date of the Draft SI Report that additional contractor hours will be incurred in the preparation of the Report.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 10
1200 Sixth Avenue
Seattle, WA 98101

April 30, 2003

Reply To
Attn of: ECL-115

Patti Stone
Colville Confederated Tribes
Office of Environmental Trust
P.O. Box 150
Nespelem, Washington 99155

Re: Support Agency Cooperative Agreement V-98072501-4
Preliminary Assessment and Site Investigation CERCLA
Activities

Dear Ms. Stone:

Please find enclosed a copy of the final Expanded Site
Investigation report for the Upper Columbia River Site.

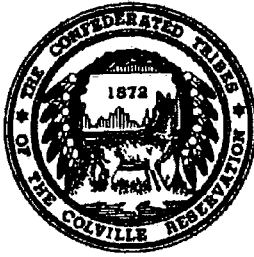
If you have any questions, please feel free to contact me at
(206) 553-0323.

Sincerely,

A handwritten signature in cursive script that reads "Monica Tonel".

Monica Tonel
Project Officer

Enclosure



**Confederated Tribes of the Colville Reservation
Office of Environmental Trust
P. O. Box 150, Nespelem, WA 99155
Phone: 509-634-2425, Fax: 509-634-2427**

May 18, 2003

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*
Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-3
Reporting Period: October 1, 2002 through December 31, 2002
Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours
Objective 1, Outreach and Meetings: Contractors assisted tribal staff and Council in an update meeting with Washington Department of Ecology. Contractors participated and provided assistance to the tribes at four public meetings regarding the Draft Site Investigation Report. Contractors assisted tribal staff in a Tribal Council briefing regarding the Draft SI Report. Contractors assisted tribal staff in EPA-Tribal Council meeting regarding the Draft SI Report.

Objective 2, Field Activities: No field activities were conducted during the quarter.

Objective 3, Review, Comments and Technical Assistance: Contractors assisted tribal staff in review and discussions regarding background issues on the Stevens County Mines Mills and Smelters Report. Contractors participated in one meeting with tribal staff regarding CERCLA investigation progress and technical

Page two
PA/SI Quarterly Report

issues. The final Bonanza Mill SI report was distributed to contractors. EPA conducted Anderson Calhoun site cleanup during the quarter. Contractors were contacted regarding status of Sheep Creek Mill Lab cleanup.

The Draft Upper Columbia River Site Investigation Report was distributed to the Tribes and contractors in mid November. Contractors spent considerable time during the quarter conducting a technical review of the report. Contractors met with tribal staff regarding report content, concerns and comment approach. Comments from other tribal programs and resources were drafted and eventually integrated into one comment document and submitted to EPA. In addition to delays in the Draft SI Report completion, additional needs and time was utilized by tribal contractors than anticipated. Tribal contractors provided a vital resource to the Tribes in the development of the Draft SI.

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies

Considerable time was spent working with EPA staff in the development of a new technical support agreement and MOA. Due to miscommunications, the grant proposal and application had to be taken through an exhausting tribal review process before it was submitted to EPA, which will cause a delay in new funding for 2003. In communications with EPA project manager we discussed the need for additional funding to complete needed work on the SI report and technical assistance to the tribes through the completion of the final report and related meetings.

3. Comparison of the estimated funds spent to date to planned expenditures


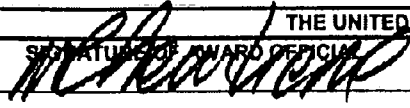

Funds Expended during the quarter: \$12,216.95* with 172.75 contractor hours total. Fulcrum Environmental with 80.5 hours and Environment International with 92.25 hours. Funds expended to date: \$ 42,757.12.

*At the end of the December, Environment International was owed a balance of \$5944.14, which will be billed when EPA approves the new extension support agreement.

4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining

92% of budget expended to date. Funds approved for Environment International were over expended during the quarter. Project Manager was made aware that additional funds were needed to complete work on the SI report into 2003 due to the lateness of the draft and EPA's decision to open up public comments on report resulting in more meetings and interaction on the project.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer

	U.S. ENVIRONMENTAL PROTECTION AGENCY Assistance Amendment	ASSISTANCE ID NO.			DATE OF AWARD SEP 11 2003	
		PRG	DOC ID	AMEND#		
		V - 98072501 - 5			TYPE OF ACTION Augmentation: Increase	MAILING DATE 2003 SEP 18
		PAYMENT METHOD:				
RECIPIENT TYPE: Indian Tribe		Send Payment Request to: Region 10 Finance Unit, OMP-146				
RECIPIENT: Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155 EIN: 91-0557683		PAYEE: Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155				
PROJECT MANAGER Patti Stone P.O. Box 150 Nespelem, WA 99155 E-Mail: patti.stone@colvilletribes.com Phone: 509-634-2415		EPA PROJECT OFFICER Monica Tonel 1200 Sixth Avenue, ECL-115 Seattle, WA 98101 E-Mail: Tonel.Monica@epa.gov Phone: 206-553-0323		EPA GRANT SPECIALIST Bob Phillips 1200 6th Ave OMP-145 Seattle, WA 98101 E-Mail: phillips.bob@epa.gov Phone: 206-553-6367		
PROJECT TITLE AND EXPLANATION OF CHANGES PA/SI Cooperative Agreement This amendment completes funding by EPA of this Assistance Agreement. Administrative Condition #7 has been revised; Administrative Condition #12 has been added.						
BUDGET PERIOD 04/01/2000 - 12/31/2003		PROJECT PERIOD 04/01/2000 - 12/31/2003		TOTAL BUDGET PERIOD COST \$181,833.00		
				TOTAL PROJECT PERIOD COST \$181,833.00		
NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.						
OFFER AND ACCEPTANCE						
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>Colville Confederated Tribes</u> for <u>100.00</u> % of all approved costs incurred up to and not exceeding <u>\$181,833</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>02/04/2000</u> included herein by reference.						
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)			AWARD APPROVAL OFFICE			
ORGANIZATION / ADDRESS EPA Region 10 Mail Code, OMP-145 1200 Sixth Avenue Seattle, WA 98101			ORGANIZATION / ADDRESS U.S. EPA, Region 10 Environmental Cleanup Office 1200 Sixth Avenue Seattle, WA 98101			
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY						
SIGNATURE OF AWARD OFFICIAL 		TYPED NAME AND TITLE Michael F. Gearheard, Director - Environmental Cleanup Office		DATE SEP 11 2003		
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.						
BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION						
SIGNATURE 		TYPED NAME AND TITLE Joseph A. Pakootas, Chairperson		DATE 10-8-03		

EPA Funding Information

V - 98072501 - 5 Page 2

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$ 146,833	\$ 35,000	\$ 181,833
EPA In-Kind Amount	\$ 0	\$	\$ 0
Unexpended Prior Year Balance	\$ 0	\$	\$ 0
Other Federal Funds	\$ 0	\$	\$ 0
Recipient Contribution	\$ 0	\$	\$ 0
State Contribution	\$ 0	\$	\$ 0
Local Contribution	\$ 0	\$	\$ 0
Other Contribution	\$ 0	\$	\$ 0
Allowable Project Cost	\$ 146,833	\$ 35,000	\$ 181,833

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Political Subdivision and Indian Tribe Site Specific Cooperative Agreements	CERCLA: Sec. 104	40 CFR PTS 31 & 35 SUBPT O

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
PASI CCT	QGG037	03	T	0AQ0P	50102D	2505	106XMA00	C001	35,000
									35,000

205 12 3065
205 11 540

Budget Summary Page

Table A - Object Class Category (Non-construction)		Total Approved Allowable Budget Period Cost
1. Personnel		\$0
2. Fringe Benefits		\$0
3. Travel		\$0
4. Equipment		\$0
5. Supplies		\$0
6. Contractual		\$0
7. Construction		\$0
8. Other		\$0
9. Total Direct Charges		\$0
10. Indirect Costs: % Base		\$0
11. Total (Share: Recipient % Federal 100.00 %.)		\$181,833
12. Total Approved Assistance Amount		\$181,833
13. Program Income		\$0



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 10
1200 Sixth Avenue
Seattle, WA 98101

November 10, 2003

Reply To
Attn Of: OMP-145

John Duncan, Comptroller
Colville Confederated Tribe
P.O. Box 150
Nespelem, WA 99155

Subject: Closeout of Assistance Agreement No. V-980725-01 and XA-970466-01
Lake Roosevelt Preliminary Assessment and Air Resource Program

Dear Mr. Duncan:

The assistance agreements referenced above end on December 31, 2003. To closeout these agreements, all applicable items must be submitted within **90 days** after the end date of the agreements. The enclosed Closeout Guide will help you determine which of the following forms **must** be submitted.

- Final Payment Request
- Final Financial Status Report (FSR) - see note below regarding interim FSR's
- Final Technical/Performance Report
- Minority/Women's Business Enterprises Utilization Report
- Lobbying and Litigation Certification
- Inventory Report for Equipment, Supplies, Federally-Owned Property, Real Property
- Invention Disclosure Report

If an **extension** is needed to complete the work, you must submit a written request for approval to the EPA Project Officer referenced on the subject agreement. Requests must contain the following information:

- The additional time needed to complete project objectives
- Justification for the extension which explains the need for the extension, includes the status of current work plan, and summarizes those objectives which remain to be completed
- Estimate of funds remaining

An **annual/interim Financial Status Report (FSR)** may be required if an extension is approved. Please see the conditions of your Assistance Agreement.

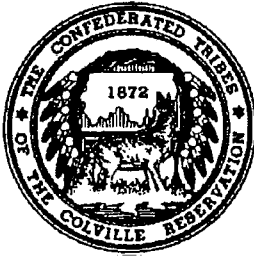
Should you have any questions regarding the above, please call me at (206) 553-5780 or the EPA Grants Specialist referenced on the subject agreement.

Sincerely,

Wendy Wasson
Grants Assistant

Enclosures

cc: Monica Tonel, ECL-115
Doug Cole, IOO



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JAN 20 2004

Environmental Group Office

Confederated Tribes of the Colville Reservation

Office of Environmental Trust

P. O. Box 150, Nespelem, WA 99155

Phone: 509-634-2415, Fax: 509-634-2427

November 21, 2003

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-3

Reporting Period: January 1, 2003 through March 31, 2003

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours
Objective 1, Outreach and Meetings: Contractors participated with tribal staff and Council in a meeting with EPA Regional Administrator. Contractors participated and provided technical assistance to the tribes at two internal planning meetings. Contractors assisted tribal staff in two Tribal Council briefings on CERCLA activities. Contractors participated with Tribal staff and Council during the EPA-CCT Consultation Meeting held in March 2003. Contractors participated in a meeting with the Spokane Tribe of Indians during the quarter. Contractors assisted tribes in a meeting with area environmental groups and citizens during the quarter.

Objective 2, Field Activities: No field activities were conducted during the quarter.

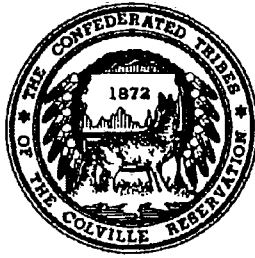
Objective 3, Review, Comments and Technical Assistance: Contractors assisted EPA in collecting necessary reference documents for Site Investigation as well as assisting with comment on draft reports. They also provided assistance to

Page two
PA/SI Quarterly Report

Tribal Staff with informal meetings with citizens. In preparation for EPA-CCT Consultation meeting, contractors assisted tribal staff in the development of materials and issues preparation. Draft reports on the Republic, Mountain Lion and South Penn Mines were received in March.

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies
This was a transition quarter between 2002 and 2003 grants and technical assistance work budgeted. EPA and Tribal paperwork to approve and put in place 2003 funds were not approved until February of 2003 in the amount of \$55,135. The tribes also renewed contracts with existing contractors for this work. 2002 funds were completed expended during the quarter totaling \$46,743.
3. Comparison of the estimated funds spent to date to planned expenditures
In 2002 we were short of funds in the amount of \$5944.14 for Environment International as more work than anticipated was needed regarding the Site Investigation report and meetings associated with the report. These funds were paid in 2003. We also expended \$4695.41 for Fulcrum Environmental from the 2002 funds remaining in their budget during this quarter.
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
48% of the 2003 budget was expended to date. 76.5 hours were billed for Fulcrum Environmental and 78.65 hours billed for Environment International during the quarter totaling \$26,975.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer
Jolene Francis, Accounting File Copy



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JAN 20 2004

Environmental Cleanup Office

**Confederated Tribes of the Colville Reservation
Office of Environmental Trust
P. O. Box 150, Nespelem, WA 99155
Phone: 509-634-2415, Fax: 509-634-2427**

January 8, 2004

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-3

Reporting Period: April, 1 2003 through June 30, 2003

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1, Outreach and Meetings: Kept in contact with EPA during the quarter regarding Regional Decision Team decisions. Contractors assisted the Tribe brief citizen and citizen's groups during the quarter.

Objective 2, Field Activities: No field activities were conducted during the quarter as we anticipate running short of contractor funds. Otherwise we would be involved in field activities at the Northport Smelter. We would also be more involved on site at the Republic site if funding were available.

Objective 3, Review, Comments and Technical Assistance: Contractors assisted tribal staff and Council tracking communications between EPA and others regarding site. Participated in three internal conference calls regarding ongoing EPA activities. Contractors provided assistance to tribal staff in preparation and participation in a meeting between the Tribe and EPA staff and management.

Page two
PA/SI Quarterly Report

Consultant's assisted tribal staff develop a letter to EPA regarding status of tribal comments on Site Investigation Final Report and changes in the data.

Contractors assisted in the development of technical comments on the Republic, Mountain Lion and South Penn Mines Preliminary Assessments during the quarter. Comments were also developed and sent to EPA on the Northport Smelter activities.

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies:

Due to more technical assistance than anticipated on commenting on Northport Smelter emergency actions as well as other upland sites we utilized Fulcrum Environmental more than anticipated during the past two quarters. There was also additional internal meeting and meetings and call with EPA regarding ongoing decision-making regarding the future of this site. We had no funds for legal assistance during these processes under this grant.

3. Comparison of the estimated funds spent to date to planned expenditures

Funds Expended during the quarter: Fulcrum Environmental billed 21.2 hours and Environment International billed 38.75 hours. Funds expended to date: \$33,396.22.

4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining

60% of the 2003 budget expended to date. We have expended 100% of Fulcrum Environmental estimated budget for reasons stated above. We are trying to keep costs down during this very busy time. Overall, EPA has under funded us for the work necessary.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer
Jolene Francis, Accounting File Copy



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JAN 20 2004

Environmental Cleanup Office

Confederated Tribes of the Colville Reservation

Office of Environmental Trust

P. O. Box 150, Nespelem, WA 99155

Phone: 509-634-2415, Fax: 509-634-2427

January 8, 2004

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-3

Reporting Period: July 1 2003 through September 30, 2003

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours
Objective 1, Outreach and Meetings:

Contractors assisted the Tribe brief citizens and citizen's groups during the quarter. Contractor's assisted tribal staff develop briefing materials to update elected officials during the quarter. Contractors were involved in two meetings between EPA and the Tribe regarding ongoing site activities. Consultants were involved in several internal calls and meetings with tribal staff during the quarter and assisted staff with Council briefings twice during the quarter. Consultants assisted in one field tour/briefing with elected officials. Contractors assisted in briefing/field tour with EPA Tribal Directors. Contractors assisted in planning for October EPA Headquarters tour/briefing.

Objective 2, Field Activities: No field activities were conducted during the quarter. If more funding were available, consultants would have made field visits to Republic regarding background sample sites.

Objective 3, Review, Comments and Technical Assistance: Contractors assisted tribal staff and Council tracking communications between EPA and others regarding site. Participated in two internal conference calls and one EPA-CCT calls regarding ongoing EPA activities. Contractors assisted the Tribes develop a draft framework for existing and future studies at the site for coordination purposes with other agencies. Contractors provided assistance to tribal staff in preparation and participation in a meeting between the Tribe and EPA staff and management.

Contractors assisted in the development of technical comments regarding re-sampling for background at Republic sites.

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies: We have spent a considerable amount of time unplanned for utilizing technical consultants during these past two quarters to assist the tribes in understanding technical and process elements of EPA activities at this site as they move forward in determining how they will proceed. As stated in previous reports we ran short on the budget for Fulcrum Environmental and have no funds the remainder of the year for them.
3. Comparison of the estimated funds spent to date to planned expenditures
Funds Expended during the quarter: Fulcrum Environmental billed 10.75 hours which there are no remaining funds to pay them for. Environment International billed 104.45 hours. Funds expended to date: \$48,542.91
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
88% of the 2003 budget expended to date. We were unable to pay Fulcrum Environmental for 10.75 hours worked on this project during the quarter. We will be close to budget for Environment International.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer
Jolene Francis, Accounting File Copy



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JAN 20 2004

Environmental Cleanup Office

Confederated Tribes of the Colville Reservation
Office of Environmental Trust
P. O. Box 150, Nespelem, WA 99155
Phone: 509-634-2415, Fax: 509-634-2427

January 8, 2004

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-3

Reporting Period: October 1 2003 through December 31, 2003

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

- Work Accomplished, delays, problems, products, technical hours
Objective 1, Outreach and Meetings: With consultants, met with Regional Administrator during the quarter. Consultants assisted with EPA Headquarters field tour/briefing on site. Consultants assisted tribal staff in meetings regarding discussions/updates on EPA AOC and Unilateral Order issued during the quarter. Two EPA-CCT monthly calls were attended during the quarter. Consultants assisted in one meeting of Tribal Enterprise Corporation during the quarter with update on site activities.

Objective 2, Field Activities: No field activities during the quarter.

Objective 3, Review, Comments and Technical Assistance: Consultants assisted tribal staff understanding AOC and Statement of Work components. Consultant's assisted tribal staff provide comments to EPA on Statement of Work.

Page two
PA/SI Quarterly Report

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies: Funds were expended completely during the previous quarter for Fulcrum and on schedule for Environment International. We could have used more hours for both contractors due to the extensive enforcement activities by EPA to review documents. We have a real need under this budget for legal expenses also which are vitally needed.
3. Comparison of the estimated funds spent to date to planned expenditures
Funds Expended during the quarter: Fulcrum Environmental billed 45 hours which there are no remaining funds to pay them for. Environment International billed 52 hours. Funds expended to date: \$.55,135.
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
100% of the 2003 budget expended at the end of the quarter. 2004 funds are not approved or in place at the end of the quarter.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer
Jolene Francis, Accounting File Copy



UNITED STATES
ENVIRONMENTAL PROTECTION AGENCY
REGION 10

FACSIMILE TRANSMITTAL FORM

TO: *Patti Stone*
FAX: *509-634-2427*

FROM: *Monica Tonel*
Site Assessment/Cleanup Unit #2
Environmental Cleanup
1200 - 6th Avenue, M/S ECL-115
Seattle, Washington 98101
TEL (206) 553-0323 , FAX (206) 553-0124

DATE: *2-2-2004*

TOTAL PAGES (including cover sheet): *9*

MESSAGE:

Patti -

*Attached is the letter from BLM to COT
regarding Josephine Mills 1 and 2*

*As I mentioned, given BLM's involvement
at these sites, the EPA R10 site assessment
program does not anticipate any further
involvement.*

*Thanks and feel free to contact me
with questions.*

(Monica Tonel)



United States Department of the Interior

BUREAU OF LAND MANAGEMENT

Spokane District Office
1103 N. Fancher
Spokane, Washington 99212-1275

IN REPLY REFER TO:

(509) 536-1200

8160 (130)
Abandoned Mines Reclamation

3 March 2003

The Honorable Joseph Pakootas, Chair
Colville Business Council
P.O. Box 150
Nespelem, WA 99155

Dear Mr. Pakootas:

The BLM proposes to contour, clean up, and revegetate ten abandoned mining properties in Pend Orielle and Stevens counties in order to reduce potential water contamination, minimize physical hazards to humans and wildlife and reclaim previously disturbed areas. The sites proposed for reclamation are listed below and shown on the attached maps.

Glass Mountain Mine: T. 31 N., R. 45 E. W.M., Sec. 2, SW $\frac{1}{4}$, Northeast of Newport

Josephine Mill Sites 1 and 2, T 39 N., R 43 E., Sec 16, North of Metaline Falls

Tenderfoot Mine: T. 37 N., R. 39 E., W.M., Sec. 23, SW $\frac{1}{4}$ NW $\frac{1}{4}$ SE $\frac{1}{4}$, Northeast of Colville.

Turk Mine, T29 N., R. 38 R., W. M. Sec. 6, NW $\frac{1}{4}$ NW $\frac{1}{4}$, Southeast of Fruitland

Togo Mine, T. 29 N, R. 38 E., W.M., Sec. 6 NW $\frac{1}{4}$ NE $\frac{1}{4}$, Southeast of Fruitland

Plata Rica Mine, T. 29 N., R. 37 E., W.M., Sec. 1, NW $\frac{1}{4}$, Southeast of Fruitland

Black Spade Mine T. 32 N., R. 39E., W.M., Sec.24, NW $\frac{1}{4}$, NE $\frac{1}{4}$, Southeast of Chewelah

Evening Star Mine, T. 32 N., R. 40 E., Sec.30, SE $\frac{1}{4}$, SE $\frac{1}{4}$, Southeast of Chewelah

All activity will take place in previously disturbed areas which will be cleaned up and seeded with grass to control erosion following contouring. Waste rock piles and the areas around adits will be contoured and ditched to reroute surface and ground water and prevent potential water contamination. In most cases this will be done with a bulldozer. At the Josephine Mill sites tailings piles will also be pulled back from the banks of Flume Creek by track hoe and some stretches of the stream bank will be armored to prevent further water contamination. The existing road at the Josephine sites will be repaired. Earthen berms to prevent vehicle access to waste rock and tailings areas will be constructed at the Josephine sites and the Tenderfoot. Welded metal gates will be installed in shafts and adits at the Tenderfoot, Glass Mountain, Black Spade, Evening Star, Guaranty, Turk, Togo, and Plata Rica sites to permit access by bats and exclude humans.

Prior to contouring activity all sites will be evaluated for possible eligibility to the National Register of Historic Places. The Guaranty Mine was evaluated in 1997 and was found to be ineligible. The Tenderfoot Mine was recorded as a cultural site in 2001 as part of the Columbia Basin Shrub Steppe Land Exchange survey but has not been evaluated for eligibility.

Consultation on this project has been initiated with the Colville Confederated Tribes, the Kalispel Tribe, the Spokane Tribe, the Pend Oreille County Historical Society, the Stevens County Historical Society, and the Washington State Office of Archaeology and Historic Preservation.

Please review the project area to identify concerns regarding traditional cultural properties, sacred sites, or other features of the proposed project. Please provide comments within 30 days. If you have questions or comments, please call me or Archaeologist Rich Bailey, at (509) 536-1200.

Sincerely,

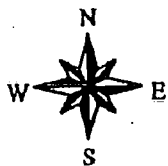
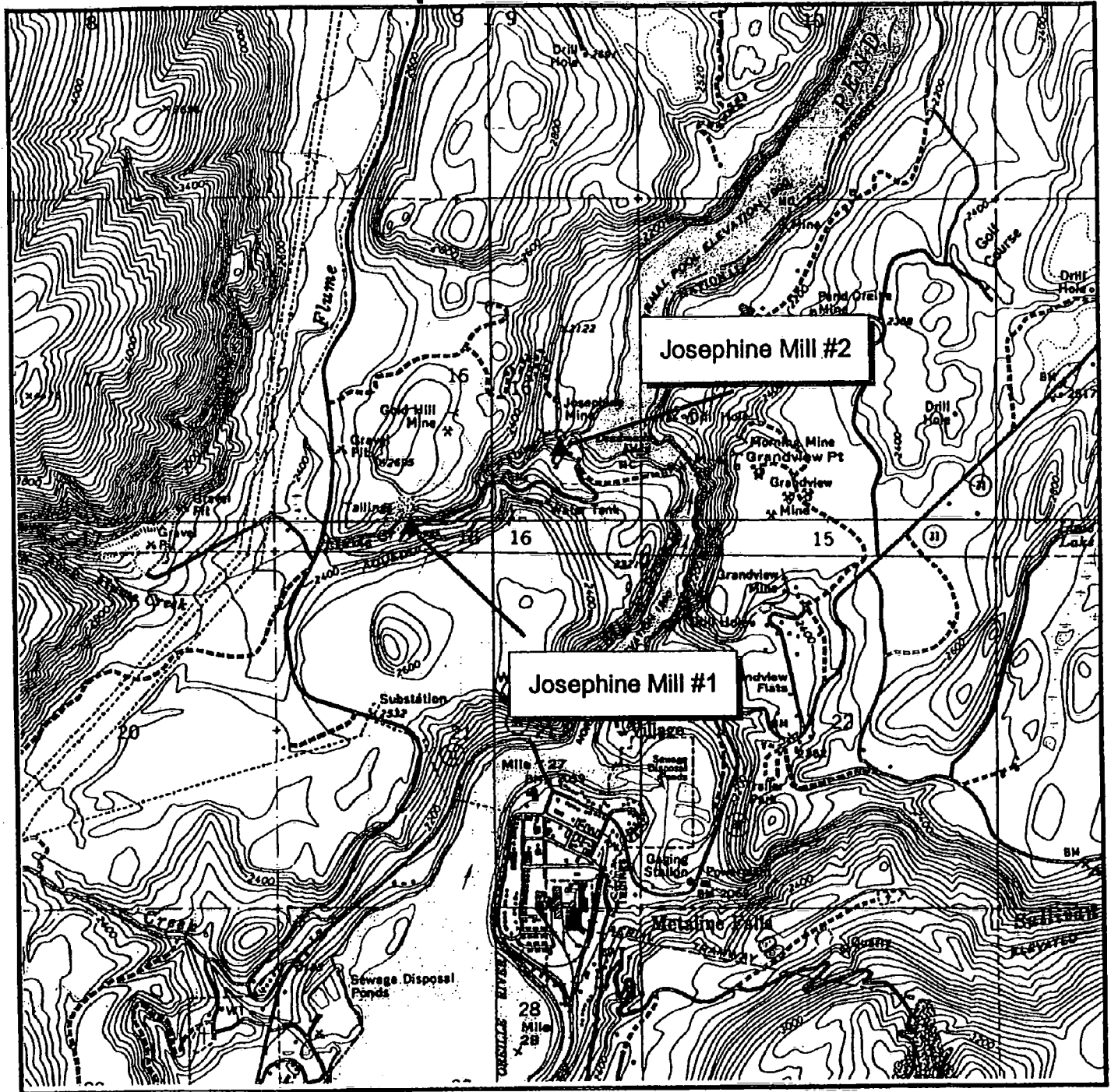


Kevin Devitt
Manager, Border Field Office

Attachment (map)

cc: Adeline Fredin, THPO
Gene Nicholson, Natural Resources Dept.
Gary Passmore, Water Quality
Joe Peone, Wildlife
Jim Priest, Wildlife

Josephine Mill Sites



Bim Land



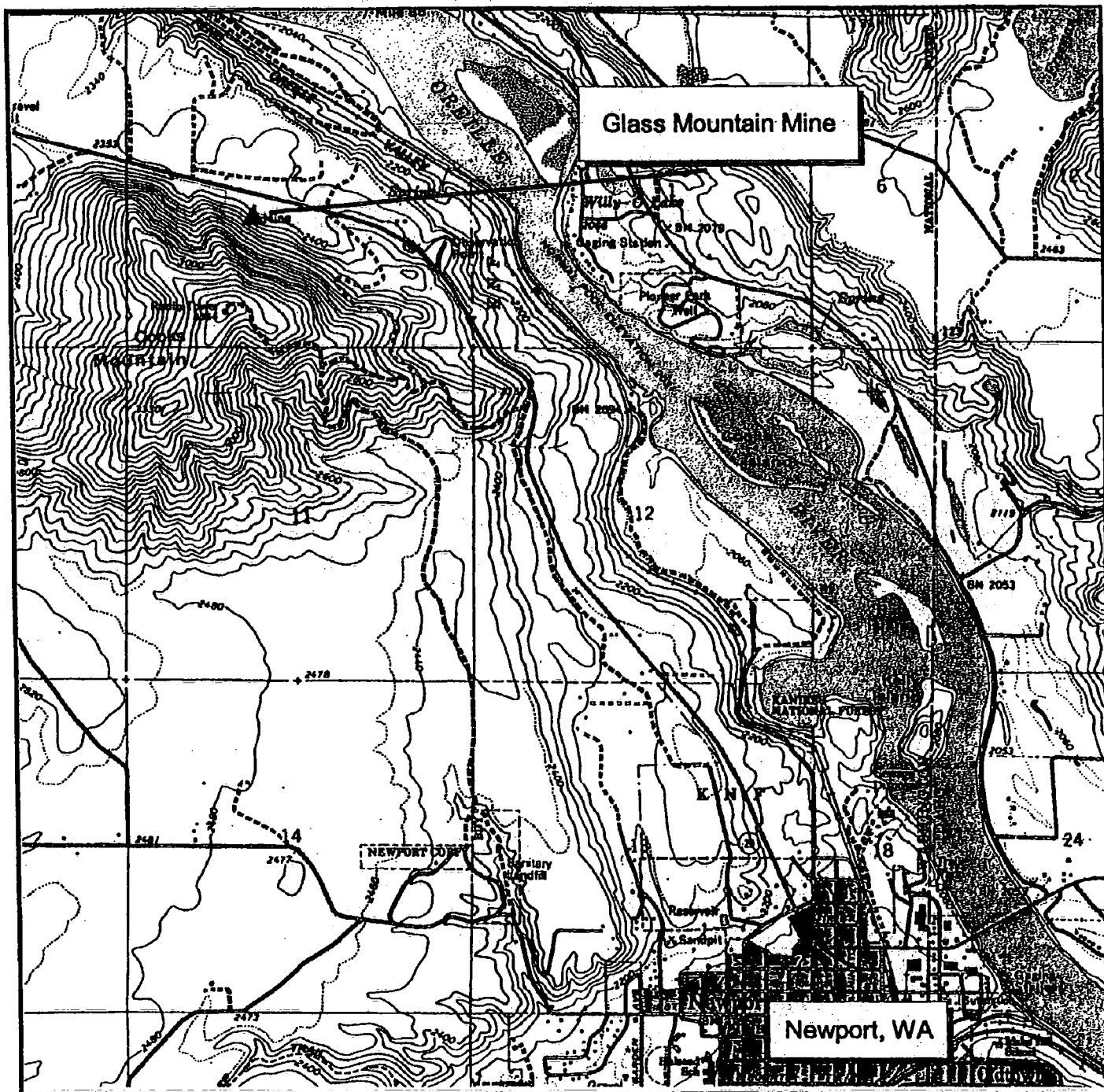
Unimproved Roads



USDI - Bureau of Land Management
Spokane District
1103 N. Fancher
Spokane, WA 99212
(509) 536-1200

No warranty is made by the Bureau of Land Management as to the accuracy, reliability, or completeness of these data for individual or aggregate use with other data. Original data was compiled from various sources. This information may not meet National Map Accuracy Standards. This product was developed through digital means and may be updated without notification.

Glass Mountain Mine



A horizontal scale bar with alternating black and white segments. The number '1' is at the left end, '0' is in the middle, and '1' is at the right end. The word 'Miles' is written to the right of the bar.



Blm Land



Unimproved Roads



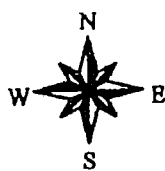
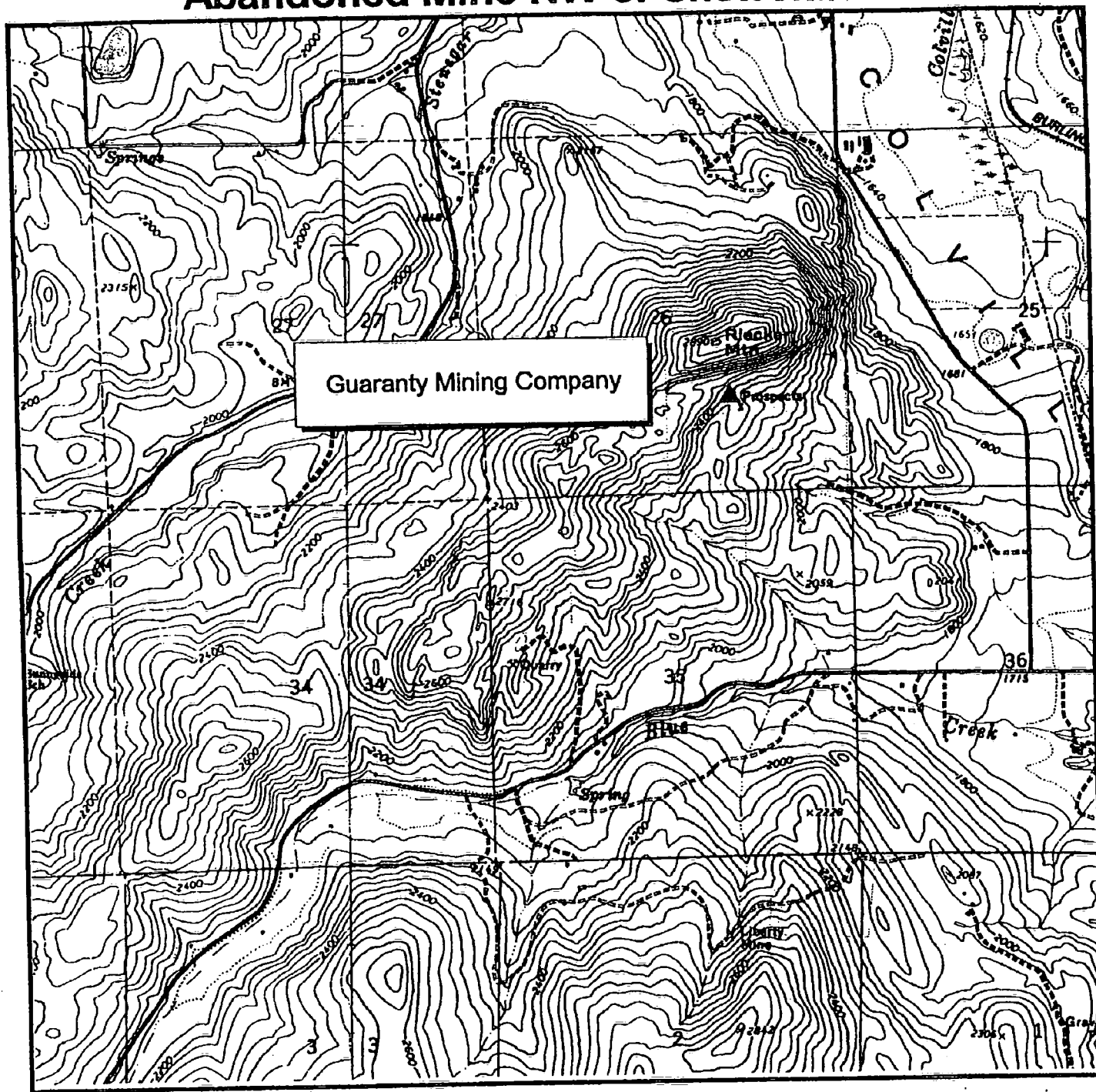
Abandoned Mine



USDI - Bureau of Land Management
Spokane District
1103 N. Fancher
Spokane, WA 99212
(509) 538-1200

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Abandoned Mine NW of Chewelah



Blm Land



Unimproved Roads

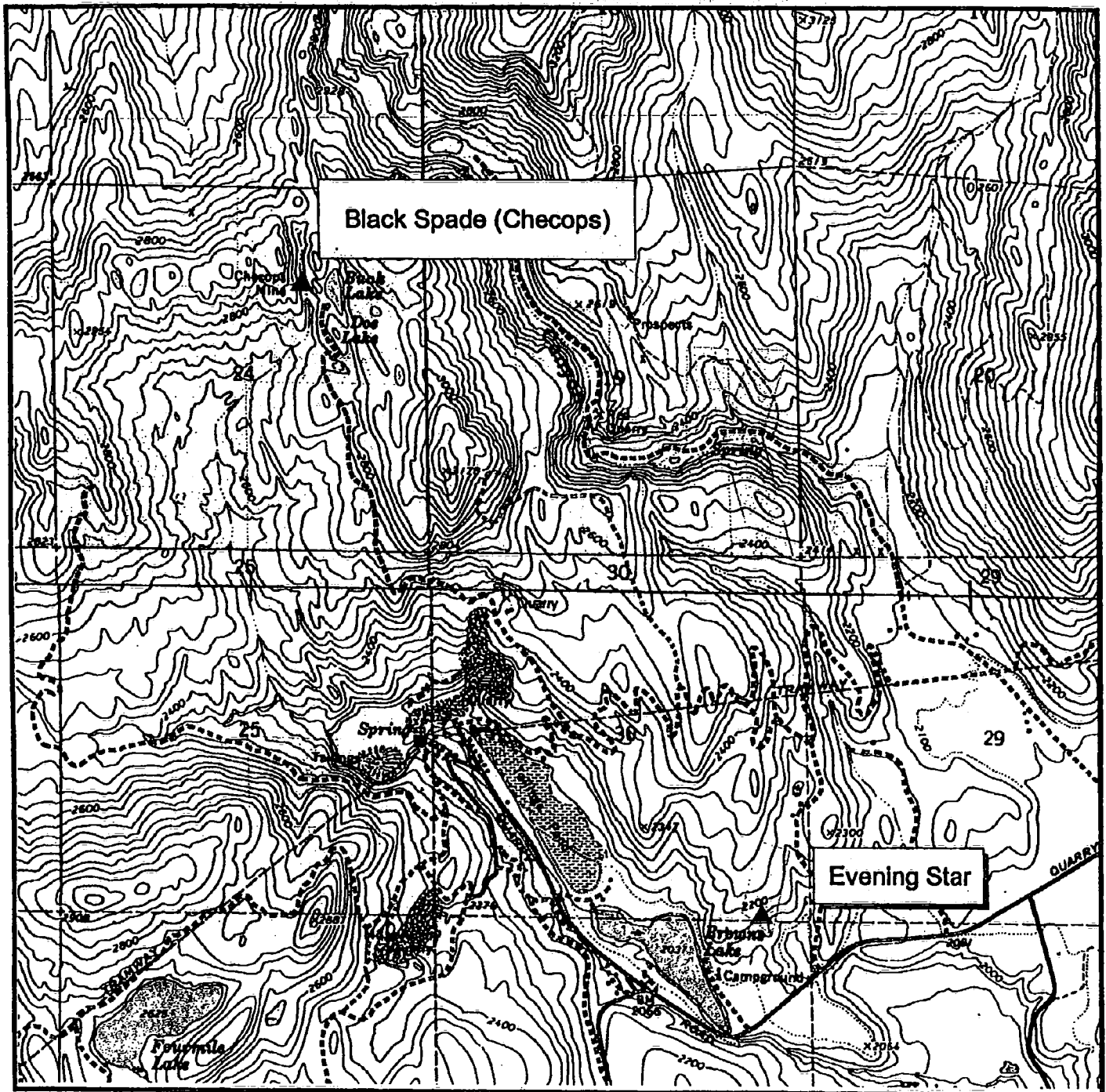
Abandoned Mine



USDI - Bureau of Land Management
Spokane District
1103 N. Fancher
Spokane, WA 99212
(509) 636-1200

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Abandoned Mines SW of Chewelah



1 0 1 Miles



Blm Land



Unimproved Roads

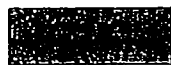
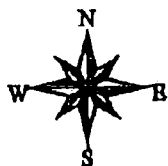
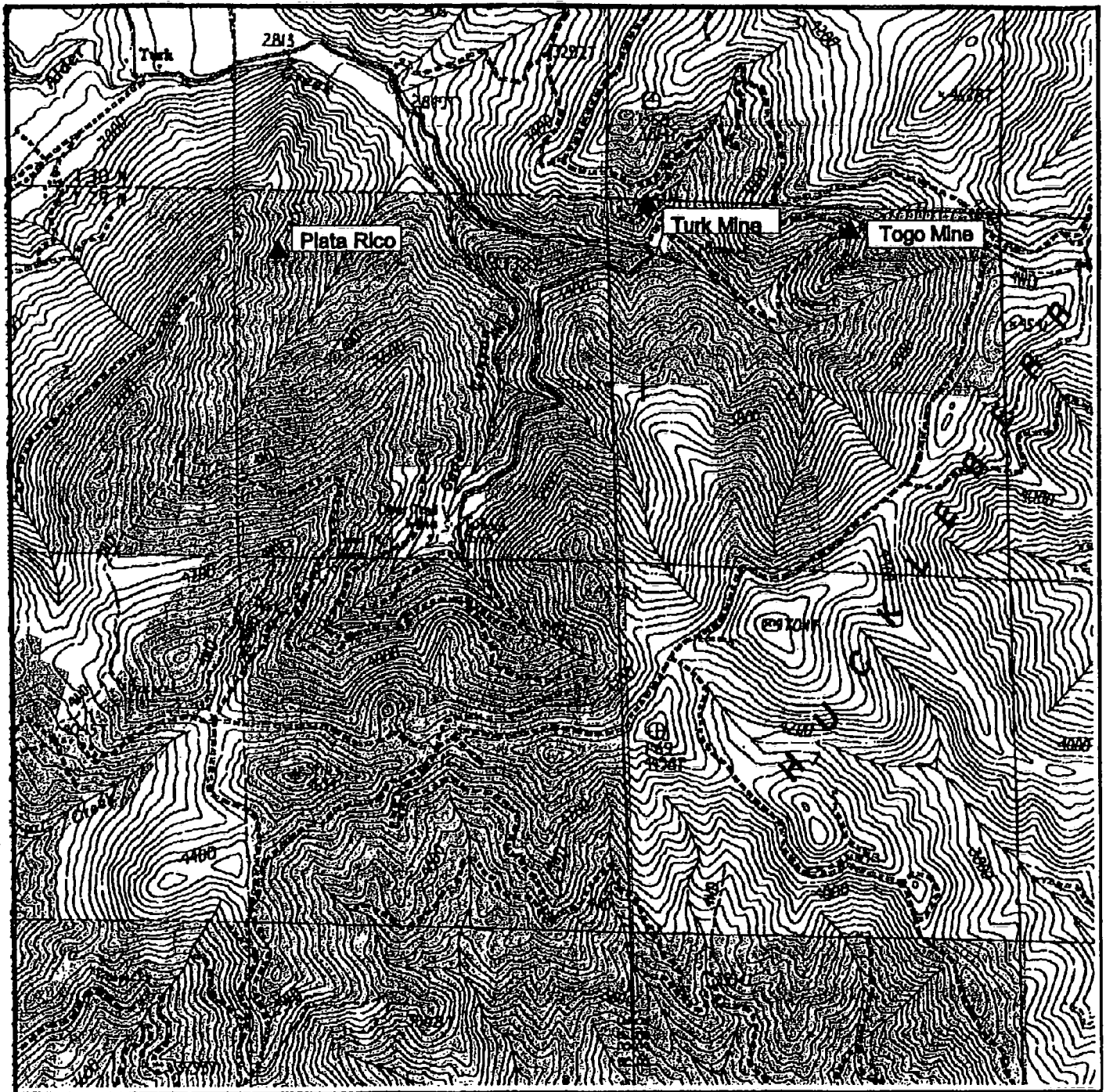
Abandoned Mine



USDA - Bureau of Land Management
Spokane District
1103 N. Franklin
Spokane, WA 99212
(509) 538-1200

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Abandoned Mines SE of Fruktand



Blm Land



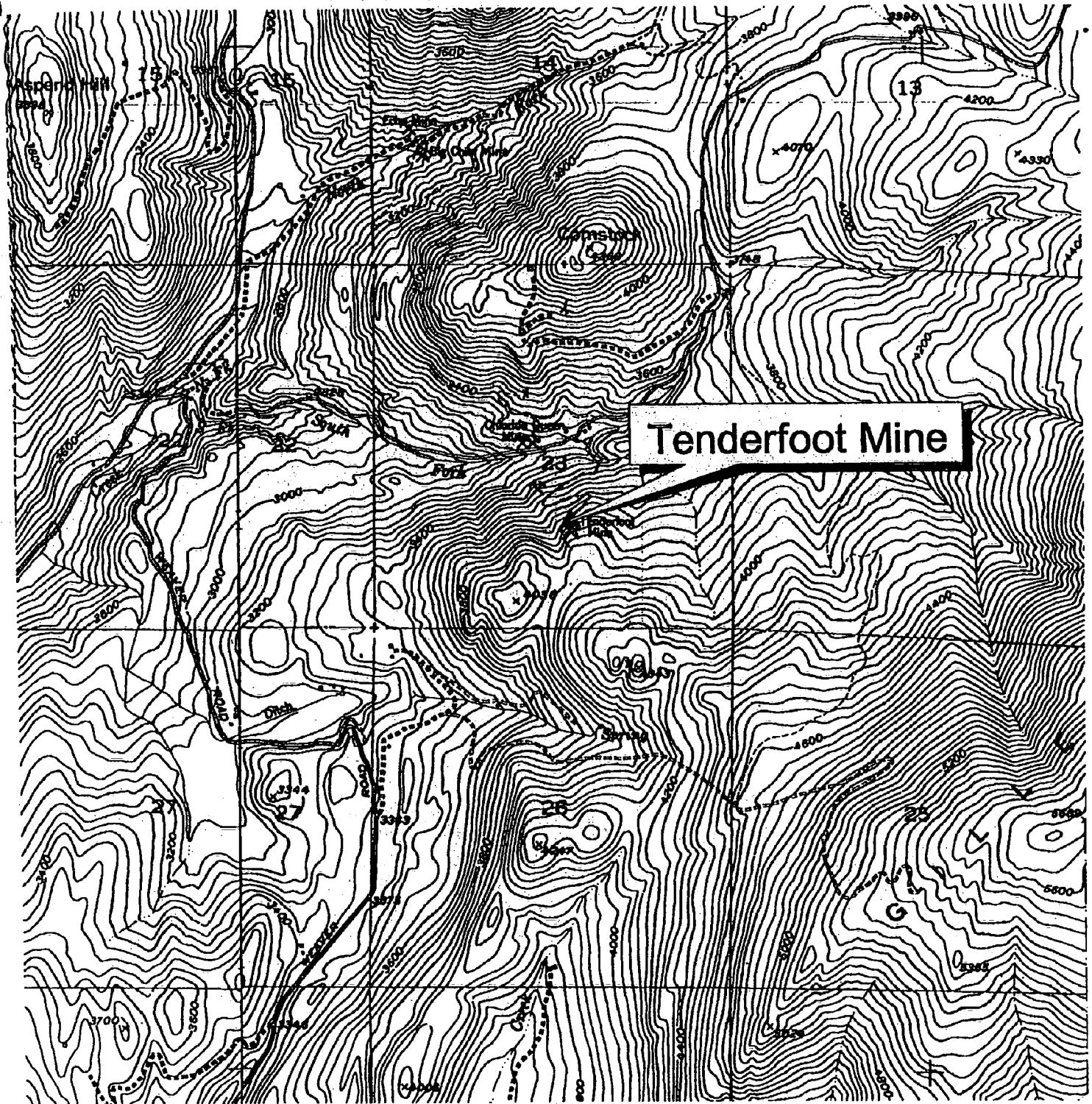
Unimproved Roads



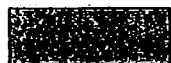
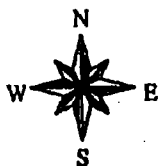
USDA - Bureau of Land Management
Spokane District
1103 N. Panther
Spokane, WA 99212
(509) 838-1800

No warranty is made by the Bureau of Land Management as to the accuracy, reliability, or completeness of these data for individual or aggregate use with other data. Original data was compiled from various sources. This information may not meet National Map Accuracy Standards. This is a map developed through digital means and may be updated without notification.

Tenderfoot Mine



0.6 0 0.6 1.2 Miles



Blm Land



Unimproved Roads



Abandoned Mine



USDI - Bureau of Land Management
Spokane District
1103 N. Fenchler
Spokane, WA 99212
(509) 636-1200

No warranty is made by the Bureau of Land Management as to the accuracy, reliability, or completeness of these data for individual or corporate use with other data. Original data were compiled from various sources. This information may not meet National Map Accuracy Standards. This product was developed through digital means and may be updated without notification.



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EPA REGION 9

**Confederated Tribes of the Colville Reservation
Office of Environmental Trust**
P. O. Box 150, Nespelem, WA 99155
Phone: 509-634-2415, Fax: 509-634-2427

April 9, 2004

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415 *Patti Stone*
Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-3
Reporting Period: January 1, 2004 through March 31, 2004
Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours
Objective 1, Outreach and Meetings: With consultants, met with EPA staff and management in Seattle during the quarter. Consultants assisted tribal staff in meetings and information regarding discussions/updates on EPA Unilateral Order issued. 12 EPA-CCT monthly calls were attended during the quarter. Consultants assisted in one meeting of Tribal Enterprise Corporation during the quarter with updates on site activities. Consultants attended one meeting of the Natural Resources Committee of the Colville Business Council. Consultants participated in 3 internal tribal team meetings. Consultants participated in one conference call with US State Department. Consultants participated in one Inchelium District Meeting for an update to the tribal membership.

Objective 2, Field Activities: No field activities during the quarter.


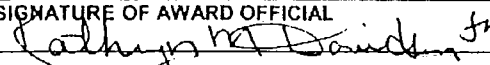
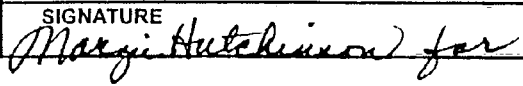
Objective 3, Review, Comments and Technical Assistance: Consultants assisted tribal staff and Council understand the Unilateral Order. Consultants assisted tribal staff in the development of a technical assistance work plan and

Page two
PA/SI Quarterly Report

Budget for the period of January through December 2004. Consultants were updated on Pend Oreille and other upland sites by me through EPA. Received Knob Hill and Republic Mill Site Investigation Draft Reports near the end of the quarter. They will be reviewed and commented on during the next quarter.

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies: Funds and contractor hours were expended completely during the previous quarter for Fulcrum and Environment International. We could have used more hours for both contractors due to the extensive enforcement activities by EPA to review documents. There is a vital need under this budget for legal services.
3. Comparison of the estimated funds spent to date to planned expenditures
Funds Expended during the quarter: Fulcrum Environmental billed 50.75 hours (not including hours for March for which we have not received a bill). Environment International billed 35.3 hours (not including hours for March for which we have not received a bill). 2004 funds expended to date: \$0. We understand the 2004 budget award is approved, but has not been received to date from EPA. This was primarily due to lateness by the Tribe getting an approved grant submission to EPA and delays at EPA once received. As soon as the Tribe receives the award, we will do contract changes for contractors and then we can pay them.
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
0% of the 2004 budget expended at the end of the quarter, due to the reasons stated in 3. It is difficult to compare the work to the funds remaining until next quarter as we are just now looking at draft SI reports.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer
Jolene Francis, Accounting File Copy

	U.S. ENVIRONMENTAL PROTECTION AGENCY Cooperative Agreement		ASSISTANCE ID NO.			DATE OF AWARD 23 APR 2004 MAY 19 2004
			PRG	DOC ID	AMEND#	
			V -	98072501	- 6	
			TYPE OF ACTION Revision: Scope & Increase			
RECIPIENT TYPE: Indian Tribe			Send Payment Request to: Region 10 Finance Unit, OMP-146			ACH#
RECIPIENT: Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155 EIN: 91-0557683			PAYEE: Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155			
PROJECT MANAGER Patti Stone P.O. Box 150 Nespelem, WA 99155 E-Mail: patti.stone@colvilletribes.com Phone: (509) 634-2415		EPA PROJECT OFFICER Monica Tonel 1200 Sixth Avenue, ECL-115 Seattle, WA 98101 E-Mail: Tonel.Monica@epamail.epa.gov Phone: 206-553-0323		EPA GRANT SPECIALIST Bob Phillips Grants Administration Unit, OMP-145 E-Mail: Phillips.Bob@epa.gov Phone: 206-553-6367		
PROJECT TITLE AND EXPLANATION OF CHANGES V-98072501-0 Colville Reservation This amendment approves the revised budget and scope of work and provides full additional funding. This amendment also extends the budget and project periods as requested. Please note: Administrative conditions #s 8, 9 & 10 have been updated; Programmatic conditions #s 2 & 3 have been added.						
BUDGET PERIOD 04/01/2000 - 12/31/2004		PROJECT PERIOD 04/01/2000 - 12/31/2004		TOTAL BUDGET PERIOD COST \$223,291.00		TOTAL PROJECT PERIOD COST \$223,291.00
NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.						
OFFER AND ACCEPTANCE						
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>Colville Confederated Tribes</u> for <u>100.00</u> % of all approved costs incurred up to and not exceeding <u>\$223,291</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>02/12/2004</u> included herein by reference.						
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)				AWARD APPROVAL OFFICE		
ORGANIZATION / ADDRESS				ORGANIZATION / ADDRESS		
EPA Region 10 Mail Code, OMP-145 1200 Sixth Avenue Seattle, WA 98101				U.S. EPA, Region 10 Office of Environmental Cleanup 1200 Sixth Avenue Seattle, WA 98101		
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY						
SIGNATURE OF AWARD OFFICIAL		TYPED NAME AND TITLE			DATE	
		Michael F. Gearheard, Director - Environmental Cleanup Office			23 APR 2004	
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.						
BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION						
SIGNATURE		TYPED NAME AND TITLE			DATE	
		Joseph Pakootas, Chairperson				

V - 98072501 - 6 Page 2

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Site Specific Cooperative Agreements	CERCLA: Sec. 104	40 CFR PTS 31 & 35 SUBPT O

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
COLVILLE	QJG012	04	T	0AQ0P	302DD2E	4185	106XMA00	C001	41,458
									41,458

Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$0
2. Fringe Benefits	\$0
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$223,291
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$223,291
10. Indirect Costs: % Base	\$0
11. Total (Share: Recipient 0.00 % Federal 100.00 %.)	\$223,291
12. Total Approved Assistance Amount	\$223,291
13. Program Income	\$0

Administrative Conditions

8. Small and Disadvantaged Business Utilization Requirements (Indian Tribes) - (updated this amendment)

The recipient agrees to follow the six affirmative steps stated in 40 CFR 31.36(e), 35.3145(d) or 35.6580, as appropriate, and to require its prime contractor to follow these affirmative steps if it awards subcontracts; the recipient also agrees to retain records documenting compliance.

The six affirmative steps contained in 40 CFR 31.36(e) are as follows:

- (a) Placing qualified small and minority businesses and women's business enterprises on solicitation lists;
- (b) Assuring that small and minority businesses, and women's business enterprises are solicited whenever they are potential sources;
- (c) Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority businesses, and women's business enterprises;
- (d) Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women's business enterprises;
- (e) Using the services and assistance of the Small Business Administration, and the Minority Business Development Agency of the Department of Commerce; and
- (f) Requiring the prime contractor, if subcontracts are to be let, to take the affirmative steps listed above.

The recipient agrees to submit an EPA Form 5700-52A, "MBE/WBE Utilization Under Federal Grants, Cooperative Agreements and Interagency Agreements" beginning with the Federal fiscal year quarter the recipient receives the award and continuing until the project is completed. These reports must be submitted within 30 days of the end of the Federal fiscal quarter (January 30, April 30, July 30 and October 30).

All reports must be submitted to the Grants Administration Unit, OMP-145, 1200 Sixth Avenue, Seattle, WA 98101. For further information, please contact Marie McPeak at (206) 553-2894, EMAIL: mcpeak.marie@epa.gov.

In accordance with Section 129 of Public Law 100-590, the Small Business Administration and Reauthorization and Amendment Act of 1988, the recipient agrees to utilize and to encourage any prime contractors under the assistance agreement to utilize small businesses located in rural areas to the maximum extent possible through the use of the six affirmative steps.

9. Consultant Services (updated this amendment)

EPA participation in the salary rate (excluding overhead) paid to individual consultants retained by recipients or by a recipient's contractors or subcontractors shall be limited to the maximum daily rate for a Level IV of the Executive Schedule (formerly GS-18), to be adjusted annually. This limit applies to consultation services of designated individuals with specialized skills who are paid at a daily or hourly rate. As of January 1, 2004, the limit is \$524.77 per day (\$65.60 hourly). This rate does not include transportation and subsistence costs for travel performed (recipient will pay these in accordance with their normal travel reimbursement practices).

Subagreements with firms for services which are awarded using the procurement requirements in this part are not affected by this limitation. The recipient may refer to 40 CFR 30.27(b) or 40 CFR 31.36(j)(2), as applicable, for additional information.

NOTE: For future years' limits, the recipient may find the annual salary for Level IV of the Executive Schedule on the following Internet site: <http://www.opm.gov/oca/03tables/html/ex.asp>. The annual salary is divided by 2087 hours to determine the maximum hourly rate, which is then multiplied by 8 to determine the maximum daily rate.

10. Work Plan (revised this amendment).

Work under this agreement should be completed in accordance with the approved work plans submitted 2/15/2000, 8/28/2000, 11/21/2001, 2/24/2003 and 2/12/2004.

Programmatic Conditions

2. Final Performance Report (Added this amendment)

In addition to the periodic (quarterly) performance reports, the recipient shall submit a final performance report, which is due 90 calendar days after the expiration or termination of the award. The report shall be submitted to the EPA Project Officer and may be provided electronically. The report shall generally contain the same information as in the periodic reports, but should cover the entire project period. After completion of the project, the EPA Project Officer may waive the requirement for a final performance report if the EPA Project Officer deems such a report is inappropriate or unnecessary.

3. Revisions to the Site Budgets (Added this amendment)

Each site has a separate budget. To move costs between site budgets (i.e. from X to Y), the recipient must formally request that the budgets be changed and provide revised budgets for both sites and revised scope of work if required. This revision must be approved via amendment to this agreement.

END OF ASSISTANCE ASSISTANCE AMENDMENT V-98072501-6

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Version 7/03

APPLICATION FOR
FEDERAL ASSISTANCE

FEB 17 2004

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction		2. DATE SUBMITTED 2/12/04	Applicant Identifier State Application Identifier	
3. DATE RECEIVED BY STATE		4. DATE RECEIVED BY FEDERAL AGENCY		
5. APPLICANT INFORMATION Legal Name: Confederated Tribes of the Colville Indian Reservation Organizational DUNS: 06-958-3029 Address: Street: P. O. Box 455, 1 Colville Street City: Nespelem County: Okanogan State: WA Zip Code: 99155 Country: USA		Organizational Unit: Department: Natural Resources Division: Environmental Trust Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Patti Middle Name: Last Name: Stone Suffix: Email: patti.stone@colvilletribe.com Phone Number (give area code): 509-634-2415 Fax Number (give area code): 509-634-2419		
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 91-0557683		7. TYPE OF APPLICANT: (See back of form for Application Types) Indian Tribe Other (specify)		
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify)		9. NAME OF FEDERAL AGENCY: US EPA		
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): 12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Colville Indian Reservation, Ferry and Okanogan Counties, Washington State		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Preliminary Assessment/Site Investigation of Upper Columbia River and associates sites, technical assistance for tribal participation		
13. PROPOSED PROJECT Start Date: 1/1/04 Ending Date: 12/30/04		14. CONGRESSIONAL DISTRICTS OF: a. Applicant 5th Congressional District b. Project		
15. ESTIMATED FUNDING: a. Federal \$ 41,458 b. Applicant \$ c. State \$ d. Local \$ e. Other \$ f. Program Income \$ g. TOTAL \$ 41,458		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW		
17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No		18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.		
a. Authorized Representative Prefix: Mr. First Name: Joseph Last Name: Pakootas		b. Title Chairperson, Confederated Tribes of the Colville Indian Reservation c. Telephone Number (give area code) 509-634-2215 d. Signature of Authorized Representative Joseph A. Pakootas e. Date Signed 2/12/04		

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Authorized for Local ReproductionStandard Form 424 (Rev.9-2003)
Prescribed by OMB Circular A-102

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$ 0.00
2.						0.00
3.						0.00
4.						0.00
5. Totals		\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
a. Personnel	\$	\$	\$	\$	\$ 0.00
b. Fringe Benefits					0.00
c. Travel					0.00
d. Equipment					0.00
e. Supplies					0.00
f. Contractual					
g. Construction					0.00
h. Other					0.00
i. Total Direct Charges (sum of 6a-6h)		0.00	0.00	0.00	
j. Indirect Charges					0.00
k. TOTALS (sum of 6i and 6j)	\$ 41,458.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 41,458.00
7. Program Income	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

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Standard Form 424A (Rev. 7-97)
Prescribed by OMB Circular A-102

SECTION C - NON-FEDERAL RESOURCES				
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8.	\$	\$	\$	\$ 0.00
9.				0.00
10.				0.00
11.				0.00
12. TOTAL (sum of lines 8-11)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 41,458.00	\$ 35,000.00	\$ 6,458.00	\$	\$
14. Non-Federal	0.00				
15. TOTAL (sum of lines 13 and 14)	\$ 41,458.00	\$ 35,000.00	\$ 6,458.00	\$ 0.00	\$ 0.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT				
(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.	\$	\$	\$	\$
17.				
18.				
19.				
20. TOTAL (sum of lines 16-19)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges: 41458	22. Indirect Charges: 0
23. Remarks:	

NOTE: This form must be submitted with each application.



EPA Project Control Number

United States Environmental Protection Agency
Washington, DC 20460

Certification Regarding Debarment, Suspension, and Other Responsibility Matters

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of the certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Joseph A. Pakootas, Chairperson

Typed Name & Title of Authorized Representative

Joseph A. Pakootas
Signature of Authorized Representative

2-12-04
Date

☐ I am unable to certify to the above statements. My explanation is attached.

**EXTENSION TO
SUPPORT AGENCY COOPERATIVE AGREEMENT
FOR GOVERNMENT TO GOVERNMENT INVOLVEMENT
OF THE COLVILLE CONFEDERATED TRIBES
IN EPA PA and SI CERCLA ACTIVITIES**

The purpose of this extension to the Cooperative Agreement is to continue Tribal involvement at sites determined by EPA to be eligible for preliminary assessment (PA) and site investigation (SI) work under the Comprehensive Environmental Response, Compensation and Liability Act (CERCLA). Sites of interest to the Colville Confederated Tribes (CCT) include those that have impacted or may potentially impact tribal reservation lands and/or resources. This amendment to the Cooperative Agreement is not intended to supersede the existing MOA between the CCT and EPA.

Performance Period

January 1, 2004 through December 31, 2004

PA and SI Tasks/Activities

CCT proposes that \$41,458 be funded during this performance period. Sites identified include the Upper Columbia River and potential contaminant sources including a number of mines and mills near the Columbia River drainage area in northeast Washington. CCT and their consultants, currently under contract, will interact with EPA and its authorized contractors to see that CCT resource interests are represented. The CCT and their consultants will provide technical input to the various PA and SI actions through oral or written communications to the Tribe and EPA.

Objective 1. Community Outreach and Participation in Meetings

The Tribe will assist EPA in the development and coordination of up to two public/community meetings with Colville Tribal membership, and pertinent local watershed groups. Consultants will assist the Tribe with technical expertise in community outreach for the Upper Columbia River CERCLA activities to provide adequate information to the tribal membership and general public.

The Tribe with the assistance of their consultants will participate in technical and consultation meetings with EPA officials relating to ongoing CERCLA PA and SI issues and activities on the Upper Columbia River Site and other CERCLA sites including those in the Republic mining district.

Objective 2. Field Activities

The Tribe will coordinate with EPA on planned PA and SI field activities including scheduled visits to sites where consent for access to CCT representatives has been provided. Circumstances may arise that CCT may need to re-visit sites for pertinent information.

Page two

Potential site visits include mines/mills near the Sanpoil River watershed identified in the PA Petition submitted by CCT to EPA, dated August 2000. Specifically, Mountain Lion Mine/Mill, Hecla Concentrator, Republic Concentrator, NWP&R Co., and Knob Hill Mine.

Objective 3. Review and Comment of Documents

The Tribe will review and comment on draft PA and SI reports generated by EPA. CCT consultants will provide the Tribe with technical expertise in CERCLA and program-related environmental issues regarding ongoing issues and activities on the Upper Columbia River site and other sites where EPA has ongoing activities within the watershed. The consultants will develop a summary of comments and recommendations. Draft reports to be reviewed by CCT include the Republic PA/SI draft and final reports.

Budget Narrative, 2004

Salaries	0
Fringe	0
Travel	0
Equipment	0
Supplies	0
Contractual	████████
Construction	0
Other	0
Direct Charges	████████
Indirect Charges	0
Grand Total	41,458

Grant funds will be utilized to retain existing tribal consultants to assist the CCT in conducting the tasks identified in this request.

Environment International and Fulcrum Environmental Consulting, qualified consulting firms are currently under contract to provide technical assistance to the CCT. These consultants will provide necessary assistance to the CCT per these described tasks and within these budget limits. Both of these firms have provided valuable assistance to date and worked cooperatively with all parties involved.

**2004 CERCLA Technical Support Budget Proposal
Confederated Tribes of the Colville Indian Reservation**

Environment International

Technical Assistance to the CCT to conduct interaction with EPA on technical matters involving the Upper Columbia River site and other CERCLA issues including all current PA and SI activities.

Principal	80 hours @ [REDACTED]/hr	[REDACTED]
Sr. Scientist	60 hours @ [REDACTED]/hr	[REDACTED]
Sr. Chemical Eng.	40 hours @ [REDACTED]/hr	[REDACTED]
Toxicologist	10 hours @ [REDACTED]/hr	[REDACTED]
	sub-total	[REDACTED]

Technical Assistance to the CCT to attend all relevant meetings to discuss the Upper Columbia River site and other sites with ongoing CERCLA PA and/or SI activities.

4 meetings estimated		
Principal	4) 32 hrs @ 170/hr	5440
Airfare for 2 meetings		
rental car for 2 meetings	2 @ 120/ trip	240
	2 @ [REDACTED]/day	[REDACTED]
	sub-total	[REDACTED]
	Total EI Budget	\$ [REDACTED]

Fulcrum Environmental

Technical Assistance to the CCT to conduct interaction with EPA on technical matters involving the Upper Columbia River site and other CERCLA issues including all current PA and SI activities.

Principal	80 hours @ [REDACTED]/hr	[REDACTED]
4 meetings estimated		
Principal		
2 Seattle area meetings, airfare	16 hours @ [REDACTED]/hr	[REDACTED]
rental car @ 150 x 2	2 x [REDACTED]	[REDACTED]
Misc. mileage for other meetings, field visits	2 x [REDACTED]	[REDACTED]
	2 x 200 mi @ [REDACTED]	[REDACTED]
	Total FE Budget	\$ [REDACTED]

Technical Assistance to the CCT to attend all relevant meetings to discuss the Upper Columbia River site and other sites with ongoing CERCLA PA and/or SI activities.

GRAND TOTAL CERCLA 2004 BUDGET **\$ 41,458**

Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$0
2. Fringe Benefits	\$0
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$
10. Indirect Costs: % Base	\$0
11. Total (Share: Recipient 0.00 % Federal 100.00 %.)	\$223,291
12. Total Approved Assistance Amount	\$223,291
13. Program Income	\$0



Confederated Tribes of the Colville Reservation
Office of Environmental Trust
P. O. Box 150, Nespelem, WA 99155
Phone: 509-634-2415, Fax: 509-634-2427

July 10, 2004

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Water Quality Coordinator
Phone: 509-634-2415

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-6

Reporting Period: April 1, 2004 through June 30, 2004

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1, Outreach and Meetings:

Contractors participated in one monthly meeting between EPA and CCT during the quarter. Contractors participated in scoping meeting with RPM during the quarter. Contractors met with tribal internal team during the quarter and with the tribal council during briefings on the site activities. Contractors attended one cultural resources related meeting regarding the site.

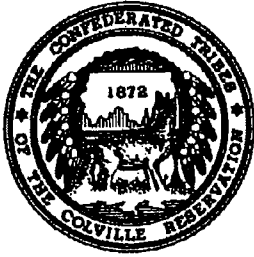
Objective 2, Field Activities: No field activities during the quarter.

Objective 3, Review, Comments and Technical Assistance: Consultants worked on Northport Smelter emergency cleanup proposal and submitted comments to EPA. Consultants assisted tribal staff in the development of information regarding fish consumption issues. Comments were developed for Republic Mine and South Penn Mine SI report during the quarter.

Page two
PA/SI Quarterly Report

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies: As explained below, we were awarded the 2004 late last quarter and just now have begun to spend down these funds, as we had to do tribal change orders for our existing contractors. We are almost out of funds for Fulcrum Environmental and will need more funds. We are spending more time on the Northport site than anticipated when the budget was developed and underfunded. There is a vital need under this budget for legal services.
3. Comparison of the estimated funds spent to date to planned expenditures
Funds Expended during the quarter: Fulcrum Environmental billed 88.75 hours. Environment International billed 31.05 hours, these expenses are billed and not paid yet, as the contract change order for EI was approved 7/8/04. 2004 funds expended to date: \$9,180. We received the 2004 PA/SI award signed in late April by EPA and later by the CCT. We were unable to expend these funds as planned due to the lateness of the award.
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
22% of the 2004 budget expended at the end of the quarter, due to the reasons stated in 3. This suggests that we are under spent, but in reality we are 32% expended if we had been able to pay EI. It looks as though we will be short of funds to continue involvement in the Northport Smelter and Republic Mine work by the end of the year for the PA/SI work for Fulcrum Environmental. We would like to request additional funding at this time.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer
Jolene Francis, Accounting File Copy



Confederated Tribes of the Colville Reservation
Office of Environmental Trust
P. O. Box 150, Nespelem, WA 99155
Phone: 509-634-2415, Fax: 509-634-2427

July 10, 2004

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Patti Bailey
Contract Person: Patti Bailey, Environmental Planner
Phone: 509-634-2415
Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-7
Reporting Period: April through June 30, 2005
Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1, Outreach and Meetings:

No meetings were attended during the quarter.

Objective 2, Field Activities:

No field activities were performed during the quarter.

Objective 3, Review, Comments and Technical Assistance: Consultants reviewed emergency cleanup documents from the Colville Post and Pole site during the quarter.

PA/SI Quarterly Report

Page two

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies:
Minimal funds were spent during the quarter primarily because we were spending a lot of time on other projects, focusing most of our energy on sampling at UCR site. Otherwise we would be more involved in documents at the Colville Post and Pole Site.
3. Comparison of the estimated funds spent to date to planned expenditures
Funds Expended during the quarter: Environment International billed 2.5 hours. There is approximately \$2,062 remaining in 2004 funds. Amendment 7 was approved during the end of last quarter adding \$30,000 to the tribe for technical assistance anticipating additional work and wrap up on various sites where EPA is conducting work. None of the new funds have been spent as the award was just finalized and contract modifications have not been processed for our contractor. These administrative tasks will be performed during the next quarter.
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
93% of the 2004 budget expended at the end of the quarter, new funds in the amount of \$30,000 has not been spent.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer
Jolene Francis, Accounting File Copy



RECEIVED

NOV 01 2004

Environmental Cleanup ~~Office~~

Confederated Tribes of the Colville Reservation
Office of Environmental Trust
P. O. Box 150, Nespelem, WA 99155
Phone: 509-634-2415, Fax: 509-634-2427

October 18, 2004

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Stone, Environmental Planner
Phone: 509-634-2415

Patti Stone

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-6

Reporting Period: July 1, 2004 through September 30, 2004

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1, Outreach and Meetings:

Contractors participated in one monthly meeting between EPA and CCT during the quarter. Contractors participated in two meetings with EPA and their contractors during the quarter. Contractors met with tribal staff during the quarter and attended one tribal council during briefing regarding site activities and one meeting with EPA in attendance. Contractors attended an EPA meeting with UCR Trustees during the quarter.

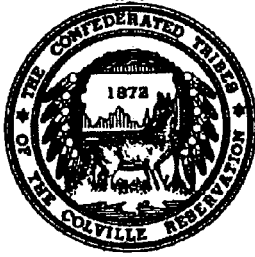
Objective 2, Field Activities: No field activities during the quarter.

Objective 3, Review, Comments and Technical Assistance: More comments and edits were shared with EPA for the Knob Hill, Republic Mine and South Penn Mine reports during the quarter. A conference call with EPA and contractors was held regarding CCT comments on South Penn and Mountain Lion SI reports. Contractors spent a little time on the Colville Post and Pole site CERCLA activities.

Page two
PA/SI Quarterly Report

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies: As explained last quarter, because of delays in 2004 funding and subsequent administrative paperwork, spending was somewhat delayed. Contract change orders were processed through tribal administration during the quarter to reflect 2004 funding awards. Tribal accounting requested we carryover and invoice unspent funds, which was completed during the quarter in the amount of \$5,971.27. It appears that other tribal funds had paid for PA/SI invoices in the past, which accounts for this carryover.
3. Comparison of the estimated funds spent to date to planned expenditures
Funds Expended during the quarter for the 2004 budget: Environment International billed 94.75 hours for the quarter, however EI hours for the previous quarter were not reported due to the above-mentioned problems. EI hours for the second quarter were 109.5 hours and were paid during this reporting period. 2004 funds expended to date: \$38,987.23.
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
94% of the 2004 budget is expended at the end of the quarter. We will be short of funds to continue involvement in the Northport Smelter, and work at all the other sites. We will be requesting 2005 funds immediately.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer
Jolene Francis, Accounting File Copy



Confederated Tribes of the Colville Reservation
Office of Environmental Trust
P. O. Box 150, Nespelem, WA 99155
Phone: 509-634-2415, Fax: 509-634-2427

July 10, 2004

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Bailey, Environmental Planner
Phone: 509-634-2415

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-7

Reporting Period: January 1 through March 30, 2005

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1, Outreach and Meetings:

No meetings were attended during the quarter.

Objective 2, Field Activities:

No field activities were performed during the quarter.

Objective 3, Review, Comments and Technical Assistance: Consultants did not review or comment on any sites during the quarter.

PA/SI Quarterly Report
Page two

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies:
No funds were spent during the quarter primarily because we were spending a lot of time on other projects, focusing most of our energy on sampling at UCR site. Otherwise we would be more involved in actions at the Colville Post and Pole Site.
3. Comparison of the estimated funds spent to date to planned expenditures
Funds Expended during the quarter: Environment International billed 0 hours. There is approximately \$2,332 remaining in 2004 funds. Amendment 7 was processed and approved during the quarter adding \$30,000 to the tribe for technical assistance anticipating additional work and wrap up on various sites where EPA is conducting work. None of the new funds have been spent as the award was just finalized and contract modifications have not been processed for our contractor.
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
92% of the 2004 budget expended at the end of the quarter, new funds in the amount of \$30,000 has not been spent.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer
Jolene Francis, Accounting File Copy



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 10
1200 Sixth Avenue
Seattle, WA 98101

October 25, 2004

Reply To
Attn Of: ECL-115

Patti Stone
Colville Confederated Tribes
Grant # V-98072501-6
P.O. Box 150
Nespelem, Washington 99155

RE: Post Award Monitoring

Dear Ms. Stone:

On October 25, 2004, the Environmental Protection Agency (EPA), Monica Tonel, from Office of Environmental Cleanup, conducted a programmatic desk-review (off-site) as part of a post-award monitoring for your EPA assistance agreement No. V-98072501-6. We appreciate the time and effort you took during our discussion. It is our hope that this effort will assist the Colville Confederated Tribes in effectively managing their assistance agreement and create an open dialogue between EPA and your organization.

Purpose of discussion: Conduct Post Award Monitoring review of assistance agreement # V-98072501-6.

Describe the grant work-plan commitments: Under this agreement, the Colville Confederated Tribes are committed to participate in the review of PA/SI-related technical documents and be involved in the discussion & coordination of ongoing CERCLA activities at the Upper Columbia River, e.g., mines/mills sites, etc. The Colville Tribes provide technical input to EPA's PA/SI activities and CERCLA-related work at the Upper Columbia River watershed to see that tribal resource interests are represented.

Discuss previous recommendations if any exist: A recommendation made during the prior assistance review was that the Colville Tribes include in its quarterly reports the estimated number of technical hours spent on tasks related to the PA/SI agreement. Subsequent quarterly reports have addressed this recommendation.

2. Program Synopsis: Address all of the core areas that apply to the agreement.

Core Area	Yes	No <u>(Please provide detail)</u>	N/A
Is payment history consistent with progress to date?	X		
Is the work under the agreement on schedule?	X		
Is the actual work being performed within the scope of the recipient's work plan?	X		
Are the recipient's staff and facilities appropriate to handle the work under the agreement?	X		
Are the products/progress reports submitted on time?	X		
Are the products/progress reports acceptable?	X		
Has the recipient complied with the programmatic terms and conditions on the award?	X		
Did the recipient purchase equipment as planned in the agreement?			X
Has the equipment been used as planned in the agreement?			X

3. Findings: (Check all that apply)

- | | |
|--|---|
| <input checked="" type="checkbox"/> None | <input type="checkbox"/> Possible Conflict of Interest |
| <input type="checkbox"/> Possible Lobbying | <input type="checkbox"/> No Accounting Manual |
| <input type="checkbox"/> No Written Procurement Procedures | <input type="checkbox"/> No Procurement Conflict of Interest Policy |
| <input type="checkbox"/> No Cost or Price Analysis | <input type="checkbox"/> Commingling of Funds |
| <input type="checkbox"/> Unallowable Costs | <input type="checkbox"/> Payroll Problems |
| <input type="checkbox"/> No Travel Policy | <input type="checkbox"/> Undocumented Cost Share |
| <input type="checkbox"/> Internal Controls Missing | <input type="checkbox"/> Progress Reports |
| <input type="checkbox"/> Program Income | <input type="checkbox"/> Quality Assurance |
| <input type="checkbox"/> A-133 Audit | <input type="checkbox"/> Noncompliance with Terms and Conditions |
| <input type="checkbox"/> Property Management Findings | <input type="checkbox"/> Indirect Cost Issues |
| <input type="checkbox"/> Labor Distribution Findings | <input type="checkbox"/> Cash Management |
| <input type="checkbox"/> Sub-recipient/Contract Monitoring | <input type="checkbox"/> Other/please explain: |

4. Successes (Commendations)

Under this assistance agreement, the Colville Confederated Tribes have been able to provide technical input on several Preliminary Assessments (PA) and Site Investigations (SI). Technical information and input provided by the Colville Tribes has been valuable to EPA activities at the Upper Columbia River.

5. Recommendations/Suggestions (Opportunities for Improvement)

- ▶ Program Suggestions and Recommendations should be defined as either major or minor;
- ▶ All recommendations should have corresponding routes to/for resolution specified in the report;
- ▶ When major recommendations are made, EPA should explicitly require the recipient to develop and submit a program enhancement plan to address the major recommendation;
- ▶ Recipient Recommendations and Suggestion;
- ▶ Recommendations for the Grants Office if any;

- ➡ MINOR: None.
- ➡ MAJOR: None.

If you have any questions, please feel free to contact me at (206) 553-0323.

Sincerely,



Monica Tonel
Project Officer
USEPA Region 10



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 10
1200 Sixth Avenue
Seattle, WA 98101

November 10, 2004

Reply To
Attn Of: OMP-145

John Duncan, Comptroller
Colville Confederated Tribes
P.O. Box 150
Nespelem, WA 99155

Subject: Closeout of Assistance Agreement No. V-980725-01
PA/SI Cooperative Agreement

Dear Mr. Duncan:

The assistance agreement referenced above ends on December 31, 2004. To closeout this agreement, all applicable items must be submitted within **90 days** after the end date of the agreement. The enclosed Closeout Guide will help you determine which of the following forms **must** be submitted.

- Final Payment Request
- Final Financial Status Report (FSR) - see note below regarding interim FSR's
- Final Technical/Performance Report
- Minority/Women's Business Enterprises Utilization Report
- Lobbying and Litigation Certification
- Inventory Report for Equipment, Supplies, Federally-Owned Property, Real Property
- Invention Disclosure Report

If an **extension** is needed to complete the work, you must submit a written request for approval to the EPA Project Officer referenced on the subject agreement. Requests must contain the following information:

- The additional time needed to complete project objectives
- Justification for the extension which explains the need for the extension, includes the status of current work plan, and summarizes those objectives which remain to be completed
- Estimate of funds remaining

An **annual/interim Financial Status Report (FSR)** may be required if an extension is approved. Please see the conditions of your Assistance Agreement.

Should you have any questions regarding the above, please call me at (206) 553-5780 or the EPA Grants Specialist referenced on the subject agreement.

Sincerely,

Wendy Wasson
Grants Assistant

Enclosures

cc: Monica Tonel, ECL-115



The Confederated Tribes of the Colville Reservation
P.O. Box 150, Nespelem, WA 99155

(509) 634-2200
FAX: (509) 634-4116



January 3, 2005

Ms. Armina Nolan
Grants Administration Unit
US Environmental Protection Agency, R-10
1200 6th Avenue (OMP-145)
Seattle, WA 98101

RE: Extension to Support Agency Agreement

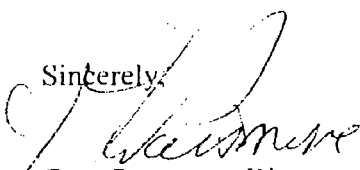
Dear Ms. Nolan:

Please find enclosed the original plus two copies of the Extension to Support Agency Cooperative Agreement for Government to Government involvement of the Colville Confederated Tribes in the PA/SI CERCLA activities. This grant proposal is in the amount of \$30,000 through December 30, 2005.

We have been working with Monica Tonel, Project Manager for EPA on this matter.

Please contact Patti Stone at 509-634-2415 if you have any questions on this application.

Sincerely,


Gary Passmore, Director
Office of Environmental Trust

Cc: Patti Stone

05 JAN -5 PM 4:38

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0049

1. TYPE OF SUBMISSION: <input type="checkbox"/> Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction <input type="checkbox"/> Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED 11/5/04	Applicant Identifier 3. DATE RECEIVED BY STATE 11/5/04	State Application Identifier 4. DATE RECEIVED BY FEDERAL AGENCY 11/5/04	Federal Identifier
---	--	-------------------------------------	--	---	-----------------------------------

5. APPLICANT INFORMATION Legal Name: Confederated Tribes of the Colville Reservation Address (give city, county, State, and zip code): P.O. Box 150, Nespelem, WA 99155 OKANOGAN COUNTY		Organizational Unit: Office of Environmental Trust Name and telephone number of person to be contacted on matters involving this application (give area code): Patti Stone, 509-634-2415
---	--	---

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 91-0557683	7. TYPE OF APPLICANT: (enter appropriate letter in box) <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> A. State B. County C. Municipal D. Township E. Interstate F. Intermunicipal G. Special District </div> <div style="width: 48%;"> H. Independent School Dist. I. State Controlled Institution of Higher Learning J. Private University K. Indian Tribe L. Individual M. Profit Organization N. Other (Specify) _____ </div> </div> <div style="text-align: right; border: 1px solid black; width: 20px; height: 20px; line-height: 20px; margin: 0 auto;">K</div>
---	---

8. TYPE OF APPLICATION: <div style="display: flex; justify-content: space-around;"> <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision </div> If Revision, enter appropriate letter(s) in box(es) A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other(specify): _____	9. NAME OF FEDERAL AGENCY: US ENVIRONMENTAL TRUST
---	---

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 66-802 TITLE:	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Cooperative Agency Assistance Agreement, CERCA PAISI Extension
--	--

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Colville Indian Reservation OKANOGAN, Ferry Counties, Washington		13. PROPOSED PROJECT Start Date: 1/1/05 Ending Date: 12/30/05
---	--	--

14. CONGRESSIONAL DISTRICTS OF: 5th District	15. ESTIMATED FUNDING: <table border="1" style="width:100%; border-collapse: collapse;"> <tr><td>a. Federal</td><td>\$</td><td>30,000.00</td></tr> <tr><td>b. Applicant</td><td>\$</td><td></td></tr> <tr><td>c. State</td><td>\$</td><td></td></tr> <tr><td>d. Local</td><td>\$</td><td></td></tr> <tr><td>e. Other</td><td>\$</td><td></td></tr> <tr><td>f. Program Income</td><td>\$</td><td></td></tr> <tr><td>g. TOTAL</td><td>\$</td><td>30,000.00</td></tr> </table>	a. Federal	\$	30,000.00	b. Applicant	\$		c. State	\$		d. Local	\$		e. Other	\$		f. Program Income	\$		g. TOTAL	\$	30,000.00
a. Federal	\$	30,000.00																				
b. Applicant	\$																					
c. State	\$																					
d. Local	\$																					
e. Other	\$																					
f. Program Income	\$																					
g. TOTAL	\$	30,000.00																				

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS? a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____ b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input checked="" type="checkbox"/> No
--	--

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.		
a. Type Name of Authorized Representative Joseph A. Pakoutas	b. Title Chairman	c. Telephone Number 509-634-24215
Signature of Authorized Representative [Signature]		e. Date Signed 12/16/04

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. CERCLA PAISI	46-802	\$ 0	\$	\$ 30,000	\$	\$ 30,000
2.						
3.						
4.						
5. Totals		\$ 0	\$	\$ 30,000	\$	\$ 30,000

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) 2005 PAISI	(2)	(3)	(4)	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual					
g. Construction					
h. Other					
i. Total Direct Charges (sum of 6a-6h)					
j. Indirect Charges	\$				
k. TOTALS (sum of 6i and 6j)	\$ 30,000	\$	\$	\$	\$ 30,000
7. Program Income	\$ 0	\$	\$	\$	\$

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Previous Edition Usable

Standard Form 424A (Rev. 7-97)
Prescribed by OMB Circular A-102

SECTION C - NON-FEDERAL RESOURCES				
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. CERCLA PAISI	\$ 0	\$ 0	\$ 0	\$ 0
9.				
10.				
11.				
12. TOTAL (sum of lines 8-11)	\$ 0	\$ 0	\$ 0	\$ 0

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 30,000	\$	\$ 15,000	\$ 15,000	\$
14. Non-Federal					
15. TOTAL (sum of lines 13 and 14)	\$	\$	\$ 15,000	\$ 15,000	\$

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT				
(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16. CERCLA PAISI	\$	\$	\$	\$
17.				
18.				
19.				
20. TOTAL (sum of lines 16-19)	\$ 0	\$ 0	\$ 0	\$ 0

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges: 30,000	22. Indirect Charges: 0
23. Remarks:	

NOTE: This form must be submitted with each application.



EPA Project Control Number

United States Environmental Protection Agency
Washington, DC 20460

**Certification Regarding
Debarment, Suspension, and Other Responsibility Matters**

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of the certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Typed Name & Title of Authorized Representative

Joseph A. Pakootas - Chairman, Colville Business Council- Confederated Tribes of the
Colville Indian Reservation

Signature of Authorized Representative

Date

Joseph A. Pakootas

12-16-04

☐

I am unable to certify to the above statements. My explanation is attached.

Extension to
SUPPORT AGENCY COOPERATIVE AGREEMENT
FOR GOVERNMENT TO GOVERNMENT INVOLVEMENT
OF THE COLVILLE CONFEDERATED TRIBES
In EPA Preliminary Assessment and Site Investigation activities under CERCLA

The purpose of this proposed Cooperative Agreement is for Tribal involvement at sites determined by EPA to be eligible for preliminary assessment (PA) and site investigation (SI) work under the Comprehensive Environmental Response, Compensation and Liability Act (CERCLA). Sites of interest to the Colville Confederated Tribes (CCT) include those that have impacted or may potentially impact tribal reservation lands and/or resources. This Cooperative Agreement is not intended to supersede the existing *Memorandum of Agreement (MOA)* between the CCT and EPA.

Performance Period

January 1, 2005 through December 31, 2005

PA and SI Tasks/Activities

CCT proposes that \$30,000 be funded during this performance period. Sites identified include *but are not limited to* a number of mines and mills near the Columbia River drainage area in northeast Washington. CCT and their consultant, currently under contract, will interact with EPA and its authorized contractors to see that CCT resource interests are represented *in EPA's assessment and evaluation of these sites*. The CCT and their consultant will provide technical input to the various PA and SI actions through oral or written communications to the Tribe and EPA.

Objective 1. Participation in Meetings

The Tribe will assist EPA in the development and coordination of one meeting with Colville Tribal membership. Consultants will assist the Tribe with technical expertise in community outreach for the Upper Columbia River CERCLA activities to provide adequate information to the tribal membership and general public.

The Tribe with the assistance of their consultants will participate in technical and consultation meetings with EPA officials relating to ongoing CERCLA PA and SI issues and activities related to other CERCLA sites resulting from the initial UCR site assessments.

Objective 2. Field Activities

The Tribe will coordinate with EPA on planned PA and SI field activities including scheduled visits to sites where consent for access to CCT representatives has been provided. Circumstances may arise that CCT may need to re-visit sites for pertinent information.

Page two

Potential site visits include mines/mills near the Sanpoil River watershed identified in the PA Petition submitted by CCT to EPA, dated August 2000. Specifically, Mountain Lion Mine/Mill, South Penn Mine, Hecla Concentrator, Republic Concentrator, NWP&R Co., Knob Hill Mine and other sites including the Northport/LeRoi Smelter, Colville Post and Pole Plant and mines in the Pend Oreille River watershed.

Objective 3. Review and Comment of Documents

The Tribe will review and comment on draft PA and SI related reports generated by EPA and their consultants. Consultants may be involved in conference calls with EPA and their consultants regarding site activities. CCT consultants will provide the Tribe with technical expertise in CERCLA and program-related environmental issues regarding ongoing issues and activities where EPA has ongoing activities within the watershed. The consultants will develop a summary of comments and recommendations.

Budget Narrative, 2005

Salaries	0
Fringe	0
Travel	0
Equipment	0
Supplies	0
Contractual	████████
Construction	0
Other	0
Direct Charges	████████
Indirect Charges	0
Grand Total	30,000

Grant funds will be utilized to retain existing tribal consultants to assist the CCT in conducting the tasks identified in this request.

Environment International, a qualified consulting firm is currently under contract to provide technical assistance to the CCT. This consultant will provide necessary assistance to the CCT per these described tasks and within these budget limits. Environment International has provided valuable assistance to date and worked cooperatively with all parties involved.

**2005 CERCLA Technical Support Budget Proposal
Confederated Tribes of the Colville Indian Reservation**

Environment International

Technical Assistance to the CCT to conduct interaction with EPA on technical matters involving the Upper Columbia River site and other CERCLA issues including all current PA and SI activities.

Principal	80 hours @ [REDACTED]/hr	[REDACTED]
Sr. Scientist	61 hours @ [REDACTED]/hr	[REDACTED]
Sr. Chemical Eng.	40 hours @ [REDACTED]/hr	[REDACTED]
Toxicologist	10 hours @ [REDACTED]/hr	[REDACTED]

sub-total

Technical Assistance to the CCT to attend all relevant meetings regarding sites with ongoing CERCLA PA and/or SI activities.

3 meetings estimated
Principal

3) 32 hrs @ [REDACTED] hr

Airfare for 2 meetings
rental car for 1 meetings

2 @ [REDACTED] trip
1 @ [REDACTED] day

sub-total

Total EI Budget

GRAND TOTAL CERCLA 2004 BUDGET

\$ 30,026

BUDGET INFORMATION - Non-Construction Programs**SECTION A - BUDGET SUMMARY**

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	0.00
2.						0.00
3.						0.00
						0.00
5. Totals		\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
a. Personnel					0.00
b. Fringe Benefits					0.00
c. Travel					0.00
d. Equipment					0.00
e. Supplies					0.00
f. Contractual					0.00
g. Construction					0.00
h. Other					0.00
i. Total Direct Charges (sum of 6a-6h)	0.00	0.00	0.00	0.00	0.00
j. Indirect Charges					0.00
k. TOTALS (sum of 6i and 6j)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00



The Confederated Tribes of the Colville Reservation
P.O. Box 150, Nespelem, WA 99155

(509) 634-2200
FAX: (509) 634-4116



February 26, 2004

EPA Grants and Acquisitions Unit
ATTENTION: Art Aurdahl
Environmental Protection Agency
1200 Sixth Avenue, OMP 145
Seattle, WA 98101-1128

RE: "Bundling Letter"

Dear Sir:

Enclosed is the Annual Bundling Letter and Annual Certification Process for EPA Assistance Applications for 2004. These documents are for EPA grant applications submitted by the Confederated Tribes of the Colville Indian Reservation (Colville Confederated Tribes).

Any questions may be directed to me at telephone number (509) 634-2426; FAX 509 634-2426.

Sincerely yours

Gary W. Passmore, Director
Environmental Trust Department

Attachments
gts

subject file 6050
office ch

SAMPLE BUNDLING LETTER
(Optional Form)

ATTENTION: BUNDLING LETTER

TO: EPA Grants Administration Unit
1200 Sixth Ave., OMP-145
Seattle, WA 98101

RE: Annual Certification Process for EPA Assistance Applications

(Applicant's Name) Confederated Tribes of the Colville Reservation is submitting the following certifications in response to EPA's policy which allows recipients who receive multiple grants or cooperative agreements to submit a single set of certifications annually for all EPA applications:

- ☐ Assurances - Non-Construction Programs (SF-424B)
- ☐ Preaward Compliance Review Report (EPA Form 4700-4)
- ☐ Procurement System Certification (EPA Form 5700-48) - only required if applying for Superfund/Brownfields assistance
- ☐ Survey of Recipient's Management Systems (OPTIONAL FORM)

These certifications are to cover the following future anticipated applications:
(i.e., "all continuing environmental programs" or "all programs")

all continuing environmental programs

It is understood that these certifications are valid from January 1, 2004 - through December 31, ~~2004~~.

We shall provide immediate written notification to EPA if any changes occur during this certification period, or if any of the annual certifications do not apply to a particular assistance application.

The following individual is the point of contact for the enclosed certifications:

Name: Gary W. Passmore, Director
Title: Office of Environmental Trust
Address: Colville Confederated Tribes
PO BOX 150 NESPELEM, WA 99155
Phone No. (509) 634-2426

Applicant's Authorized Representative

Date

KEY CONTACTS

AUTHORIZED REPRESENTATIVE - This is the individual who has the authority to sign the application for Federal Assistance (SF-424) and execute the Agreement on behalf of the applicant.

Circle One (Mr) Mrs. Ms.)

NAME: _____ Joseph A. Pakootas _____

TITLE: _____ Chairperson, Confederated Tribes of the Colville Reservation _____

TELEPHONE: 509 634-2200 _____ FAX: 509 634-4116 _____

E-MAIL ADDRESS: joe.pakootas@colvilletribes.com

PROGRAM/PROJECT MANAGER - This is the individual who is responsible for the management of the Project for the applicant.

Circle One (Mr) Mrs. Ms.)

NAME: _____ Gary W. Passmore _____

TITLE: _____ Director, Environmental Trust _____

TELEPHONE: 509 634-2426 _____ FAX: 509 634-2427 _____

E-MAIL ADDRESS: gary.passmore@colvilletribes.com

FINANCIAL REPRESENTATIVE - This is the individual who has been assigned responsibility for the maintenance of the accounting and financial management system for the applicant.

Circle One (Mr) Mrs. Ms.)

NAME: _____ John Duncan _____

TITLE: _____ Comptroller _____

TELEPHONE: 509 634-2857 _____ FAX: 509 634-2898 _____

E-MAIL ADDRESS: john.duncan@colvilletribes.com

PAYEE ADDRESS - If different than recipient address:

NAME: _____

ADDRESS: _____

CITY, STATE, ZIP: _____

optional

ASSURANCES - NON-CONSTRUCTION PROGRAMS

OMB Approval No. 0348-0040

Public-reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL		TITLE	
<i>Joseph A. Sakoot</i>		Chairman Colville Business Council	
APPLICANT ORGANIZATION		DATE SUBMITTED	
Colville Confederated Tribes		2-19-04	

PLEASE NOTE: Questions concerning completion of this form should be directed to
Cecilia Contreras at (206) 553-2899.



United States Environmental Protection Agency
Washington, DC 20460

Preaward Compliance Review Report for All Applicants Requesting Federal Financial Assistance

FORM Approved
OMB No. 2090-001

Note: Read instructions on reverse side before completing form.

I. A. Applicant (Name, City, State)

Colville Confederated Tribes
PO Box 150 Nespelem, WA 99155

B. Recipient (Name, City, State)

Colville Confederated Tribes
Nespelem, WA 99155

C. EPA Project No.

II. Brief description of proposed project, program or activity.

III. Are any civil rights lawsuits or complaints pending against applicant and/or recipient?
If yes, list those complaints and the disposition of each complaint.

☐ Yes ☒ No

IV. Have any civil rights compliance reviews of the applicant and/or recipient been conducted by any Federal agency during the two years prior to this application for activities which would receive EPA assistance?
If yes, list those compliance reviews and status of each review.

☐ Yes ☒ No

V. Is any other Federal financial assistance being applied for or is any other Federal financial assistance being applied to any portion of this project, program or activity?
If yes, list the other Federal Agency(s), describe the associated work and the dollar amount of assistance.

☐ Yes ☒ No

VI. If entire community under the applicant's jurisdiction is not served under the existing facilities/services, or will not be served under the proposed plan, give reasons why.

VII. Population Characteristics

Number of People

1. A. Population of Entire Service Area

B. Minority Population of Entire Service Area

2. A. Population Currently Being Served

B. Minority Population Currently Being Served

3. A. Population to be Served by Project, Program or Activity

B. Minority Population to be Served by Project, Program or Activity

4. A. Population to Remain Without Service

B. Minority Population to Remain Without Service

VIII. Will all new facilities or alterations to existing facilities financed by these funds be designed and constructed to be readily accessible to and usable by handicapped persons?
If no, explain how a regulatory exception (40 CFR 7.70) applies.

☒ Yes ☐ No

IX. Give the schedule for future projects, programs or activities (or of future plans), by which services will be provided to all beneficiaries within applicant's jurisdiction. If there is no schedule, explain why.

X. I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law.

A. Signature of Authorized Official

Joseph A. Sakamoto

B. Title of Authorized Official

Chairman - Colville Confederated Tribes

C. Date

02/19/2004

For the U.S. Environmental Protection Agency

☐ Approved ☐ Disapproved


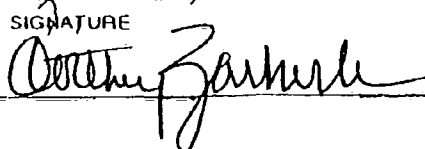
Authorized EPA Official

Date

2-19-04

EPA Form 4700-4 (Rev. 1/90) Previous editions are obsolete.

NOTE: Required if applying for Superfund assistance.

 UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, DC 20460		Form Approved OMB No 2000-0453
PROCUREMENT SYSTEM CERTIFICATION		
APPLICANT'S NAME Confederated Tribes of the Colville Indian Reservation	ASSISTANCE APPLICATION NUMBER	
APPLICANT'S ADDRESS P. O. Box 150 Nespelem, WA 99155		
SECTION I - INSTRUCTIONS		
<p>The applicant must complete and submit a copy of this form with each application for EPA Assistance. If the applicant has certified its procurement system to EPA within the past 2 years and the system has not been substantially revised, complete Part A in Section II, then sign and date the form. If the system has not been certified within the past 2 years, complete Part B, then sign and date the form.</p>		
SECTION II - CERTIFICATION		
A. I affirm that the applicant has within the past 2 years certified to EPA that its procurement system compiles with 40 CFR Part 35 and that the system meets the requirements in 40 CFR Part 35. The date of the applicant's latest certification is:	MONTH/YEAR	
B. Based upon my evaluation of the applicant's procurement system, I, as authorized representative of the applicant: (Check one of the following.)		
<input checked="" type="checkbox"/> 1. CERTIFY that the applicant's procurement system will meet all of the requirements of 40 CFR Part 35 before undertaking any procurement action with EPA assistance.		
Please furnish citations to applicable procurement ordinances and regulations		
<input type="checkbox"/> 2. DO NOT CERTIFY THE APPLICANT'S PROCUREMENT SYSTEM. The applicant agrees to follow the requirements of 40 CFR Part 35, including the procedures in Appendix A, and allow EPA preaward review of proposed procurement actions that will use EPA assistance.		
TYPED NAME AND TITLE Dorthey Zacherle Acting Contract Officer	SIGNATURE 	DATE 2/23/04

EPA Form 5700-48 Previous edition is obsolete

See instructions on reverse side

Survey of Recipient's Management Systems

Applicants for assistance under EPA agreements are required to have an adequate financial management system and audit procedure which provides efficient and effective accountability and control of all property, funds, and assets related to the agreement. This survey indicates the accounting standards that EPA considers basic to adequate financial management. Please mark each of these questions, YES, NO, or N/A, to help us and you determine whether your present systems can meet these requirements. The signed completed form can be returned to:

Environmental Protection Agency
Grants Administration Unit
1200 Sixth Avenue, OMP-145
Seattle, Washington 98101

Accounting

- YES 1) Have project accounting records been established to record the costs applicable to the EPA agreement and other direct activities?
- YES 2) Are all costs of a project posted to these records? Are the records used as the basis for vouchers and Financial Status Reports submitted to EPA?
- YES 3) Before posting, are costs reviewed for reasonableness, allowability, and allocability to the project?
- YES 4) Are project accounts broken into subaccounts by program element or cost objective?
- YES 5) Is appropriate documentation maintained to support the costs of:
- YES a) personnel?
- YES b) fringe benefits?
- YES c) travel?
- YES d) purchases of material, supplies, and equipment?
- YES e) consultants?
- YES f) other costs?
- YES 6) Are costs posted in these records reflected on and reconciled with control accounts contained in the general ledger?
- YES 7) Are accounting records subjected to an independent audit at least every two years?

Optional

Personnel

- YES 8) Do personnel and/or payroll records support the time and attendance, leave, and earnings for all employees?
- YES 9) Are time distribution records maintained to show the amount of time spent on each project covered under the agreement, as well as time spent on other projects? (These records are required for all personnel spending less than full time on an individual project).
- YES 10) Are there controls to assure that personnel costs are distributed in accordance with the time distribution records?
- YES 11) Are there established procedures to govern the charges of personnel time related to partners or principals in closely held corporations?
- YES 12) Are there formal procedures regarding bonuses, retirement plans, and/or profit sharing?

Travel

- YES 13) Are there established policies to govern reimbursement for travel?
- YES 14) Do these policies require travel vouchers to be submitted which:
a) show the time and purpose of the travel?
b) clearly indicate the nature of expenses being claimed?
c) require the submission of supporting documentation?

Procurement

- YES 15) Are there established procedures to assure that professional services, equipment, material, and/or supplies requested are really needed?
- YES 16) Are existing supplies or inventories reviewed to assure that requested items are not already available?
- YES 17) Are there formal procurement procedures to assure that equipment, material, and supplies are obtained on a competitive basis?
- YES 18) Are there procedures to assure that the type of contract utilized is appropriate for the procurement being undertaken?
- YES 19) Are there controls to assure that types of contracts unacceptable to the Federal Government are not utilized?
- YES 20) Are Minority and Women Owned Businesses included in solicitations?
- YES 21) Are solicitations obtained from several sources to assure that the most qualified party is selected?

Obligations

- YES 24) Are there procedures to assure that reported obligations are supported by purchase orders, contracts, etc.?
- YES 25) Do these procedures require that obligations are periodically reviewed with regard to their validity?
- YES 26) Do these procedures require the timely liquidations of obligations?

Indirect Costs

If indirect costs are claimed under this agreement:

- YES 27) If the indirect cost rate is negotiated with a Federal Agency, a copy of the negotiated agreement must be sent to Grants and Acquisitions Unit.

Current rate proposal has been approved _____ pending _____.

- _____ 28) If the indirect cost rate is not negotiated with a Federal Agency:
- _____ a) Is there a cost allocation plan to indicate which costs are considered direct costs as opposed to indirect costs?
 - _____ b) Are unallowable costs separately identified and/or excluded from the indirect cost proposal?
 - _____ c) Are items of the same nature which are charged as direct costs excluded from the indirect cost proposal?
 - _____ d) Are indirect costs accumulated in more than one pool?

A copy of the cost allocation plan may be requested.

I certify that the answers to these questions accurately reflect the management systems.

Deborah Zuercher *acting*
Signature of Authorized Representative
Contracting Officer

2/23/04
Date

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLA Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number, Invitation for Bid (IFB) number, grant announcement number, the contract, grant, or loan award number, the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLA Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

NOTE: This form must be submitted with each application.



EPA Project Control Number

United States Environmental Protection Agency
Washington, DC 20460

Certification Regarding Debarment, Suspension, and Other Responsibility Matters

The prospective participant certifies to the best of its knowledge and belief that it and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of the certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

I understand that a false statement on this certification may be grounds for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Joseph Pakootas, Chairman, Colville Business Council

Typed Name & Title of Authorized Representative

Joseph A. Pakootas

Signature of Authorized Representative

2-19-04

Date

☐ I am unable to certify to the above statements. My explanation is attached.

NOTE: Must be completed if applying for assistance over \$100,000.

ANTI-LOBBYING ACT OF 1990
APPLICANT CERTIFICATION

This Certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this Certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required Certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The undersigned certifies to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award document for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

2-19-04

Date

Joseph A. Sakosta
Signature of Authorized Representative

General

Recipients of Federal financial assistance from the U.S. Environmental Protection Agency must comply with the following statutes.

Title VI of the Civil Rights Acts of 1964 provides that no person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance. The Act goes on to explain that the title shall not be construed to authorize action with respect to any employment practice of any employer, employment agency, or labor organization (except where the primary objective of the Federal financial assistance is to provide employment).

Section 13 of the 1972 Amendments to the Federal Water Pollution Control Act provides that no person in the United States shall on the ground of sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under the Federal Water Pollution Control Act, as amended. Employment discrimination on the basis of sex is prohibited in all such programs or activities.

Section 504 of The Rehabilitation Act of 1973 provides that no otherwise qualified handicapped individual shall solely by reason of handicap be excluded from participation in, be denied the benefit of, or be subjected to discrimination under any program or activity receiving Federal financial assistance. Employment discrimination on the basis of handicap is prohibited in all such programs or activities.

The Age Discrimination Act of 1975 provides that no person on the basis of age shall be excluded from participation under any program or activity receiving Federal financial assistance. Employment discrimination is not covered. Age discrimination in employment is prohibited by the Age Discrimination in Employment Act administered by the Equal Employment Opportunity Commission.

Title IX of the Education Amendments of 1972 provides that no person on the basis of sex shall be excluded from participation in, be denied the benefit of, or be subjected to discrimination under any education program or activity receiving Federal financial assistance. Employment discrimination on the basis of sex is prohibited in all such education programs or activities. Note: an education program or activity is not limited to only those conducted by a formal institution.

The information on this form is required to enable the U.S. Environmental Protection Agency to determine whether applicants and prospective recipients are developing projects, programs and activities on a nondiscriminatory basis as required by the above statutes.

Submit this form with the original and required copies of applications, requests for extensions, requests for increase of funds, etc. Updates of information are all that are required after the initial application submission.

If any item is not relevant to the project for which assistance is requested, write "NA" for "Not Applicable."

In the event applicant is uncertain about how to answer certain questions, EPA program officials should be contacted for clarification.

EPA FORM 4700-4 (Rev. 1/90) Reverse

- IA. "Applicant" means any entity that files an application or unsolicited proposal or otherwise requests EPA assistance.
- IB. "Recipient" means any entity, other than applicant, which will actually receive EPA assistance.
- IC. Self-explanatory.
- II. Self-explanatory.
- III. "Civil rights lawsuits" means any lawsuit or complaint alleging discrimination on the basis of race, color, national origin, sex, age, or handicap pending against the applicant and/or entity which actually benefits from the grant. For example, if a city is the named applicant but the grant will actually benefit the Department of Sewage, civil rights lawsuits involving both the city and the Department of Sewage should be listed.
- IV. "Civil rights compliance review" means any review assessing the applicant's and/or recipient's compliance with laws prohibiting discrimination on the basis of race, color, national origin, sex, age, or handicap. If any part of the review covered the entity which will actually benefit from the grant, it should be listed.
- V. Self-explanatory.
- VI. The word "community" refers to the area under the applicant's and/or recipient's jurisdiction. The "community" might be a university or laboratory campus, or a community within a large city. If there is significant disparity between minority and nonminority populations to receive service, not otherwise satisfactorily explained, the Regional office may require a map which indicates the minority and nonminority population served by this project, program or activity.
- VII. This information is required so that reviewers may determine if a disparity in the proposed provision of services will exist in the event the application is approved for funding. Give population of recipient's jurisdiction, broken out by categories as specified.

In the event the applicant cannot provide the requested information because the funds will be distributed over a wide demographic area which is yet to be determined, an explanation may be provided on a separate sheet. For example, a State applying for a capitalization grant under the State Revolving Fund program may not know which cities and counties will apply for, and receive, SRF loans.
- VIII. Self-explanatory.
- IX. "Jurisdiction" means the geographical area over which applicant has the authority to provide service.
- X. Self-explanatory.

"Burden Disclosure Statement"

EPA estimates public reporting burden for the preparation of this form to average 30 minutes per response. This estimate includes the time for reviewing instructions, gathering and maintaining the data needed and completing and reviewing the form. Send comments regarding the burden estimate, including suggestions for reducing this burden, to Chief, Information Policy Branch, PM-223, U.S. Environmental Protection Agency, 401 M Street, S.W., Washington, D.C. 20460; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503.

Instructions for Completion of SF-LLL, Disclosure of Lobbying Activities

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or any employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box (es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box (es). Check all boxes that apply. If other, specify nature.
14. Provide specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just the time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a continuation sheet(s) are attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public Reporting Burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Please do not return your completed form to the Office of Management and Budget; send it to the address provided by the sponsoring agency. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Authorized for Local Reproduction
Standard Form-LLL (7/97)

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AUG - 5 2004

**Indian Organizations
Indirect Cost Negotiation Agreement**

EIN: 91-0557683

Organization:

Confederated Tribes of the
Colville Reservation
P.O. Box 150
Nespelem, Washington 99155

Date: July 20, 2004**Report No(S):** 04-A-528(02)

04-A-529(03)

Filing Ref.: 04-A-530(04)Last Negotiation Agreement
dated March 6, 2001

The indirect cost rates contained herein are for use on grants and contracts with the Federal Government to which Public Law 93-638 and Office of Management and Budget Circular A-87 apply, subject to the limitations contained in 25 CFR, Part 900, and in Section II.A. of this agreement. The rates were negotiated by the U.S. Department of the Interior, National Business Center, and the subject organization in accordance with the authority contained in the Circular.

Section I: Rates

Type	Effective Period		Rate*	Locations	Applicable To
	From	To			
Fixed Carryforward	10/01/01	09/30/02	██████%	All	All Programs
Fixed Carryforward	10/01/02	09/30/03	██████%**	All	BIA Programs
Fixed Carryforward	10/01/02	09/30/03	██████%	All	All Other
Fixed Carryforward	10/01/03	09/30/04	██████%**	All	BIA Programs
Fixed Carryforward	10/01/03	09/30/04	██████%	All	All Other

*Base: Total direct salaries and wages, excluding fringe benefits. The rate applies to all programs administered by the Tribe. To determine the amount of indirect costs to be billed under this agreement, direct salaries and wages should be summed and multiplied by the rate. All other program costs, including fringe benefits associated with direct salaries and wages, should be eliminated from the calculation.

**The rate for BIA programs was calculated using the Benchmarking Methodology stipulated in the Equitable Relief Orders filed by the U. S. District Court of New Mexico on June 1, 2001, August 5, 2002, and June 11, 2003.

Treatment of fringe benefits: Fringe benefits applicable to direct salaries and wages are treated as direct costs; fringe benefits applicable to indirect salaries and wages are treated as indirect costs.

Section II: General

Page 1 of 3

A. Limitations: Use of the rates contained in this agreement is subject to any applicable statutory limitations. Acceptance of the rates agreed to herein is predicated upon these conditions: (1) no costs other than those incurred by the subject organization were included in its indirect cost rate proposal, (2) all such costs are the legal obligations of the grantee/contractor, (3) similar types of costs have been accorded consistent treatment, and (4) the same costs that have been treated as indirect costs have not been claimed as direct costs (for example, supplies can be charged directly to a program or activity as long as

these costs are not part of the supply costs included in the indirect cost pool for central administration).

B. Audit: All costs (direct and indirect, federal and non-federal) are subject to audit. Adjustments to amounts resulting from audit of the cost allocation plan or indirect cost rate proposal upon which the negotiation of this agreement was based will be compensated for in a subsequent negotiation agreement.

C. Changes: The rates contained in this agreement are based on the organizational structure and the accounting system in effect at the time the proposal was submitted. Changes in organizational structure, or changes in the method of accounting for costs that affect the amount of reimbursement resulting from use of the rate in this agreement, require the prior approval of the responsible negotiation agency. Failure to obtain such approval may result in subsequent audit disallowance.

D. Provisional/Final Rates: Within 6 months after yearend, a final rate must be submitted based on actual costs. Billings and charges to contracts and grants must be adjusted if the final rate varies from the provisional rate. If the final rate is greater than the provisional rate and there are no funds available to cover the additional indirect costs, the organization may not recover all indirect costs. Conversely, if the final rate is less than the provisional rate, the organization will be required to pay back the difference to the funding agency.

E. Fixed Carryforward Rate: The fixed carryforward rate is based on an estimate of costs that will be incurred during the period for which the rate applies. When the actual costs for such period have been determined, an adjustment will be made to the rate for a future period, if necessary, to compensate for the difference between the costs used to establish the fixed rate and the actual costs.

F. Agency Notification: Copies of this document may be provided to other federal offices as a means of notifying them of the agreement contained herein.

G. Record Keeping: Organizations must maintain accounting records that demonstrate that each type of cost has been treated consistently either as a direct cost or an indirect cost. Records pertaining to the costs of program administration, such as salaries, travel, and related costs, should be kept on an annual basis.

H. Reimbursement Ceilings: Grantee/contractor program agreements providing for ceilings on indirect cost rates or reimbursement amounts are subject to the ceilings stipulated in the contract or grant agreements. If the ceiling rate is higher than the negotiated rate in Section I of this agreement, the negotiated rate will be used to determine the maximum allowable indirect cost.

I. Use of Other Rates: If any federal programs are reimbursing indirect costs to this grantee/contractor by a measure other than the approved rates in this agreement, the grantee/contractor should credit such costs to the affected programs, and the approved rate should be used to identify the maximum amount of indirect cost allocable to these programs.

J. Central Service Costs: Where central service costs are estimated for the calculation of indirect cost rates, adjustments will be made to reflect the difference between provisional and final amounts.

K. Other:

1. The purpose of an indirect cost rate is to facilitate the allocation and billing of indirect costs. Approval of the indirect cost rate does not mean that

an organization can recover more than the actual costs of a particular program or activity.

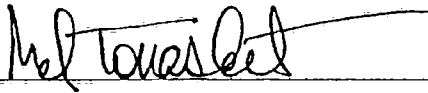
2. Programs received or initiated by the organization subsequent to the negotiation of this agreement are subject to the approved indirect cost rate if the programs receive administrative support from the indirect cost pool. It should be noted that this could result in an adjustment to a future rate.

3. New indirect cost proposals are necessary to obtain approved indirect cost rates for future fiscal or calendar years. The proposals are due in our office 6 months prior to the beginning of the year to which the proposed rates will apply.

Section III: Acceptance

Listed below are the signatures of acceptance for this agreement:

By the Indian Organization:

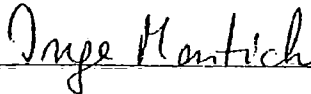
 /s/

Joseph A Pakootas
Name

Chair, Colville Confederated Tribes
Title

7/14/2004
Date

By the Cognizant Federal Government
Agency:

 /s/

Inge Montich
Name

Indirect Cost Coordinator
Indirect Cost Section
Title

U.S. Department of the Interior
National Business Center
Agency

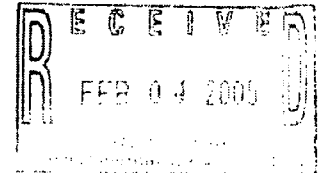
Date July 20, 2004
Negotiated by Steve Dallosta
Telephone (916) 566-7111



Confederated Tribes of the Colville Reservation
Office of Environmental Trust
P. O. Box 150, Nespelem, WA 99155
Phone: 509-634-2415, Fax: 509-634-2427

January 26, 2005

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report



Contract Person: Patti Stone, Environmental Planner *Patti Stone*
Phone: 509-634-2415

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-6

Reporting Period: October 1, 2004 through December 30, 2004

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1, Outreach and Meetings:

No meetings were attended during the quarter.

Objective 2, Field Activities: No field activities during the quarter.




Objective 3, Review, Comments and Technical Assistance: Contractors spent a little time on the Colville Post and Pole site CERCLA activities during the quarter where EPA is commencing an emergency cleanup action.

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies: Work plan items were completed during the year which ended December 31, 2004.

Page two
PA/SI Quarterly Report

3. Comparison of the estimated funds spent to date to planned expenditures
Funds Expended during the quarter for the 2004 budget: Environment
International billed 2 hours for the quarter. 2004 funds expended to date: \$41,458.
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
100% of the 2004 budget is expended at the end of the quarter and budget year.
The tribe has approved the 2005 proposal in the amount of \$30,000 and an application has been sent in to EPA. We are anticipating this contract anytime now.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer
Jolene Francis, Accounting File Copy

	U.S. ENVIRONMENTAL PROTECTION AGENCY Cooperative Agreement		ASSISTANCE ID NO.		DATE OF AWARD 09 FEB 2005	
			PRG	DOC ID		AMEND#
			V - 98072501 - 7			MAILING DATE 18 FEB 2005
			TYPE OF ACTION Revision: Scope & Increase			
RECIPIENT TYPE: Indian Tribe		Send Payment Request to: Region 10 Finance Unit, OMP-146				
RECIPIENT: Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155 EIN: 91-0557683		PAYEE: Colville Confederated Tribes P.O. Box 150 Nespelem, WA 99155				
PROJECT MANAGER Patti Stone P.O. Box 150 Nespelem, WA 99155 E-Mail: patti.stone@colvilletribes.com Phone: (509) 634-2415		EPA PROJECT OFFICER Monica Tonel 1200 Sixth Avenue, ECL-115 Seattle, WA 98101 E-Mail: Tonel.Monica@epamail.epa.gov Phone: 206-553-0323		EPA GRANT SPECIALIST Bob Phillips Grants Administration Unit, OMP-145 E-Mail: Phillips.Bob@epa.gov Phone: 206-553-6367		
PROJECT TITLE AND EXPLANATION OF CHANGES V-98072501-0 Colville Reservation This amendment approves the requested extension to the project and budget periods, the proposed changes to the scope of work and budget, and provides additional EPA funding.						
BUDGET PERIOD 04/01/2000 - 12/30/2005		PROJECT PERIOD 04/01/2000 - 12/30/2005		TOTAL BUDGET PERIOD COST \$253,291.00	TOTAL PROJECT PERIOD COST \$253,291.00	
NOTE: The Agreement must be completed in duplicate and the Original returned to the appropriate Grants Management Office listed below, within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA. Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the Recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.						
OFFER AND ACCEPTANCE						
The United States, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers Assistance/Amendment to the <u>Colville Confederated Tribes</u> for <u>100.00</u> % of all approved costs incurred up to and not exceeding <u>\$253,291</u> for the support of approved budget period effort described in application (including all application modifications) cited in the Project Title and Description above, signed <u>02/12/2004</u> included herein by reference.						
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)			AWARD APPROVAL OFFICE			
ORGANIZATION / ADDRESS EPA Region 10 Mail Code: OMP-145 1200 Sixth Avenue Seattle, WA 98101			ORGANIZATION / ADDRESS U.S. EPA, Region 10 Office of Environmental Cleanup 1200 Sixth Avenue Seattle, WA 98101			
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY						
SIGNATURE OF AWARD OFFICIAL 		TYPED NAME AND TITLE Daniel D. Opalski, Director - Environmental Cleanup Office		DATE 09 FEB 2005		
This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter 1, Subchapter B and of the provisions of this agreement (and all attachments), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.						
BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION						
SIGNATURE 		TYPED NAME AND TITLE Joseph Pakootas, Chairperson		DATE 3-3-05		

V - 98072501 - 7 Page 2

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$ 223,291	\$ 30,000	\$ 253,291
EPA In-Kind Amount	\$ 0	\$	\$ 0
Unexpended Prior Year Balance	\$ 0	\$	\$ 0
Other Federal Funds	\$ 0	\$	\$ 0
Recipient Contribution	\$ 0	\$	\$ 0
State Contribution	\$ 0	\$	\$ 0
Local Contribution	\$ 0	\$	\$ 0
Other Contribution	\$ 0	\$	\$ 0
Allowable Project Cost	\$ 223,291	\$ 30,000	\$ 253,291

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.802 - Superfund State Site Specific Cooperative Agreements	CERCLA: Sec. 104(d)(1)	40 CFR PTS 31 & 35 SUBPT O

Fiscal									
Site Name	DCN	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
MINE/MILLS	QLG011	05	T	0AQ0P	302DD2E	4185	106XMA00	C001	30,000
									30,000

Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$0
2. Fringe Benefits	\$0
3. Travel	\$0
4. Equipment	\$0
5. Supplies	\$0
6. Contractual	\$
7. Construction	\$0
8. Other	\$0
9. Total Direct Charges	\$
10. Indirect Costs: % Base	\$0
11. Total (Share: Recipient 0.00 % Federal 100.00 %.)	\$253,291
12. Total Approved Assistance Amount	\$253,291
13. Program Income	\$0



Confederated Tribes of the Colville Reservation
Office of Environmental Trust
P. O. Box 150, Nespelem, WA 99155
Phone: 509-634-2415, Fax: 509-634-2427

October 31, 2005

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Bailey, Environmental Planner
Phone: 509-634-2415

Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-7

Reporting Period: July 1 through September 30, 2005

Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours

Objective 1, Outreach and Meetings:

No meetings were attended during the quarter.

Objective 2, Field Activities:

No field activities were performed during the quarter.

Objective 3, Review, Comments and Technical Assistance: Consultants billed work completed and reported during the previous quarter on the emergency cleanup documents from the Colville Post and Pole Site. No other activities were performed during this quarter.

PA/SI Quarterly Report
Page two

2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies:
No work was conducted during the quarter primarily because we were spending a lot of time on other projects, focusing most of our energy on fish sampling at UCR site. We will request to be updated on the remaining site cleanups during the next quarter.
3. Comparison of the estimated funds spent to date to planned expenditures
Funds Expended during the quarter: Environment International billed 2.5 hours during this quarter although it was reported and work conducted in the last quarter. There is approximately \$2,062 remaining in 2004 funds. None of the new funds have been spent of the \$30,000 awarded for 2005. Administrative tasks to do contractor change orders were completed during the quarter.
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
0% of the funds have been expended for the 2005 funds. We will get updates from EPA in the next quarter as to status of cleanup work and discuss what level of involvement we need to have.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer
Jolene Francis, Accounting File Copy



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JAN 31 2006

Environmental Cleanup Office

**Confederated Tribes of the Colville Reservation
Office of Environmental Trust**

P. O. Box 150, Nespelem, WA 99155
Phone: 509-634-2415, Fax: 509-634-2427

January 27, 2006

Colville Confederated Tribes
Office of Environmental Trust
EPA Grant Quarterly Report

Contract Person: Patti Bailey, Environmental Planner
Phone: 509-634-2415 *Patti Bailey*
Grant Name: Lake Roosevelt Preliminary Assessment
Grant Number: V-98072501-7
Reporting Period: October through December 2005—Final Quarterly Report
Project Officer: Monica Tonel, US EPA
1200 6th Avenue, ECL-115
Seattle, WA 98101

SUMMARY OF QUARTERLY ACTIVITIES/ACCOMPLISHMENTS

1. Work Accomplished, delays, problems, products, technical hours
Objective 1, Outreach and Meetings:
No meetings were attended during the quarter.

Objective 2, Field Activities:
No field activities were performed during the quarter.

Objective 3, Review, Comments and Technical Assistance:
No comments, review or technical assistance was conducted during the quarter.
2. Comparison of the percentage of the project completed to the project schedule, significant discrepancies:
No work was conducted during the quarter, as the focus of work is on the Upper Columbia River RI/FS activities. However, we would like to continue to keep informed and involved in EPA cleanup and assessment activities at sites near/surrounding the Upper Columbia River Site.

PA/SI Quarterly Report
Page Two

3. Comparison of the estimated funds spent to date to planned expenditures
Funds Expended during the quarter: Environment International billed 0 hours during this quarter. There is approximately \$30,000 unspent awarded for 2005. In discussions with Project Manager at EPA these funds will be deobligated and recertified to the RI/FS Cooperative Agreement for the Upper Columbia River Site, Cooperative Agreement #V-97084401. The Colville Confederated Tribes will submit an amendment request for Cooperative Agreement #V97084401 to include tasks identified under the PA/SI Cooperative Agreement as this cooperative agreement ended December 31, 2005.
4. Estimate of the time and funds needed to complete the work, comparison of that estimate to the time and funds remaining
Work will continue on these projects by EPA in consultation with the CCT. It is our understanding that the remaining funds under the PA/SI Cooperative Agreement (CA # V-98072501-7) will be deobligated and recertified to the UCR RI/FS Cooperative Agreement (CA # V-97084401) as the PA/SI cooperative agreement will be closed out within 90 days of its closing. We are currently working on the paperwork to see this is completed and approved by EPA.

Cc: Gary Passmore, Director
Dorothy Zacherle, Contracting Officer
Jolene Francis, Accounting File Copy



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 10
1200 Sixth Avenue
Seattle, WA 98101

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MAR 03 2006

Environmental Cleanup Office

Reply To
Attn Of: OMP-145

March 2, 2006

Joseph A. Pakootas, Chairperson
Colville Confederated Tribes
P. O. Box 150
Nespelem, WA 99155

Subject: **Assistance Agreement No. V-98072501**
Superfund Cooperative Agreement

Dear Mr. Pakootas:

The final Financial Status Report covering this agreement has been approved. Also, the final payment has been processed.

This letter completes the final action on this agreement and officially closes the file. Please retain all documents related to the assistance agreement for a period of three years.

Any questions regarding this matter should be directed to me at (206) 553-6367.

Sincerely,

/s/

Bob Phillips
Grants Specialist

cc: Monica Tonel, ECL-115